NOTICE OF PUBLIC MEETING
September 6, 2019
9:00 am to 5:30 pm
SAE Expression College
Californium Hall
6601 Shellmound Street
Emeryville, CA 94608
(510) 654-2934

1. Call to Order
   Welcome from Alameda County Arts Commission
   R. Osajima
   Welcome from Rotten City- Emeryville Cultural Arts District
   E. Thériault

2. Acknowledgment of Tribal Land
   A. Bown-Crawford
   N. Lindo

3. Roll Call and Establishment of a Quorum
   L. Barcena

4. Approval of Minutes from June 25, 2019 Council Meeting (TAB 1)
   N. Lindo

5. Public Comment (may be limited to 2 minutes per speaker*)
   N. Lindo

6. Chair’s Report (TAB 2)
   N. Lindo

7. Director's Report (TAB 3)
   A. Bown-Crawford

8. Strategic Framework Session
   The CAC’s Strategic Framework consultant will lead the Council through preliminary findings of the project.
   T. Nolfo
9. Voting Items: Programs Allocations Committee Recommendations (TAB 4)
Council will vote on the following allocations recommendations:

a) Increase Maximum Request Amount for Projects
b) Adjust State-Local Partners grant program to a 2-year grant and the total cost in 2019
c) Increase Maximum Request Amount for Professional Development grant
   Increase Maximum Request Amount for Arts Integration Training grant program
d) Increase Maximum Request Amount for Artists in Schools - Arts Integration Training grant program
e) Increase Maximum Request Amount for Professional Development grant program

10. Voting Items: Programs Policy Committee Recommendations (TAB 5)
Council will vote on the following policy recommendations:

a) Secretary of State Good Standing Certification
b) Adjusting Definition of Veterans
c) Adjusted State-Local Partnership grant to 2-years
d) Adjusted DataArts Requirements


a) Artists in Communities (TAB 6)
b) Arts Education Exposure (TAB 7)
c) Arts and Public Media (TAB 8)
d) Cultural Pathways (TAB 9)
e) JUMP StArts (TAB 10)
f) Local Impact (TAB 11)

12. Public Comment (may be limited to 2 minutes per speaker*)


   g) Organizational Development (TAB 12)
h) Professional Development (TAB 13)
i) Reentry Through the Arts (TAB 14)
j) State-Local Partners (TAB 15)
k) Statewide and Regional Networks (TAB 16)
l) Veterans in the Arts (TAB 17)
m) Youth Arts Action (TAB 18)

14. Break: Council Members Paperwork

15. Voting Items: FY 2020-2021 Grant Guidelines
   a) Artists in Schools (TAB 19)
   b) Arts Integration Training (TAB 20)

   Council will vote on the panel recommendations for the grant program.
   a) Programs Allocations Committee Funding Recommendation (TAB 21)
      J. Evans
      L. McGuinness
   b) Panel Process Overview (TAB 22)
      J. Jong
      A. Porras
      Panel Representative

17. Voting Item: 2020 Council Meeting Calendar
   Council vote on the dates for the 2020 Council Meeting Calendar including:
   • Thursday, January 30, 2020
   • Wednesday, April 1, 2020

18. Looking Forward: Prioritizing New Grant Opportunities

19. Adjournment (TAB 23)
   Meetings adjourn in honor of members of the creative community whose lives were recently lost, as acknowledged by the Chair.

Notes:

1. All times indicated and the orders of business are approximate and subject to change.
2. Any item listed on the Agenda is subject to possible Council action.
3. The CAC retains the right to convene an advisory committee meeting pursuant to Government Code Sec. 11125 (d).
4. Council meetings are open to the public and are held in barrier-free facilities that are accessible to those with physical disabilities in accordance with the Americans with Disabilities Act (ADA). If you need additional reasonable accommodations, please make your request no later than five (5) business days before the meeting. Please direct your request to the Administrative Analyst, Lariza Barcena, at (916) 322-6335 or lariza.barcena@cac.ca.gov.

5. Public testimony is time limited. Please make concise remarks. *Members of the public utilizing language translation will be granted additional time as needed.

6. A working lunch will be delivered for the Council Members and staff. No lunch break will be taken.
MINUTES OF PUBLIC MEETING
June 25, 2019
9:00 a.m. to 4:16 p.m.

Calaveras Performing Arts Center
350 High School Street
San Andreas, CA 95249

PRESENT:

Council Members
Nashormeh Lindo, Chair
Larry Baza, Vice Chair
Jodie Evans
Donn K. Harris
Kathleen Gallegos
Louise McGuinness

Arts Council Staff
Anne Bown-Crawford, Executive Director
Ayanna Kiburi, Deputy Director
Caitlin Fitzwater, Director of Public Affairs
Kristin Margolis, Director of Legislative Affairs
Hilary Amnah, Program Specialist
Maya Austin, Program Specialist
Jason Jong, Program Specialist
Josy Miller, Arts Education Program Specialist
Kimberly Brown, Public Affairs Specialist
Lariza Barcena, Administrative Analyst

Invited Attendees
Christian Gaines, WESTAF
Jenna Harris, Panel Representative
Kathy Mazzaferro, Calaveras County Arts Council
Dr. Tamu Nolfó, Ph.D., Strategic Framework Consultant
Brigid Parsons, Panel Representative
James Tabuchi, Panel Representative
Stephanie Wenning, Panel Representative
Other Attendees / Members of the Public

 Julie Baker, Californians for the Arts/California Arts Advocates  
 Gary Caldwell  
 Peter Comiskey, Balboa Park Cultural Partnership  
 Donna Guadagni, Calaveras County Arts Council  
 Steven Hall, Calaveras County Arts Council  
 Meghan O’Keefe, Amador County Arts Council  
 Maggie Sloan, Calaveras County Arts Council  
 Lisette Sweetland, Tuolumne County Arts  
 Penny West

I. Call to Order  
 Welcome from Calaveras County Arts Council

Chair Lindo calls the meeting to order at 9:29 a.m.

She thanks and introduces Kathy Mazzaferro, Executive Director for the Calaveras County Arts Council. Mazzaferro has been the Executive Director for the Calaveras County Arts Council since April 1, 2016.

Mazzaferro thanks Council for coming to Calaveras County and remarks on the significance of the Council meeting in their rural community. She recognizes her arts partners in the tri-county area, Lisette Sweetland, Executive Director of Tuolumne County Arts; and Meghan O’Keefe, Executive Director of Amador Arts. She also acknowledges Calaveras County Arts Council Board of Directors Secretary Donna Guadagni and Member at Large Steven Hall, as well as former Executive Director Penny West for their presence at the meeting. She informs Council of envelopes distributed to them that feature information about Calaveras County Arts Council’s current music and winter theater program, as well as a copy of a book of poetry and writings from local artists submitted and published after the Butte Fire in 2015. She tells Council that she will talk in more detail during her presentation in the afternoon, and thanks them again for travelling to the area.

II. Acknowledgment of Tribal Land and Tribal Representative

Bown-Crawford respectfully acknowledges the meeting taking place on culturally traditional land of Native American tribes and introduces Council Vice Chair Larry Baza to read the list of local tribes.

Baza acknowledges the following tribal groups: Buena Vista Rancheria, Chicken Ranch Rancheria, Jackson Rancheria, Sheep Ranch Rancheria, Shingle-Springs Rancheria, and Tuolumne Rancheria.

III. Roll Call and Establishment of a Quorum

At 9:37 a.m., Lindo calls for roll. Each Council member present briefly introduces themselves. A quorum is established.

IV. Approval of Minutes from May 22 Council Meeting

The Chair calls for the motion to approve the May 22, 2019 minutes with approved changes, of which there are none. Harris moves; Evans seconds.
No discussion.

At 9:40 a.m., Lindo calls for the vote. The motion passes 6-0.

V. Public Comment

- Meghan O’Keefe, Amador County Arts Council
  O’Keefe thanks the Council for holding their meeting in Calaveras County. She introduces herself at the Executive Director of Amador Arts, and as a past recipient of a scholarship and arts education from her same organization when she was a student. She thanks the Council for their work throughout the state, providing grants and resources to support the mission of Amador Arts and other arts organizations, specifically mentioning the support provided by the State-Local Partner program.

- Penny West, rural arts consultant
  West introduces herself as artist, writer, music lover, and 42-year resident of Calaveras County. She served as the Executive Director of the Calaveras County Arts Council for 21 years until her retirement eight years ago. She is now rural arts consultant, working primarily with Amador Arts. She thanks Council for consistent funding from the State-Local Partner program to keep the arts alive in rural areas of the state. She thanks Council for the opportunity for face-to-face engagement with their community.

- Gary Caldwell
  Caldwell identifies himself as a retired Caltrans civil engineer and an active patron of the arts. He asks Council to do all they can for bolstering arts in the schools and working to change the STEM initiative to STEAM, inclusive of the arts. He presents a $100 donation to the Calaveras County Arts Council.

- Julie Baker, Californians for the Arts/California Arts Advocates
  Baker introduces herself as the Executive Director of Californians for the Arts and California Arts Advocates. She thanks the Council and staff for their hard work and welcomes WESTAF. She introduces her organization as the statewide arts advocacy organization to increase public funding and legislation to protect and serve artists. She comments on recent successes for California arts and culture: Governor Newsom’s $10 million increase in state arts funding, the California Arts Council’s largest investment in grants funding in two decades, the launch of Arts, Culture and Creativity Month every April. She mentions the organization’s goals for next year, including cultural districts, disaster preparedness and recovery, anti-scalping laws for presenters, artist housing, and increased public funding for the arts.

- Peter Comiskey, Balboa Park Cultural Partnership
  Comiskey thanks the Council for hosting the meeting in Calaveras County. He provides an update to Council for the California Cultural District Coalition, with a focus to achieve sustainable funding for state cultural districts. Coalition members listed funding priorities as operating support as well as funding existing programs for each district. He thanks CAC staff member Hilary Amnah for a recent informative conference call for the district cohort. He expressed his surprise at the California Cultural District Coalition being deemed ineligible for the Statewide and Regional Networks program and encourages Council to review guidelines to help the continued operation and growth of the organization.

VI. Chair’s Report
Lindo reads the Chair’s Report in full, with mentions of the upcoming budget, newly announced Council member Stanlee Gatti, and her attendance at several cultural events.

VII. Director’s Report
Bown-Crawford provides an overview of her Director’s Report, outlining the work of the Director and CAC staff in the time following the May 22 Council meeting, including budget updates, strategic framework progress, and emergency preparedness training with State-Local Partners.

Gallegos asks about the decision-making process surrounding the interagency climate change task force and expresses concerns about California’s murals being damaged and whitewashed. Lindo echoes the importance of feedback from Council on the climate change initiative. Bown-Crawford responds that the initiative is in its early stage and the lead partners will keep the CAC abreast of further input opportunities.

Presentation: Western States Arts Federation (WESTAF)
Bown-Crawford introduces WESTAF Executive Director Christian Gaines. Christian Gaines has served as the executive director of WESTAF since January of 2019.

Gaines thanks Council and residents of Calaveras County for the invitation to speak and acknowledges the wonderful space of the Calaveras Performing Arts Center. He provides a quick overview of the organization, which he describes as an interdependency between alliance, responsibility and technology.

The premise of WESTAF’s founding is the idea of alliances and advocacy with 13 western states, including Alaska and Hawaii. They provide a variety of services, the most significant of which is the convening of state arts administrators, cultural workers, and arts organizations as much as possible throughout the year to network and learn best practices from each other. The organization provides support for legislative advocacy and funding for programs, most recently completing its TourWest program, distributing over $650,000 throughout the western states to 28 performing arts organizations to be able to bring touring arts groups to their institutions.

The WESTAF Emerging Leaders of Color program identities and develops leaders of color in arts organizations and provides networking opportunities across the U.S. The organization is looking at growth and development surrounding the areas of accessibility and disability culture, indigenous issues, and arts in incarceration.

WESTAF also provides technology platforms to its members, including one called Call for Entries (CaFE), providing connections for artists and opportunities. The platform connected 120,000 artists in 2018 and earned WESTAF $30 million through entry fees, allowing the organization to be financially independent and sustainable without public funding. They are also involved in the measurement and impact of the creative economy in the U.S., utilizing federal data to understand the impact of creative industries and workers across 70 categories. WESTAF looks to the future with goals of continued evolution, maintaining relevance to the field, and drawing new connections between WESTAF members and federal partners.

Gallegos asks about the funding breakdown of the organization. Gaines replies that two-thirds of funding comes from technology platform revenue, one-third from the National Endowment for the Arts, and one-third from membership fees, which have not changed since 1995.
Gallegos asks about the application process for the touring programs. Gaines says that nonprofits can apply to receive support, and that the program has an 80 percent funding rate.

Baza tells Gaines that he attended the WESTAF Public Arts Symposium in Honolulu in 2017 and asks about a similar experience in the future. Gaines says that symposia are an important part of the work of WESTAF, in particular around public art, and that they are working to identify ways to make the experience thrive beyond the event itself in an ongoing way for the field.

**VIII. Voting Item: September Council Meeting Date Change**

Lindo outlines to Council the need for a change of date for the upcoming Council meeting for earlier approval of grant program guidelines in order to provide eight weeks for application submissions, explaining the hardship of deadlines near the holiday season as an equity issue.

At 10:42 a.m., McGuinness moves to move the Thursday, September 26 Council meeting to Friday, September 6. Harris seconds. The motion passes 6-0.

At 10:44 a.m., a break is taken.

**IX. Strategic Framework Work Session**

At 11:02 a.m., the meeting is called to order.

Fitzwater introduces Dr. Tamu Nolfo to lead the Council through their second strategic framework work session. Tamu Nolfo, Ph.D., is a developmental psychologist and thought leader who brings expertise and lived experience in communities facing inequities to bear in her pursuit of social justice through institutional and systems change.

Nolfo guides the work session for Council, providing updates on the strategic framework process and recent milestones; highlights from the research phase, including interviews, telephone think tanks, in-person focus groups and stakeholder surveys and video submissions; consideration of culture, race and implicit bias within a vision and values framework.

Nolfo facilitates a conversation with Council members on vision and values, gathering input from the Council. Council members team up to respond to the work session guiding questions about their aspirational vision for the agency’s work: What are the driving forces behind the CAC focus? What are the CAC’s greatest strengths? What problem does the CAC seek to solve?

Nolfo invites audience members to provide their own responses while Council members record their thoughts on large worksheets provided and collected at the end of the session. Feedback from Council include, for driving forces: equity in all programs, creating programs that meet the needs of communities, recognition of public need, accessible and meaningful arts, having a voice at the table, California as a global cultural beacon, belief in the power of the arts for creating healthy communities, the need for more support of arts in education, inequality in arts funding, and creating opportunities. For CAC strengths: creative members, understanding the power of art, valued by Governor and leadership, good relationship with constituency, diverse points of view, passion and commitment to all Californians. For the problem seeking to be solved: accessibility, STEAM vs. STEM, increased awareness, increased funding for underrepresented communities, expanding arts with other strategies, more funding for
individual artists, environmental awareness through the arts, need for long-term change in cultural competency of the arts community and the state itself, investment in arts not recognized by the market and private funding, eliminating barriers to the arts, investment in the arts to enrich the diverse voices of the state, outreach to underserved areas, processes difficult to understand for new applicants, art as an “extra,” recognizing artists across the state, and elevating the importance of the arts.

Nolfo then leads a brief discussion around the mission statement of the California Arts Council, and what the state would look like if that mission was achieved. Members mention the addition of an element of equity into the statement; there is also discussion around the term “advancing,” with suggestion for a change or addition to mention service to Californians. A successful mission would mean a healthier state, with a better representation of who we are, all being seen, and community arts centers and strong arts presence in every city and part of the state—urban, rural, and metropolitan. Members comment on their responsibility to speak to their legislators on the importance and value of the arts in their communities and to use taxpayer money in a way that everyone benefits as much as possible.

Nolfo concludes the work session by requesting feedback from Council regarding values. She asks for a value that each member wants the CAC to be most present with around its internal functioning, a value to be known for in its externally facing work, and a value to bring to the agency’s impact. Members write down their responses to be collected by staff. Responses include, for internal functioning: radical leadership, oversight and checks and balances, respectful listening, open and honest communication, trying to see all people, ability to coalesce quickly and regularly, and to have influence in matters with a positive effect on California. For external facing work: creativity, innovation, flexibility; speaker of equity; carefulness, respect, generosity; thoughtfulness, honesty and strength in advocacy; capacity and strength for needed change for the health of California; equitable communications in grantmaking, authentic appreciation, love and importance of the arts. For impact: cultural competency and diversity improved across the state; STEAM; equity and fairness; encourage constituency to create at the highest, most effective, and satisfying level; relevance; increase a sense of belonging.

Nolfo thanks Council members for their input. She tells them that their responses will be shared with Council members absent from this meeting to gather their input, and she will package and synthesize all feedback for their work session at September’s meeting. Council members thank Nolfo for her work.

X. **Voting Items: FY18-19 Panel Recommendations**

a. **State-Local Partners**

Lindo calls for the motion to fund all ranks, with applicants ranked 6 at 100% of their grant request; 5 at 95%, 4 at 90%, 3 at 85% and 2 at 80% for a total allocation of $2,135,159. In addition to this base SLP funding, identified grantees will be awarded funds for the Emergency Preparedness Initiative and Poetry Out Loud for a grant total of $2,500,159.

McGuinness moves; Evans seconds.

Program Specialist Hilary Amnah provides an overview to Council of the grant panel process for the State-Local Partner program, and introduces panel representative Stephanie Wenning to address Council regarding her panel experience.
Stephanie Wenning (she/her/hers, Crescent City) has served her hometown community in the capacity of Executive Director at Del Norte Association for Cultural Awareness (DNACA) for just over two years. In that time, she has learned a lot about arts programs and how best to promote them, foster relationships with donors, volunteers, and artists, and encourage engagement and participation from the entire county. She has, of course, met many challenges along the way and have approached them with optimism and transparency. In addition to her role at DNACA, Stephanie sits on the Del Norte Nonprofit Alliance Steering Committee, the Crescent City-Del Norte Chamber of Commerce Ambassadors, the North Coast Redwoods Writers’ Conference Steering Committee, the CAC’s SLP/SRN Conference Planning Steering Committee, and she is a reporter for the local NPR news show, the North Coast Update.

Wenning thanks the Council for having her. She describes her panel experience as time-consuming but rewarding, shedding light on the diversity of the program and giving her insight for ideas to incorporate into her county arts agency. She gained a deeper sense of all the regions in California and was inspired by her panel group’s diversity. It was beneficial to serve on the panel as a reminder of the big picture for why county arts agencies’ work is so important. She found it comforting to see that all organizations share similar challenges and successes and worthwhile to understand the different ways in which those challenges are addressed. She appreciated the group agreements to start the review process as a way to unify the group’s trajectory in a respectful manner. She asks for future panels to have more time to review applications; three weeks was a difficult timeframe in which to accomplish all the required reading. The organizations that the panel found to be exemplary had a clear sense of their county’s population and how to serve them, were successfully implementing outreach to get feedback, had diverse boards, served several areas of their county, understood equity and accessibility, and were financially sound. Weak applicants typically showed a lack of cultural competency, not adequately identifying demographics, showed little diversity on their board, and addressed accessibility only in terms of ADA compliance. She recommended CAC provides opportunities for training in that capacity. She thanks Council for their shortening of the application and clarifying questions that gave a better understanding of each organization’s work. She recommends considering an equity component to the program policy and examining the determining of award allocations, since grants in this program are not based upon the organizations’ financial need.

Gallegos inquires about the Emergency Preparedness Initiative and the concept of arts agencies as second responders. Bown-Crawford explains the idea as arts and creativity contributing to the healing and recovery of a community experiencing tragedy. She adds that the workshops are part of a preparedness aspect, to ready a community for potential disaster and for quicker response in the aftermath.

Harris asks what the $5,000 stipend is specifically for. Amnah tells Council it is meant to be used toward expenses that may incur to attend the workshops and coordinate participation. The funds are not from CAC’s local assistance funds; they are coming from operational funding.

Kiburi adds that the Poetry Out Loud funding is from the National Endowment for the Arts—she also reminds Council of the recovery grants in the previous fiscal year for counties affected by wildfires was one-time funding from the NEA as well.

Gallegos asks about the content of the workshops. Fitzwater explains that a cultural placekeeping guide will be shared along with an introduction of basic preparedness concepts and establishing of local
networks. Kiburi encourages Council to refer to the Council book from the May Council meeting which included a detailed presentation about the workshops initiative.

Harris expresses a desire to examine how funds are distributed in the State-Local Partners grant program, given that county arts agencies vary greatly in size and need.

Amnah responds that counties with lower populations and with less resources could benefit significantly from more funding, whereas larger counties with more resources may have a reduced need for SLP funding.

At 2:28 p.m., Barcena calls for the motion to fund Los Angeles County Arts Commission per Council-submitted conflicts of interest. McGuinness moves; Baza seconds. Gallegos recuses herself.

The motion passes 5-0. Gallegos returns.

At 2:29 p.m., Lindo calls for the vote for the original motion. The motion passes 6-0.

b. Statewide and Regional Networks

Lindo calls for the motion to fund applications ranked 6 at 100% of their grant request; 5 at 90% and 4 at 80% for a total allocation of $1,134,830. McGuinness moves; Evans seconds.

Program Specialist Maya Austin provides an overview to Council of the grant panel process for the Statewide and Regional Networks program, and introduces panel representative Brigid Parsons to address Council regarding her panel experience.

Brigid Parsons (she/her/hers, Oceanside) studied Civil Engineering and Architecture in her native Pennsylvania, then worked designing and building roads and bridges in rural Georgia for a few years before moving to San Diego. Changing course, she had various roles and over a dozen years in IT at a financial services firm before deciding to change course again to the arts. Since then, Brigid has had her photography appear in local shows and supported a number of different projects in the Oceanside arts community over the past 12 years, including at the Oceanside Museum of Art (OMA) and spearheading grassroots organizations such as Oceanside Art Walk and the North County Arts Network (NCAN). She is currently an Arts Commissioner for the City of Oceanside, has certifications in Green Design (LEED AP) and Feng Shui as well as degrees in Digital Photography (A.A., MiraCosta College), Civil Engineering (B.S., Carnegie Mellon University) and Technology Management (MBA, University of Phoenix).

Parsons thanks Council for her selection to serve on the SRN panel, and credits Austin with helping the panel group to focus on the review criteria and draw from their collective experience. She makes a recommendation to provide outreach to recruit more organizations from less populated areas of the state, with the majority of applicants being from the Los Angeles and San Diego areas. Panelists asked for more clarity in terms of accessibility regarding to organizations with a membership model. When an organization was utilizing a fiscal sponsor, the panel expressed difficulty at times differentiating between the work of the sponsor and the work of the organization, and a similar issue with regranting organizations. Parsons also commented on the intense preparation period for individual panelists prior to deliberating in Sacramento and suggested a potential stipend or compensation for that advance work in addition to in-person service.
Council inquires as to the ineligibility of the California Cultural District Coalition. Amnah responds that the organization has not established two years of arts programming required for any of the CAC grant programs.

At 2:55 p.m., Lindo calls for the vote. The motion passes 6-0.

XI. **Voting Items: FY19-20 Panel Recommendations**

a. **Artists in Schools**

Lindo calls for the motion to fund ranks 4-6. Applicants ranked 6 at 100% of their grant request; 5 at 90% and 4 at 80% for a total allocation of $2,268,768.

Arts Education Program Specialist Josy Miller provides an overview to Council of the grant panel process for the Artists in Schools program, and introduces panel representative James Tabuchi to address Council regarding his panel experience.

James Tabuchi (he/him/his, Sacramento) has been the Executive Director the Sacramento Mandarins since 2013. The Mandarins’ mission is to Transform the Lives of Children through Musical Performance, and they have been doing so since 1963. He brings to bear a unique set of experience in electrical engineering, performing arts, organizational development and music education. His experience includes being a Senior Manager at Hewlett-Packard, an Executive Director for the Sacramento Mandarins, Director of Organizational Development at Kaiser Permanente and Senior Fellow at the American Leadership Forum. In his tenure at the Mandarins, the organization has grown to impact the lives of over 1,000 students per year in their Drum and Bugle Corps and Music Academy Programs.

Tabuchi introduces himself to Council as a trumpet-playing electrical engineer, a life defined by the idea of STEAM. He remarks on the panel’s interest in the number of students impacted, that while projects ranged greatly in number, they also ranged in depth of impact. He stressed assessment as a key element of successful projects and discussed the need to ensure that organizations focusing on integration, inclusion and diversity not be denied funding in their efforts for cultural preservation. Referring to the program guidelines, he told Council that any work to further reduce barriers or streamline the grant application process is ideal.

Gallegos comments on the statistics and suggests a separation of Los Angeles and Orange County in future iterations. Kiburi and Miller respond that new and altered data sets can be explored by the Programs Committee in the near future.

At 3:17 p.m., Lindo calls for the vote. The motion passes 6-0.

b. **Arts Integration Training**

Lindo calls for the motion to fund all the applications ranked as “fund” for a total allocation of $59,745.

Arts Education Program Specialist Josy Miller provides an overview to Council of the grant panel process for the Arts Integration Training program, and introduces panel representative Jenna Harris to address Council regarding her panel experience.
Jenna Harris (she/her/hers, Yolo) has a B.A. in art history from Sonoma State University (2010) and an M.A. in curatorial practice from California College of the Arts (2013). Her professional goal is to break down stigmas around access to arts and cultural programming through exhibitions and public programs. Currently, Jenna works for YoloArts as the Education Manager where she coordinates and oversees Artist Residency programs for underserved K12 youth, veterans, and the homeless community in Yolo County. In her spare time, she is training to be a docent at the Crocker Art Museum.

J. Harris tells Council the panel reviewed applications from across the state, with high concentrations in the Bay Area, Los Angeles, and San Diego, with most offering two to four training sessions for teachers. More than half of the requested funds were contributed to larger projects, such as training for schools with year-round residency programs, conferences or retreat-style professional development opportunities. Many offered training during the school year, with some offering continuing education credits for teachers. Only a few of the applications included core-subject integration training. Most successful applications included one-on-one lesson plan coaching sessions between the teaching artist and classroom teacher. The panel had challenges with identifying and evaluating community need. Some had clear and measured demographics, but others used general terms without clarity or meaning. The panelist also observed larger organizations with more resources scoring higher than smaller organizations in rural areas that exhibited a stronger need for arts integration programs and less access to arts and cultural opportunities. She recommends an increase in clarity around certain questions to address the disparity; for example, a standardized format for understanding demographics and the project timeline. Work samples also proved to be a challenge, with many showing teaching artists teaching children instead of teachers, and suggested some clarity around that component as well. She tells Council that the panel exhibited concern over the small award amount being insufficient to provide continued support to feel empowered to integrate arts into their curriculum year-round. She thanks Council for the opportunity to be a part of the evaluation process for this grant program.

McGuinness asks about the range of grade levels. J. Harris replies that the concentration was in elementary levels of training, but that many of the professional development opportunities were open to teachers districtwide.

Miller reminds Council that the program is very forward-thinking, intentionally separated from its previous tie to the Artists in Schools program in order to provide real opportunity to be thought leaders for the field with defining arts integration and shaping the program structured. She emphasizes the huge need for a program of this nature in California’s rural communities.

Gallegos asks about the lack of applications coming from the Central Valley, Inland Empire and Upstate regions of the state. Miller responds that many organizations in those regions are smaller, and may have less capacity to do the work, especially for the relatively small award amount in this category.

At 3:30 p.m., Barcena calls for the vote. The motion passes 6-0.

XII. Public Comment

- Lisa McDermott, Carnegie Arts Center

McDermott thanks the Council for meeting in Calaveras County. She is the executive director of the Carnegie Arts Center in Turlock, in Stanislaus County—currently without a county arts council. The center has been in business since 2011, having survived a fire in their historic building. Funds were
raised to renovate and add to the building to triple the square footage for exhibition, performing, and classroom spaces. The organization offers a variety of multidisciplinary arts programs, and serves 3,000 kids a year in Stanislaus, Merced, and San Joaquin counties through field trips to gallery, hands-on, and live theater experiences. McDermott is also a board member of the California Association of Museums and the newly appointed chair of the California Networks Council.

XIII. **Grantee Presentation: Calaveras County Arts Council**
Lindo reintroduces Kathy Mazzaferro. Mazzaferro introduces Maggie Sloan as the assistant to the executive director Calaveras County Arts Council, as well as media specialist, artist and musician.

Mazzaferro delivers a presentation to Council, highlighting the demographics, history, and artistic legacy of the region and providing an overview of the Calaveras County Arts Council’s mission and work, including its signature programs: Ovations Performing Arts Series, Music in the Parks, Artist Studio Tours & Gifts of Affordable Art, Community Art, Arts Education and Poetry Out Loud. In her presentation, Mazzaferro reinforces the vital impact of local arts agencies in rural communities. She specifically thanks the Council for the addition of Poetry Out Loud to the responsibilities of the State-Local Partners, and remarks that while daunting at first, the new program has been a transformative experience for her organization and for the participating students.

XIV. **Discussion Item: Funding Individual Artists**
Baza thanks staff for putting together the memo on individual artist funding. Lindo echoes the sentiment—she tells staff the document is thoughtful and well-researched with lots of considerations to discuss. She suggests adding as a discussion item for a future Council meeting to better evaluate the findings.

Evans expresses a desire to ensure that individuals are balanced with their respective communities, that the guidelines include some component to establish that link.

Kiburi recommends the Programs Policy Committee meet and review the document to determine next steps in terms of developing guidelines and present to Council at a later date. Baza tells Council that any comments, questions, or suggestions can be sent to the Programs Policy Committee.

Council agrees.

XV. **Future Agenda Items**
Lindo asks Council to send any additional suggested agenda items to her via email.

XVI. **Adjournment**
Before adjourning, Lindo closes the meeting by reading a list of artists and cultural workers who recently passed:

- Tony DeLap
- Joe Wesley Overstreet
- Raul Ruiz
- Peter Selz

The Council adjourns at 4:16 p.m.
This document will be provided at a later date prior to the Council Meeting
This document will be provided at a later date prior to the Council Meeting
Date: September 6, 2019

To: California Arts Council Members

From: CAC Allocations Committee: Loise McGuinness and Jodie Evans

Re: FY 2019-20 Allocations Recommendations

The Allocations Committee met several times in recent weeks to discuss proposed allocations changes. The following recommendations have been considered within the context of intending to support increased funding to certain grant programs this year and in consideration of more robust increases that will be informed by the pending Strategic Framework and Program Evaluation.

The attached spreadsheet of current proposed allocations for FY 19-20 funds per program indicates an unobligated balance. In coming weeks, the Allocations Committee will meet to provide recommendations to Council on how those remaining funds could be allocated to increase funding for current programs and to fund emerging programs such as Innovation Grants (Arts and Health and Arts and Technology as directed by Council earlier this year) and Individual Artist grants. These recommendations will come to the December Council meeting.

Below are our current recommendations:

1. **Recommendation: Council vote to increase project-based grants from a maximum request amount of $18,000 to $20,000.**

   *Issue Description:* Project-based grants have remained at the same maximum request amount since 2016. We would like to support a philosophy of funding for deeper engagement, rather than breadth of engagement alone, with project-based grants. This increase represents a growing investment into project grants while remaining conservative with increases until we have completed the programs evaluation that will provide indicators for data-informed increases.

2. **Recommendation: Council vote to encumber both years of the State-Local Partnership grant allocations in 2019.**

   *Issue Description:* The State-Local Partnership program is the only grant program that has a defined set of eligible applicants every year: designated
county arts agencies. Single year funding diminishes the ability of CAC’s local arts agency partners to forecast and plan for intended recurring funding.

3. **Recommendation:** Council vote to increase maximum request amount for the Professional Development grant from $1,000 to $3,000.

   *Issue Description:* The current level of support available through the Professional Development program is low and limits the ability of applicants to factor in costs associated with geographic isolation, such as travel and accommodations necessary in order to attend many professional development opportunities. An increase the maximum eligible request amount would allow for greater programmatic and budgeting flexibility and impact and increase the accessibility of the program.

4. **Recommendation:** Council vote to increase the Arts and Accessibility grant from $165,000 to $500,000.

   *Issue Description:* There is a great need for accessibility resources. Our grant program is sorely underfunded to meet the needs for accessibility in the areas of funding individual artists with disabilities and supporting accessibility needs of arts organizations, including retrofitting buildings, adjusting web and social media platforms to accommodate the needs of people with disabilities, and providing captioning, ASL, audio description, etc.

5. **Recommendation:** Council vote to increase the Artists in Schools - Arts Integration Training from $2,500 to $5,000.

   *Issue Description:* The current funding level for does not reflect the need for larger scale collaborations between arts organizations and school districts—trainings that would allow for greater impact than can be achieved with a $2,500 award. Participation in this program was relatively low at the current funding level.
<table>
<thead>
<tr>
<th>GRANT PROGRAM NAME</th>
<th>Current Max. Award Per Year</th>
<th>Proposed Increase to Max. Award Per Year (if applicable)</th>
<th>Proposed 2nd Yr Grant Allocation</th>
<th>No. Years of Funding</th>
<th>Total Max. Award</th>
<th>Projected No. of Grantees (approx 5% increase)</th>
<th>Total Projected Program Allocation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Arts and Accessibility Grant</td>
<td>$165,000</td>
<td>$335,000</td>
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<td>ACTA-Technical Assistance Grant (CP)*</td>
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<td>Arts Education: Exposure</td>
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<td>$2,000</td>
<td>1</td>
<td>$20,000</td>
<td>25</td>
<td>$500,000</td>
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<td>Artists In Communities</td>
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<td>$20,000</td>
<td>235</td>
<td>$4,700,000</td>
<td>$4,700,000</td>
</tr>
</tbody>
</table>

Subtotal Projected Program Allocation: $31,031,000

Programs with Encumbered Funds:

| Arts Education: Artists in Schools         | $18,000                    | $2,000                                                   | 1                               | $20,000             | 147             | $4,233,321                                            |                                   |
| Artists Integration Training               | $2,500                     | $2,500                                                   | 1                               | $5,000              | 23              | $57,245                                               |                                   |
| Emerging Arts Leaders of Color             | $350,000                   | $0                                                       | 1                               | $350,000             | 1               | $350,000                                              |                                   |

Subtotal Encumbered Funds: $4,640,566

ALLOCATION: (FY18-19 + FY19 + FY18 One-Time + FY19 Allocations) $47,557,161

BALANCE 1284

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Return to Agenda
Date: September 6, 2019

To: California Arts Council Members

From: CAC Programs Policy Committee: Larry Baza and Jaime Galli

Re: FY 2019-20 Policy Recommendations

The Policy Committee met several times in recent weeks to discuss proposed policy changes. The following recommendations have been considered with the intention to implement changes that reduce barriers to access in the short-term. Future, broad policy changes will be informed by outcomes and data from the pending Strategic Framework and Program Evaluation.

1. **Recommendation: Council vote to approve requiring applicants to certify that they are in good standing as a non-profit corporation with the Secretary of State.**

   **Issue Description:** CAC grant applicant organizations are currently not required to certify that they are “Active” and in “Good Standing” with the Secretary of State (SOS) as a charitable organization.

2. **Recommendation: Council vote to use a more inclusive definition of a veteran that acknowledges foreign-born individuals who had served alongside US Military forces.**

   **Issue Description:** The full range of Californians who have served the interests of our U.S. military efforts is not currently reflected in Veterans in the Arts (VIA) guidelines. Expanding our definition of veteran populations to be served, and encouraging applications particularly from immigrant and refugee populations, would align with statewide and national efforts to recognize the contributions of these individuals.
3. Recommendation: Council vote to adjust the State and Local Partnership grant to a two-year program that opens every two years.

*Issue Description:* The State-Local Partnership program is the only grant program that has a defined set of eligible applicants every year: designated county arts agencies. Time and resources dedicated on an annual basis by SLP staff for grant writing and by CAC staff for administering the grant application could be better allocated towards assessment and building stronger partnerships in service of the program’s purpose.

4. Recommendation: Council vote to approve that applicants of project grants with maximum awards of less than $50,000 will be required to only submit Budget Snapshots that exists in the current CAC grants management system, rather than the DataArts Funder Report.

*Issue Description:* DataArts has proven to be cumbersome for many applicants. Assessing the fiscal health of an applicant organization in extensive detail is less relevant for project-based grants and more relevant for operational grants that support an organization as a whole. Many panelists have a hard time discerning information represented in DataArts Funder Reports. Budget snapshots will provide the level of financial detail needed to fulfil the panel’s assessment of smaller project grant applications.
ARTISTS IN COMMUNITIES
2019-2020 Grant Guidelines & Application Instructions

Deadline: November 15, 2019 11:59 PM

The mission of the California Arts Council, a state agency, is to advance California through the arts and creativity.

Learn more at www.arts.ca.gov

© 2019 State of California
**Purpose:** The California Arts Council (CAC), a state agency, was established in January 1976 to encourage artistic awareness, participation, and expression; to help independent local groups develop their own arts programs; to promote employment of artists and those skilled in crafts in the public and private sector; and to enlist the aid of all state agencies in the task of ensuring the fullest expression of our artistic potential.

**The Council:** The appointed Council of the CAC consists of 11 members who serve staggered terms. The Governor appoints nine members, the assembly Speaker appoints one member, and the Senate President pro Tempore appoints one member. Council members serve without salary, elect their own officers, and meet throughout the state to encourage public attendance. This body sets policy and has final approval of CAC grants.

**Mission:** Advancing California through the arts and creativity.

**Vision:** The CAC envisions a California where the lives of all Californians are enriched by access to and participation in a diverse spectrum of arts and cultural experiences and the arts ecosystem reflects contributions from all of California’s diverse populations.

**Funding:** The CAC is a state agency, funded from the state’s annual budget process and proceeds from the California Arts License Plate and the Keep Arts in Schools tax return voluntary contribution fund, supplemented by funds from the National Endowment for the Arts. Its grants are usually matched by foundations, individuals, earned income, government agencies, or other organizations.

**Information Access:** Due to the Public Records and Open Meeting Acts, applications and their attachments are not confidential and may be requested by the media and/or public. Meeting dates and locations are posted at www.arts.ca.gov. Observers may attend but may not participate in, or in any way interfere with, Council meetings. Each meeting provides a designated time for public comment, although comments may be time-limited.

**Grant Process:** Applications are evaluated by panels of experts, recognized in their respective fields, who rank applications according to program criteria. The CAC staff provides information but not recommendations to the panel. The Council reviews panel recommendations before making final funding decisions. CAC staff is responsible for grant contract administration after Council approval. In dire or unexpected circumstances, CAC reserves the right to make exceptions to any policy or procedure on a case-by-case basis.

**Requirements:** The CAC is mandated both by federal and state regulations to fund only organizations that have proof of nonprofit status under sec. 501(c)(3) of the Internal Revenue Code (Fiscal Receivers are eligible in some programs), or under sec. 23701d of the California Revenue and Taxations Code, or entities that are a unit of government; and that comply with the Civil Rights Acts of 1964, as amended; sec. 504 of the Rehabilitation Act of 1973, as amended; the Age Discrimination Act of 1975; the Drug-Free Workplace Act of 1988; California Government Code secs. 11135-11139.5 (barring discrimination); the Fair Labor Standards Act, as defined by the Secretary of Labor in part 505 of title 29 of the Code of Federal Regulation; the Americans With Disabilities Act of 1990 (“ADA”); the Fair Employment and Housing Act; and the Personal Responsibility and Work Opportunity Reconciliation Act of 1996.

**Ownership, Copyrights, Royalties, Credit:** The CAC does not claim ownership, copyrights, royalties, or other claim to artwork produced as a result of a CAC grant. However, the CAC reserves the right to reproduce and use such material for official, noncommercial purpose, including but not limited to use on the CAC website, social media and print materials. In addition, the CAC requires documentation of grants activity, and appropriate credit for CAC partial support.
ARTISTS IN COMMUNITIES 2019-20 GRANT GUIDELINES
DEADLINE: November 15, 2019 11:59 PM
Apply at calartscouncil.smartsimple.com
Up to $20,000
Grant Activity Period: July 1, 2020 – June 30, 2021

Background and Purpose
The Artists in Communities (AC) program centralizes artists and their artistic processes as vehicles for community vitality. In its fifth year, this project-based funding opportunity is rooted in the CAC’s recognition that artists are integral to healthy communities, and that the arts are a societal cornerstone that brings people together, builds community, and fosters social progress.

Successful proposals will sustain artistic residencies in community settings. All residencies must be developed and take place within California. Projects should include one or more artists, in partnership with an arts/community organization or social institution. Project locations may include, but are not limited to libraries, affordable housing sites, senior centers, hospitals, foster care facilities, parks, cultural centers, community halls, jails and other public spaces.

Lead artist(s) and their artistic process must be central to project design and implementation and must be selected by the time of application submission. The applicant organization, partners and community members must support the vision of the artist(s) to produce creative projects that are relevant and responsive to their community. It is imperative that the artist(s) vision drives the overall direction and tone of the application and project.

Program Goals
Projects should address the following Artists in Communities program goals:

- Demonstrate that the arts are a central component of civic life, and that artists’ visions are vital in shaping healthy communities.
- Support artist residencies that are relevant and responsive to the community where the artists are working.
- Support the creative visions, processes, and projects of artists working in community settings.
- Prospective projects should honor, recognize, uplift and be inclusive of, for and by the community, that the artist(s) set out to build and create with.

Project Requirements
- The applicant must develop and complete a project addressing the program’s purpose by June 30, 2021.
● All activities to be funded by the CAC must occur within the Grant Activity Period (see Timeline).

● The artistic residency must be locally developed, with one or more artists, in partnership with an arts/community organization or social institution, and the community to be served by the project.

● Project activities must be driven by the creative vision and artistic processes of the lead artist(s) as evidenced by an Artist Statement provided within the application.

● The project must include a minimum of one public component that is free of charge for community participants and/or audience. **This free event should be clearly stated with details in the application.**

● A Letter of Agreement between the applicant organization and the lead artist(s) must be submitted. This agreement should include details of support, expectations to execute the project to its highest level of success, insight about the partnership with and unique opportunities for the specified community that will be a part of the project.

● Project must include a thoughtful approach to engaging the community through sustained contact between the lead artist(s) and the community over a period of time. This period should be determined by the needs of the community and the project.

● The application can include more than one artist if all collaborating artists meet eligibility requirements and all participating artists are compensated accordingly.

● Artists(s) to be compensated and supported by this grant must show relevant experience of at least two years, be based in California, and may not be engaged in the project as full-time students in a degree program.

● Rates of compensation for individual artists and/or arts workers to be supported by this grant must be appropriate to experience and comparable to fees for other local skilled workers.

● If proposing therapeutic outcomes, applicants must establish the qualifications of service providers, how strategies are appropriate to the clinical and/or community arts setting, and how support is culturally responsive to participants.

● Project design and implementation must reflect a commitment to include and represent the communities to be served.

● All CAC-funded programs, services, information, and facilities where funded activities take place must accessible for individuals with disabilities, including, but not limited to, individuals who are deaf, hard of hearing, deaf-blind, have difficulty speaking, have a physical disability, visual disability, developmental disability, learning disability, mental illness or chronic illness. Please see Page 3: Requirements for more information.

**Eligible Request Amounts**

● Applicant organizations can request up to $20,000.

● The total request for funding in this grant program cannot exceed 50% of an organization’s total operating revenue from the most recently completed fiscal year, as provided on the Budget Snapshot at the time of application.

**Eligibility**
• Applicant organizations must be one of the following:
  o California-based nonprofit arts organization, arts-based unit of municipal or county government, or tribal government.
  o California-based nonprofit social service organizations with regular, ongoing arts programs and/or services may be eligible to apply if they meet all eligibility requirements. These may include libraries, housing agencies, senior/teen/cultural community centers, foster care facilities, or hospitals.

If you have questions regarding the eligibility of your organization or the nature of your proposed partnerships, contact the designated staff (see Staff Assistance).

• All applicants must have a minimum two-year history of consistent engagement in arts programming and/or services prior to the application deadline.

• All applicant organizations must have a principal place of business in California.

• Applicant organizations must demonstrate proof of nonprofit status under section 501(c)(3) of the Internal Revenue Code, or section 23701d of the California Revenue and Taxation Code, or must be a unit of government, including California Native American or Indigenous tribal governments.
  o Fiscal Sponsors: An applicant without nonprofit status may use a California-based Fiscal Sponsor with a federal 501(c)(3) designation to conduct work on a not-for-profit project. The Fiscal Sponsor will provide the fiscal and administrative services needed to complete the grant. **If a grant is awarded, the Fiscal Sponsor becomes the legal contractor.** The Fiscal Sponsor must also demonstrate consistent arts services or programming in California for a minimum of two years prior to the application deadline. See additional information on the use of CAC Fiscal Sponsors.
  o Applicants using Fiscal Sponsors must submit all required materials for the Fiscal Sponsor at the time of application.

• All applicants must submit all required application materials and information at the time of submission. Incomplete applications are ineligible and will not be reviewed by the panel. Please see Application Instructions for all required materials and information.
  o The submission of an SMU DataArts Funder Report is not required for this program. However, applicants must provide a minimum of two, and up to three years of basic financial information via the Budget Snapshot within the application.

**California Secretary of State Certificate of Status**
Nonprofit organizations must have “active status” with the California Secretary of State (SOS) showing evidence of “good standing” at the time of application.

You can verify your organization’s status by conducting a search using the SOS online Business Search tool. An indication of “active” (versus “suspended,” “dissolved,” “cancelled,” etc.) confirms that your nonprofit corporation exists, is authorized to
conduct business in the State of California, has met all licensing and corporation requirements, and has not received a suspension from the Franchise Tax Board.

**Funding Restrictions**

- An artist can only be a lead artist in one AC project grant at a time.
- The **total of all requests for CAC support during the same year** of funding cannot exceed 50% of an organization’s total operating revenue from the most recently completed fiscal year, as reported in the Budget Snapshot at the time of application.
- Applicants to this program are not restricted from applying for and receiving additional CAC program grants as long as those funds are used for different projects and purposes. To meet this criterion, applicants must demonstrate that projects:
  - Serve primarily different groups of people
  - Take place in different spaces, times, and/or contexts
  - Achieve fundamentally distinct programmatic outcomes

**Matching Funds**

All grant recipients must provide a 1:1 match for this grant. If applying for multiple CAC grants in a single fiscal year, distinct matching funds must be identified within each application. State funds cannot be used to meet the match. The status of each source must be specified as either projected, pending or committed.

The matching funds requirement may be met entirely through cash match sources, or by applying the value of in-kind sources for up to 50% of the match.

**Cash match** sources include corporate or private contributions; municipal, county, or federal government funding; or earned income, while **in-kind match** refers to goods or services, rather than currency, and for which monetary value can be determined. The use of in-kind contributions to meet the CAC matching funds requirement acknowledges that some organizations may not have the financial capability to provide a 1:1 cash match, but can demonstrate the capacity to carry out the activities in the grant application through other sources.

If utilizing the value of in-kind support to meet the match, keep in mind the following:

- **In-kind contributions may only be provided by third parties.**
- A third party is defined as a service provider, partner, or supplier that is independent of the applicant organization and the CAC, and is not compensated through grant funds.
- In-kind contributions may be in the form of space; pro bono consultancy, training or services; supplies; and other expendable property that are given free of charge to the organizations.
- In-kind contributions by state entities are ineligible.
- In-kind contributions must be reflected as an expense in the grant application budget.
See additional information on CAC In-kind Contributions. If you have questions about in-kind contribution documentation or eligibility, please contact the designated staff (see Staff Assistance).

What the CAC Does Not Fund

- As applicants:
  - Individuals
  - State agencies
  - Federal agencies
  - Non-arts organizations not involved in arts activities
  - For-profit organizations
- Nonprofit organizations not in “good standing” with California Secretary of State
- Former grantee organizations not in compliance with CAC grant requirements (as stipulated in grant agreement)
- Expenses incurred before the start date or after the ending date of the Grant Activity Period
- Expenses that would supplant other state funding*
- Operational, administrative or indirect costs of schools, colleges, or universities, or any activities that are part of the curricular base of these institutions
- Fundraising activities or services such as annual campaigns, fundraising events, or grant writing
- Lobbying activities that are intended to influence the actions, policies, or decisions of government officials or specific legislation
- Programs or services intended for private use, or for use by restricted membership
- Projects with religious purposes
- Trusts, endowment funds or investments
- Capital outlay, including construction projects or purchase of land and buildings
- Equipment
- Debt repayment
- Hospitality, meals or food
- Out-of-state travel

*Neither the award nor the match may be used to supplant other state funding, including salaries of state employees or general operating expenses of school districts, County Offices of Education, or publicly funded schools, colleges or universities.

Application Process

Applications will be available online through the CAC’s online grants management system at calartscouncil.smartsimple.com. Only applications submitted through the system by the deadline will be accepted. More information can be found on the Grant Programs page of the CAC website.

Review Criteria

A peer review panel will evaluate applications based on the following criteria:

- **Project Design and Implementation**: Project design indicates realistic timeline, appropriate budget, clear artistic and community-based objectives and
achievable outcomes. Design includes a component that is free to the public. Design articulates methods to evaluate and measure success, collect and analyze data, and document activities. Design demonstrates depth of participant involvement and clear plans for community outreach and marketing.

- **Artistic Merit**: California artists are involved at every stage of the project design and execution and demonstrate skills, expertise, and experiences that are central to the goals of the project and program.

- **Community Impact**: Project demonstrates reach and/or depth of engagement in an identified community. Project is responsive to the community to be served. Project execution and evaluation involve significant community participation in accordance with the identified project outcomes.

- **Management and Leadership**: Ability of applicant organization to implement proposed project is clearly demonstrated by qualifications of project’s team, viability of project budget, and overall fiscal and managerial health of applicant and partnering organizations.

- **Equity and Accessibility**: Proposal reflects fair practices to accessing resources and the inclusion of all communities in the representation, participation and creation of services and programs. Proposal reflects evidence that CAC-funded programs, services, information, and facilities where funded activities are to take place will be accessible for individuals with disabilities, including, but not limited to, individuals who are deaf, hard of hearing, deaf-blind, have difficulty speaking, have a physical disability, visual disability, developmental disability, learning disability, mental illness or chronic illness.

### Panel Adjudication and Ranking Scale
Panelists review applications and work samples using the 6-point ranking scale below.

<table>
<thead>
<tr>
<th>Rank Title</th>
<th>Rank Description</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>6</strong> Exemplary</td>
<td>Fully achieves the purpose of the program <em>Meets all of the review criteria and project requirements to the highest degree</em></td>
</tr>
<tr>
<td><strong>5</strong> Strong</td>
<td>Strongly achieves the purpose of the program <em>Meets all of the review criteria and project requirements to a significant degree</em></td>
</tr>
<tr>
<td><strong>4</strong> Good</td>
<td>Sufficiently achieves the purpose of the program <em>Meets all of the review criteria and project requirements to some degree</em></td>
</tr>
<tr>
<td><strong>3</strong> Fair</td>
<td>Moderately achieves the purpose of the program <em>Meets most of the review criteria and project requirements</em></td>
</tr>
</tbody>
</table>
| 2 | Marginal | Minimally achieves the purpose of the program  
Meets some of the review criteria and project requirements |
|---|---|---|
| 1 | Weak | Does not achieve the purpose of the program; proposals that are not appropriate for this grant category  
Inadequately meets the review criteria or project requirements |

**California Arts Council Decision-making**

The final authority for grant awards is the appointed Council. After receiving and reviewing the panel ranks, the Council will vote on final funding awards at a public meeting. Awards may differ from requested amounts based on rank.

**Timeline**

<table>
<thead>
<tr>
<th>Date</th>
<th>Event</th>
</tr>
</thead>
<tbody>
<tr>
<td>November 15, 2019 11:59 PM</td>
<td>Application deadline (online)</td>
</tr>
<tr>
<td>March 2020</td>
<td>Funding decisions</td>
</tr>
<tr>
<td>March 2020</td>
<td>Funding notifications</td>
</tr>
<tr>
<td>July 1, 2020 – June 30, 2021</td>
<td>Grant Activity Period</td>
</tr>
<tr>
<td>July 31, 2021</td>
<td>Final Report deadline</td>
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**Grantee Requirements**

Grantees must comply with all requirements as stipulated in the grant agreement, including, but not limited to the following:

- Grantees are required to carry out activities consistent with the original proposal summary statement and the intent of the application as approved for funding, including in instances where the grant award may be less than the original request amount.
- Changes to funded activities must be proposed in advance, and would require prior written approval from CAC staff. Requests for changes are considered on a case-by-case basis; approval is not guaranteed.
- To better inform our elected representatives as to the value of the arts and the use of state funds, grantees are required to include—with the approved grant agreement—copies of signed letters sent to the Governor and state Senate and Assembly representatives thanking them for the grant.
- Use the CAC logo on all printed, electronic materials and websites (programs, catalogs, postcards, posters, newsletters, leaflets, publications, etc.) that specifically reference this grant.
- Credit the CAC on all printed and electronic materials: “This activity is funded in part by the California Arts Council, a state agency.”
- Provide a report summarizing grant-funded activities and accomplishments at the end of the Grant Activity Period.

**California Model Agreement (AB20) and Indirect Costs**
In order to comply with AB20 requirements, University of California and California State University grantees are required to secure an indirect cost waiver from The Regents of the University of California or The Trustees of the California State University.

**Appeal Process**
Appeals to CAC funding decisions must be submitted on an official Appeal Form, available from the CAC, and postmarked within 45 days of the decision. Appeals are granted only on the following grounds:

1. Panel’s assessment was based on a misstatement of factual information as contained in the application such that it negatively influenced the panel’s recommendation; and/or
2. Incorrect processing of the required application material such that it negatively influenced the panel’s assessment of the applicant’s request for funding.

**Note:** Dissatisfaction with award denial or with award amount is not grounds for appeal.

**Staff Assistance**
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**J. Andrea Porras Arts Program Specialist**
[andrea.porras@arts.ca.gov](mailto:andrea.porras@arts.ca.gov)
(916) 322-6395
ARTS EDUCATION EXPOSURE
2019-2020 Grant Guidelines & Application Instructions

Deadline: November 8, 2019 11:59 PM

The mission of the California Arts Council, a state agency, is to advance California through the arts and creativity.

Learn more at www.arts.ca.gov

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California Arts Council

Governor of California
Gavin Newsom

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www.arts.ca.gov

Office Hours
8:00 AM - 5:00 PM
Monday through Friday
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**Requirements:** The CAC is mandated both by federal and state regulations to fund only organizations that have proof of nonprofit status under sec. 501(c)(3) of the Internal Revenue Code (Fiscal Receivers are eligible in some programs), or under sec. 23701d of the California Revenue and Taxations Code, or entities that are a unit of government; and that comply with the Civil Rights Acts of 1964, as amended; sec. 504 of the Rehabilitation Act of 1973, as amended; the Age Discrimination Act of 1975; the Drug-Free Workplace Act of 1988; California Government Code secs. 11135-11139.5 (barring discrimination); the Fair Labor Standards Act, as defined by the Secretary of Labor in part 505 of title 29 of the Code of Federal Regulation; the Americans With Disabilities Act of 1990 (“ADA”); the Fair Employment and Housing Act; and the Personal Responsibility and Work Opportunity Reconciliation Act of 1996.

**Ownership, Copyrights, Royalties, Credit:** The CAC does not claim ownership, copyrights, royalties, or other claim to artwork produced as a result of a CAC grant. However, the CAC reserves the right to reproduce and use such material for official, noncommercial purpose, including but not limited to use on the CAC website, social media and print materials. In addition, the CAC requires documentation of grants activity, and appropriate credit for CAC partial support.
ARTS EDUCATION EXPOSURE
2019-20 GRANT GUIDELINES
DEADLINE: November 8, 2019 11:59 PM
Apply at calartscouncil.smartsimple.com
Up to $20,000
Grant Activity Period: July 1, 2020 – June 30, 2021

Background and Purpose
Since 1976, the CAC has supported arts education programs that bring together local arts organizations, teaching artists, and school communities through its grants. The CAC’s arts education grant programs are rooted in the agency’s belief that robust, sequential, culturally and linguistically responsive arts learning is an essential tool for healthy human development, and that it should be a core element of the education of every student. The overarching purpose of our arts education grant programs is to expand participation in meaningful arts learning opportunities by supporting these activities in a diversity of settings and contexts for infants, children, and youth.

In 2016, the CAC expanded our arts education offerings to include the Arts Education Exposure program. The purpose of the Exposure program is to support attendance at high-quality performances and exhibits for students who would particularly benefit from these experiences. Students may be identified as priority communities for participation due to socio-economic status, geographic region, or other aspects of students’ life experiences that elevate the impact of having opportunities to engage with these cultural assets. Exposure program experiences may include art exhibits and performances, field trips to arts venues, and/or in-school assemblies.

Artists involved in this grant category will demonstrate the highest level of rigor and commitment to craft, and their work will offer deep cultural resonance with the student communities to be served. Student artwork, whether prepared in advance or executed onsite, is not appropriate as the artistic focus of this grant category. The impact of student attendance at artistic events should be complemented by pre- and post-attendance activities, such as artist talkbacks, teaching artist workshops, and/or facility tours.

Program Goals
Projects should address the following Exposure program goals:

- Promote culturally and linguistically responsive learning through the arts, using cultural knowledge to support the cultural assets of the local community and students’ positive self-identification within and respect for diverse cultures.
● Develop long-term, mutually beneficial relationships between arts and educational organizations, teaching artists, and the youth and families in the communities they serve.
● Cultivate students’ appreciation for the arts and understanding of themselves as the next generation of arts patrons and participants.

**Project Requirements**

- The applicant must develop and complete a project addressing the program’s purpose by June 30, 2021.
- All activities to be funded by the CAC must occur within the Grant Activity Period (see [Timeline](#)).
- Professional fees for artists must be included. Rates of compensation for individual artists and/or arts workers to be supported by this grant must be appropriate to experience and comparable to fees for other local skilled workers.
- The project must enable students to understand and engage in specific art forms and to develop their creativity, skills and knowledge. The applicant should present well-developed project goals, learning objectives, activities, and assessment tools.
- Students must engage in supplementary educational activities related to the performance or exhibition, and may include, but are not limited to pre- and/or post-show discussions, workshops, or in-school seminars. The applicant must develop and distribute a study guide to each classroom teacher whose students will be engaged in the project.
- The applicant must demonstrate that students engaged in the project constitute a priority community for arts exposure.
- The project should demonstrate significant planning that reflects a collaborative relationship between the arts organization, the school, and the participating classroom teachers.
- Project activities should explicitly align with California Visual and Performing Arts standards, as well as Common Core Standards.
- Arts events, curriculum, and all project components must be free of charge to students.
- If proposing therapeutic outcomes, applicants must establish the qualifications of service providers, how strategies are appropriate to the clinical and/or community arts setting, and how support is culturally responsive to participants.
- Project design and implementation must reflect a commitment to include and represent the communities to be served.
- All CAC-funded programs, services, information, and facilities where funded activities take place must be accessible for individuals with disabilities, including, but not limited to, individuals who are deaf, hard of hearing, deaf-blind, have difficulty speaking, have a physical disability, visual disability, developmental disability, learning disability, mental illness or chronic illness. Please see [Page 3: Requirements](#) for more information.

**Eligible Request Amounts**

- Applicant organizations can request up to $20,000.
- The total request for funding in this grant program cannot exceed 50% of an organization’s total operating revenue from the most recently completed fiscal
year, as provided on the Budget Snapshot at the time of application.

Eligibility

- Applicants must either be a California-based nonprofit arts organization, arts-based unit of municipal or county government, or tribal government.
- All applicants must have a minimum two-year history of consistent engagement in arts programming and/or services prior to the application deadline.
- All applicant organizations must have a principal place of business in California.
- Applicant organizations must demonstrate proof of nonprofit status under section 501(c)(3) of the Internal Revenue Code, or section 23701d of the California Revenue and Taxation Code, or must be a unit of government, including California Native American or Indigenous tribal governments.
  - **Fiscal Sponsors:** An applicant without nonprofit status may use a California-based Fiscal Sponsor with a federal 501(c)(3) designation to conduct work on a not-for-profit project. The Fiscal Sponsor will provide the fiscal and administrative services needed to complete the grant. **If a grant is awarded, the Fiscal Sponsor becomes the legal contractor.** The Fiscal Sponsor must also demonstrate consistent arts services or programming in California for a minimum of two years prior to the application deadline. See additional information on the use of [CAC Fiscal Sponsors](#).
  - Applicants using Fiscal Sponsors must submit all required materials for the Fiscal Sponsor at the time of application.
- All applicants must submit all required application materials and information at the time of submission. Incomplete applications are ineligible and will not be reviewed by the panel. Please see Application Instructions for all required materials and information.
  - The submission of an SMU DataArts Funder Report is not required for this program. However, applicants must provide a minimum of two, and up to three years of basic financial information via the Budget Snapshot within the application.

California Secretary of State Certificate of Status

Nonprofit organizations must have “active status” with the California Secretary of State (SOS) showing evidence of “good standing” at the time of application.

You can verify your organization’s status by conducting a search using the SOS online Business Search tool. An indication of “active” (versus “suspended,” “dissolved,” “cancelled,” etc.) confirms that your nonprofit corporation exists, is authorized to conduct business in the State of California, has met all licensing and corporation requirements, and has not received a suspension from the Franchise Tax Board.

Funding Restrictions

- The total of all requests for CAC support during the same year of funding cannot exceed 50% of an organization’s total operating revenue from the most
recently completed fiscal year, as reported in the Budget Snapshot at the time of
application.

- Applicants to this program are not restricted from applying for and receiving
  additional CAC program grants as long as those funds are used for different
  projects and purposes. To meet this criterion, applicants must demonstrate that
  projects:
    - Serve primarily different groups of people
    - Take place in different spaces, times, and/or contexts
    - Achieve fundamentally distinct programmatic outcomes

**Matching Funds**

All grant recipients must provide a 1:1 match for this grant. If applying for multiple CAC
grants in a single fiscal year, distinct matching funds must be identified within each
application. State funds cannot be used to meet the match. The status of each source
must be specified as either projected, pending or committed.

The matching funds requirement may be met entirely through cash match
sources, or by applying the value of in-kind sources for up to 50% of the match.

**Cash match** sources include corporate or private contributions; municipal, county, or
federal government funding; or earned income, while **in-kind match** refers to goods or
services, rather than currency, and for which monetary value can be determined. The
use of in-kind contributions to meet the CAC matching funds requirement acknowledges
that some organizations may not have the financial capability to provide a 1:1 cash
match, but can demonstrate the capacity to carry out the activities in the grant
application through other sources.

If utilizing the value of in-kind support to meet the match, keep in the mind the following:

- **In-kind contributions may only be provided by third parties.**
- A third party is defined as a service provider, partner, or supplier that is
  independent of the applicant organization and the CAC, and is not compensated
  through grant funds.
- In-kind contributions may be in the form of space; pro bono consultancy, training
  or services; supplies; and other expendable property that are given free of charge
  to the organizations.
- In-kind contributions by state entities are ineligible.
- In-kind contributions must be reflected as an expense in the grant application
  budget.

See additional information on [CAC In-kind Contributions](#). If you have questions about in-
kind contribution documentation or eligibility, please contact the designated staff (see
[Staff Assistance](#)).

**What the CAC Does Not Fund**

- As applicants:
  - Individuals
- State agencies
- Federal agencies
- Non-arts organizations not involved in arts activities
- For-profit organizations

- Nonprofit organizations not in “good standing” with California Secretary of State
- Former grantee organizations not in compliance with CAC grant requirements (as stipulated in grant agreement)
- Expenses incurred before the start date or after the ending date of the Grant Activity Period
- Expenses that would supplant other state funding*
- Operational, administrative or indirect costs of schools, colleges, or universities, or any activities that are part of the curricular base of these institutions
- Fundraising activities or services such as annual campaigns, fundraising events, or grant writing
- Lobbying activities that are intended to influence the actions, policies, or decisions of government officials or specific legislation
- Programs or services intended for private use, or for use by restricted membership
- Projects with religious purposes
- Trusts, endowment funds or investments
- Capital outlay, including construction projects or purchase of land and buildings
- Equipment
- Debt repayment
- Hospitality, meals or food
- Out-of-state travel

*Neither the award nor the match may be used to supplant other state funding, including salaries of state employees or general operating expenses of school districts, County Offices of Education, or publicly funded schools, colleges or universities.

**Application Process**

Applications will be available online through the CAC’s online grants management system at calartscouncil.smartsimple.com. Only applications submitted through the system by the deadline will be accepted. More information can be found on the Grant Programs page of the CAC website.

**Review Criteria**

A review panel will adjudicate applications based on the following criteria:

- **Project Design and Implementation:** Project design indicates realistic timeline, appropriate budget, clear artistic and community-based objectives, and achievable goals. Design includes methods to evaluate and measure success, collect and analyze data, and document activities. Design demonstrates depth of participant involvement and clear plans for community outreach and marketing.

- **Artistic Merit:** California artists are involved at every stage of the project design and execution and demonstrate skills, expertise, and experiences that are central to the goals of the project and program.
● **Community Impact:** Project demonstrates reach and/or depth of engagement in a defined community. Project is responsive to the community to be served. Project execution and evaluation involve significant community participation in accordance with the identified program goals and project requirements.

● **Management and Leadership:** Ability of applicant organization to implement proposed project is clearly demonstrated by qualifications of project’s team, viability of project budget, and overall fiscal and managerial health of applicant and partnering organizations.

● **Equity and Accessibility:** Proposal reflects fair practices to accessing resources and the inclusion of all communities in the representation, participation and creation of services and programs. Proposal reflects evidence that CAC-funded programs, services, information, and facilities where funded activities are to take place will be accessible for individuals with disabilities, including, but not limited to, individuals who are deaf, hard of hearing, deaf-blind, have difficulty speaking, have a physical disability, visual disability, developmental disability, learning disability, mental illness or chronic illness.

**Panel Adjudication and Ranking Scale**
Panelists review applications and work samples using the 6-point ranking scale below.

<table>
<thead>
<tr>
<th>Rank Title</th>
<th>Rank Description</th>
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</table>
| 6 Exemplary | Fully achieves the purpose of the program  
*Meets all of the review criteria and project requirements to the highest degree* |
| 5 Strong   | Strongly achieves the purpose of the program  
*Meets all of the review criteria and project requirements to a significant degree* |
| 4 Good     | Sufficiently achieves the purpose of the program  
*Meets all of the review criteria and project requirements to some degree* |
| 3 Fair     | Moderately achieves the purpose of the program  
*Meets most of the review criteria and project requirements* |
| 2 Marginal | Minimally achieves the purpose of the program  
*Meets some of the review criteria and project requirements* |
| 1 Weak     | Does not achieve the purpose of the program; proposals that are not appropriate for this grant category  
*Inadequately meets the review criteria or project requirements* |
California Arts Council Decision-making
The final authority for grant awards is the appointed Council. After receiving and reviewing the panel ranks, the Council will vote on final funding awards at a public meeting. Awards may differ from requested amounts based on rank.

Timeline

<table>
<thead>
<tr>
<th>November 8, 2019 11:59 PM</th>
<th>Application deadline (online)</th>
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<tbody>
<tr>
<td>January 2020</td>
<td>Funding decisions</td>
</tr>
<tr>
<td>January 2020</td>
<td>Funding notifications</td>
</tr>
<tr>
<td>July 1, 2020 – June 30, 2021</td>
<td>Grant Activity Period</td>
</tr>
<tr>
<td>July 31, 2021</td>
<td>Final Report deadline</td>
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Grantee Requirements
Grantees must comply with all requirements as stipulated in the grant agreement, including, but not limited to the following:

- Grantees are required to carry out activities consistent with the original proposal summary statement and the intent of the application as approved for funding, including in instances where the grant award may be less than the original request amount.
- Changes to funded activities must be proposed in advance, and would require prior written approval from CAC staff. Requests for changes are considered on a case-by-case basis; approval is not guaranteed.
- To better inform our elected representatives as to the value of the arts and the use of state funds, grantees are required to include—with the approved grant agreement—copies of signed letters sent to the Governor and state Senate and Assembly representatives thanking them for the grant.
- Use the CAC logo on all printed, electronic materials and websites (programs, catalogs, postcards, posters, newsletters, leaflets, publications, etc.) that specifically reference this grant.
- Credit the CAC on all printed and electronic materials: “This activity is funded in part by the California Arts Council, a state agency.”
- Provide a report summarizing grant-funded activities and accomplishments at the end of the Grant Activity Period.

California Model Agreement (AB20) and Indirect Costs
In order to comply with AB20 requirements, University of California and California State University grantees are required to secure an indirect cost waiver from The Regents of the University of California or The Trustees of the California State University.

Appeal Process
Appeals to CAC funding decisions must be submitted on an official Appeal Form, available from the CAC, and postmarked within 45 days of the decision. Appeals are granted only on the following grounds:

1. Panel’s assessment was based on a misstatement of factual information as contained in the application such that it negatively influenced the panel’s recommendation; and/or
2. Incorrect processing of the required application material such that it negatively influenced the panel's assessment of the applicant's request for funding.

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2019-2020 Grant Guidelines

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Background and Purpose
The Arts and Public Media (APM) program supports projects by nonprofit media organizations* that build public awareness and support for the arts. This grant program is rooted in the California Arts Council’s (CAC) belief that the arts are a central component of daily life. It aims to contribute to a vision where the arts are accessible to all, reflect contributions from all of California’s diverse populations, and foster civic engagement.

The purpose of this grant program is to support the production and programming of engaging multiplatform media projects. Supported projects engage Californians with authentic local stories and experiences centered on the value of arts, culture, and creative expression within California. Multiplatform media projects refer to content that is delivered in the context of a wide range of distribution possibilities and not just a single delivery platform.

Examples of project types include, but are not limited to, presentation, distribution, exhibition, and preservation activities:

- Production of podcasts, television programs, radio programs, web series, short form films, or print media that highlights California’s artists, cultures, and creative expression. This may involve single programs, limited series, or segments within an ongoing series.
- Film/video/audio/print media festivals and associated public programming that include artists, critics, and/or scholars, are open to the general public, and demonstrate meaningful community engagement and discussion.
- Curated series, screenings, exhibitions, installations, and associated programming featuring any genre of art that uses radio, television, film, print media, or other media to create meaningful community engagement.
- Distribution of electronic media, film, and technology-related artworks.

Program Goals
Projects should address the following Arts and Public Media program goals:

- Demonstrate the impact and benefits of arts, culture, and creative expression through professional media storytelling and/or reporting.
● Engage community partners, both within and outside of the traditional arts and media sectors, with the ability to amplify authentic voices that represent the diversity and complexity of California.

● Foster community dialogue and engagement through innovative multiplatform arts and culture-based project activities, including, but not limited to radio or television broadcast, digital media, interactive strategies, and/or live public events.

● Feature California and/or California-related artists and arts organizations, community arts projects, and/or arts education initiatives.

Project Requirements

● The applicant must develop and complete a project addressing the program’s purpose by June 30, 2021.

● All activities to be funded by the CAC must occur within the Grant Activity Period (see Timeline).

● The production and primary distribution of the project must take place in California.

● Project must include detailed timeline and budget, indicating a thoughtful approach to engaging the community, as well as space, time, and equipment requirements. Involve appropriate California media professionals: producer, director, writer, designer, reporter, as needed.

● Must be an expansion of an existing project or a new project (i.e. grant does not support ongoing operational costs or general underwriting).

● Must be a multiplatform media project that includes more than one method of content distribution and/or engagement.

● Rates of compensation for individual artists and/or arts workers to be supported by this grant must be appropriate to experience and comparable to fees for other local skilled workers.

● If proposing therapeutic outcomes, applicants must establish the qualifications of service providers, how strategies are appropriate to the clinical and/or community arts setting, and how support is culturally responsive to participants.

● Project design and implementation must reflect a commitment to include and represent the communities to be served.

● All CAC-funded programs, services, information, and facilities where funded activities take place must be accessible for individuals with disabilities, including, but not limited to, individuals who are deaf, hard of hearing, deaf-blind, have difficulty speaking, have a physical disability, visual disability, developmental disability, learning disability, mental illness or chronic illness. Please see Page 3: Requirements for more information.

Eligible Request Amounts

● Applicant organizations can request up to $20,000.

● The total request for funding in this grant program cannot exceed 50% of an organization’s total operating revenue from the most recently completed fiscal year, as provided on the Budget Snapshot at the time of application.

Eligibility
● Applicants must be either a California-based nonprofit media organization*, municipal or county arts agency, or tribal government managing a public media station.

  *A nonprofit entity that has a primary activity and mission of disseminating information to the general public or a specific community through a newspaper, magazine, zine, media festival, or other publication; or radio, podcast, television, cable television, or other medium of mass communication.

● All applicants must have a minimum two-year history of consistent engagement in arts programming and/or services prior to the application deadline.

● All applicant organizations must have a principal place of business in California.

● Applicant organizations must demonstrate proof of nonprofit status under section 501(c)(3) of the Internal Revenue Code, or section 23701d of the California Revenue and Taxation Code, or must be a unit of government, including California Native American or Indigenous tribal governments.

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- The total of all requests for CAC support during the same year of funding cannot exceed 50% of an organization’s total operating revenue from the most recently completed fiscal year, as reported in the Budget Snapshot at the time of application.
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All grant recipients must provide a 1:1 match for this grant. If applying for multiple CAC grants in a single fiscal year, distinct matching funds must be identified within each application. State funds cannot be used to meet the match. The status of each source must be specified as either projected, pending or committed.

The matching funds requirement may be met entirely through cash match sources, or by applying the value of in-kind sources for up to 50% of the match.

Cash match sources include corporate or private contributions; municipal, county, or federal government funding; or earned income, while in-kind match refers to goods or services, rather than currency, and for which monetary value can be determined. The use of in-kind contributions to meet the CAC matching funds requirement acknowledges that some organizations may not have the financial capability to provide a 1:1 cash match, but can demonstrate the capacity to carry out the activities in the grant application through other sources.

If utilizing the value of in-kind support to meet the match, keep in mind the following:

- In-kind contributions may only be provided by third parties.
- A third party is defined as a service provider, partner, or supplier that is independent of the applicant organization and the CAC, and is not compensated through grant funds.
- In-kind contributions may be in the form of space; pro bono consultancy, training or services; supplies; and other expendable property that are given free of charge to the organizations.
- In-kind contributions by state entities are ineligible.
- In-kind contributions must be reflected as an expense in the grant application budget.

See additional information on CAC In-kind Contributions. If you have questions about in-kind contribution documentation or eligibility, please contact the designated staff (see Staff Assistance).

What the CAC Does Not Fund
• As applicants:
  o Individuals
  o State agencies
  o Federal agencies
  o Non-arts organizations not involved in arts activities
  o For-profit organizations
• Nonprofit organizations not in “good standing” with California Secretary of State
• Former grantee organizations not in compliance with CAC grant requirements (as stipulated in grant agreement)
• Expenses incurred before the start date or after the ending date of the Grant Activity Period
• Expenses that would supplant other state funding*
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• Fundraising activities or services such as annual campaigns, fundraising events, or grant writing
• Lobbying activities that are intended to influence the actions, policies, or decisions of government officials or specific legislation
• Programs or services intended for private use, or for use by restricted membership
• Projects with religious purposes
• Trusts, endowment funds or investments
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• Debt repayment
• Hospitality, meals or food
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*Neither the award nor the match may be used to supplant other state funding, including salaries of state employees or general operating expenses of school districts, County Offices of Education, or publicly funded schools, colleges or universities.

**Application Process**
Applications will be available online through the CAC’s online grants management system at calartscouncil.smartsimple.com. Only applications submitted through the system by the deadline will be accepted. More information can be found on the Grant Programs page of the CAC website.

**Review Criteria**
A review panel will adjudicate applications based on the following criteria:
• **Project Design and Implementation**: Project design indicates realistic timeline, appropriate budget, clear artistic and community-based objectives, and achievable goals. Design includes methods to evaluate and measure success, collect and analyze data, and document activities. Design demonstrates depth of participant involvement and clear plans for community outreach and marketing. The application successfully demonstrates that all relevant research for the
project has been completed, and that the project or program, is ready to advance to the production phase.

- **Artistic and Professional Merit:** Key personnel are identified and California media professionals are involved at every stage of the project design and execution and demonstrate skills, expertise, and experiences that are central to the goals of the project and program.

- **Community Impact:** Project demonstrates reach and/or depth of engagement in a defined community and/or broad reach within California. Project is responsive to the community to be served. Project execution and evaluation involve significant community participation in accordance with the identified program goals and project requirements.

- **Management and Leadership:** Ability of applicant organization to implement proposed project is clearly demonstrated by qualifications of project’s team, viability of project budget, and overall fiscal and managerial health of applicant and partnering organizations.

- **Equity and Accessibility:** Proposal reflects fair practices to accessing resources and the inclusion of all communities in the representation, participation and creation of services and programs. Proposal reflects evidence that CAC-funded programs, services, information, and facilities where funded activities are to take place will be accessible for individuals with disabilities, including, but not limited to, individuals who are deaf, hard of hearing, deaf-blind, have difficulty speaking, have a physical disability, visual disability, developmental disability, learning disability, mental illness or chronic illness.

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<td></td>
<td>Fully achieves the purpose of the program</td>
</tr>
<tr>
<td></td>
<td><em>Meets all of the review criteria and project requirements to the highest degree</em></td>
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<tr>
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<td>Strong</td>
</tr>
<tr>
<td></td>
<td>Strongly achieves the purpose of the program</td>
</tr>
<tr>
<td></td>
<td><em>Meets all of the review criteria and project requirements to a significant degree</em></td>
</tr>
<tr>
<td>4</td>
<td>Good</td>
</tr>
<tr>
<td></td>
<td>Sufficiently achieves the purpose of the program</td>
</tr>
<tr>
<td></td>
<td><em>Meets all of the review criteria and project requirements to some degree</em></td>
</tr>
<tr>
<td>3</td>
<td>Fair</td>
</tr>
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<td></td>
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*Meets some of the review criteria and project requirements*

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**California Arts Council Decision-making**
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**California Model Agreement (AB20) and Indirect Costs**
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**Maya Austin, M.A, Arts Program Specialist**
maya.austin@arts.ca.gov
(916) 322-6584
CULTURAL PATHWAYS
2019-2021 Grant Guidelines & Application Instructions

Deadline: November 15, 2019 11:59 PM

The mission of the California Arts Council, a state agency, is to advance California through the arts and creativity.

Learn more at www.arts.ca.gov

© 2019 State of California
**Purpose:** The California Arts Council (CAC), a state agency, was established in January 1976 to encourage artistic awareness, participation, and expression; to help independent local groups develop their own arts programs; to promote employment of artists and those skilled in crafts in the public and private sector; and to enlist the aid of all state agencies in the task of ensuring the fullest expression of our artistic potential.

**The Council:** The appointed Council of the CAC consists of 11 members who serve staggered terms. The Governor appoints nine members, the assembly Speaker appoints one member, and the Senate President pro Tempore appoints one member. Council members serve without salary, elect their own officers, and meet throughout the state to encourage public attendance. This body sets policy and has final approval of CAC grants.

**Mission:** Advancing California through the arts and creativity.

**Vision:** The CAC envisions a California where the lives of all Californians are enriched by access to and participation in a diverse spectrum of arts and cultural experiences and the arts ecosystem reflects contributions from all of California’s diverse populations.

**Funding:** The CAC is a state agency, funded from the state’s annual budget process and proceeds from the California Arts License Plate and the Keep Arts in Schools tax return voluntary contribution fund, supplemented by funds from the National Endowment for the Arts. Its grants are usually matched by foundations, individuals, earned income, government agencies, or other organizations.

**Information Access:** Due to the Public Records and Open Meeting Acts, applications and their attachments are not confidential and may be requested by the media and/or public. Meeting dates and locations are posted at [www.arts.ca.gov](http://www.arts.ca.gov). Observers may attend but may not participate in, or in any way interfere with, Council meetings. Each meeting provides a designated time for public comment, although comments may be time-limited.

**Grant Process:** Applications are evaluated by panels of experts, recognized in their respective fields, who rank applications according to program criteria. The CAC staff provides information but not recommendations to the panel. The Council reviews panel recommendations before making final funding decisions. CAC staff is responsible for grant contract administration after Council approval. In dire or unexpected circumstances, CAC reserves the right to make exceptions to any policy or procedure on a case-by-case basis.

**Requirements:** The CAC is mandated both by federal and state regulations to fund only organizations that have proof of nonprofit status under sec. 501(c)(3) of the Internal Revenue Code (Fiscal Receivers are eligible in some programs), or under sec. 23701d of the California Revenue and Taxations Code, or entities that are a unit of government; and that comply with the Civil Rights Acts of 1964, as amended; sec. 504 of the Rehabilitation Act of 1973, as amended; the Age Discrimination Act of 1975; the Drug-Free Workplace Act of 1988; California Government Code secs. 11135-11139.5 (barring discrimination); the Fair Labor Standards Act, as defined by the Secretary of Labor in part 505 of title 29 of the Code of Federal Regulation; the Americans With Disabilities Act of 1990 (“ADA”); the Fair Employment and Housing Act; and the Personal Responsibility and Work Opportunity Reconciliation Act of 1996.

**Ownership, Copyrights, Royalties, Credit:** The CAC does not claim ownership, copyrights, royalties, or other claim to artwork produced as a result of a CAC grant. However, the CAC reserves the right to reproduce and use such material for official, noncommercial purpose, including but not limited to use on the CAC website, social media and print materials. In addition, the CAC requires documentation of grants activity, and appropriate credit for CAC partial support.
CULTURAL PATHWAYS
2019-21 GRANT GUIDELINES
DEADLINE: November 15, 2019 11:59 PM
Apply at calartscouncil.smartsimple.com
Up to $20,000
Grant Activity Period: July 1, 2020 – June 30, 2022

Background and Purpose
The Cultural Pathways (Pathways) program is rooted in the California Arts Council’s (CAC) commitment to serving the needs of an increasingly demographically complex California, and the belief that a healthy arts ecosystem reflects contributions from all of California’s diverse populations.

The purpose of the Pathways program is to strengthen the capacity of small, new and emerging arts organizations that are rooted in communities of color, recent immigrant and refugee communities, and tribal or indigenous groups, and to anchor the cultural and creative work of these organizations into the cultural landscape of the state.

Intended Outcomes of Pathways:
• Authentic and creative voices of all of California’s diverse communities are celebrated and nurtured.
• A greater diversity of artists, organizations and communities across the state are supported.
• The organizational capacity of small, new and emerging arts and cultural organizations serving these communities are strengthened.
• Administrative, artistic, and governance personnel receive significant professional development necessary to make transformational growth within their organizations.

Program Description
Successful applicants will receive two years of general operating support up to an amount of $10,000 per year, over the course of the two-year program. Successful applicants will also receive technical assistance and professional development tools, resources, and training. Technical assistance may take the form of convenings, webinars, learning communities, and workshops.
Program Goals
Projects should address the following Cultural Pathways program goals:

- Strengthen organizational capacity of small, new and emerging arts and cultural organizations.
- Advance specific skills and knowledge of key administrative, artistic, and governance personnel.
- Support the strategic and long-term stability of an organization.
- Increase access to best practices in nonprofit and public sector management.

Program Requirements
General operating support is intended to support the organization or group in carrying out their mission. Cultural Pathways funding is not intended to support a specific project.

- The applicant must complete general operating support activities aligned with the program’s purpose by June 30, 2022.
- All activities to be funded by the CAC must occur within the two-year Grant Activity Period (see Timeline).
- Organization must support a community of color, recent immigrant community, refugee community, tribal or indigenous group.
- Organization must include and represent the communities to be served.
  - Organization mission, staff, and board (or other advisory or governing body) must remain deeply rooted in and reflective of the identified community or group.
  - Provided arts activities, programs and/or services must be culturally relevant and responsive to the identified community or group.
- All CAC-funded programs, services, information, and facilities where funded activities take place must be accessible for individuals with disabilities, including, but not limited to, individuals who are deaf, hard of hearing, deaf-blind, have difficulty speaking, have a physical disability, visual disability, developmental disability, learning disability, mental illness or chronic illness. Please see Page 3: Requirements for more information.

Successful applicants will be required to:

- Participate in Technical Assistance and Professional Development activities offered remotely and in person through the CAC and program partners
- Contribute to the learning community of the grantee cohort
- Attend one regional convening per year (travel assistance provided)
- Complete an Interim Report at the end of the first year of the grant
- Complete a Final Report at the end of the grant period

Technical Assistance may include the following areas:

- Online grants management system support
- Grant evaluation and reporting
- Additional areas as identified by the grantee cohort
Professional Development may include the following areas:

- Strategic planning and implementation
- Leadership and board development
- Nonprofit financial management
- Fund development strategies
- Marketing and outreach
- Data and systems management
- Arts Presenting
- Communicating the value of your work
- Program Evaluation
- Developing and maintaining partnerships
- Additional areas as identified by the grantee cohort

Eligible Request Amounts

- Applicant organizations can request up to $20,000 for the two-year grant period.
- The total request for funding in this two-year grant program cannot exceed 100% of an organization’s total operating revenue from the most recently completed fiscal year, as provided on the Budget Snapshot at the time of application.

Eligibility

Pathways supports California-based arts organizations deeply rooted in, and reflective of communities of color, recent immigrant and refugee communities, and tribal or indigenous groups.

- Applicants must either be a California-based nonprofit arts organization or California-based unincorporated arts organization (such as artist groups and artist-collectives) led by California-based artists and arts administrators. These entities must apply in partnership with a Fiscal Sponsor (see below).
- Applicants must have a total operating revenue of less than $150,000 for each of the last two completed fiscal years, as reported in the Budget Snapshot at the time of application.
- All applicants must have a minimum two-year history of consistent engagement in arts programming and/or services prior to the application deadline.
- All applicant organizations must have a principal place of business in California and be accessible to the general public.
- Applicant organizations must demonstrate proof of nonprofit status under section 501(c)(3) of the Internal Revenue Code, or section 23701d of the California Revenue and Taxation Code.
  - Fiscal Sponsors: An applicant without nonprofit status may use a California-based Fiscal Sponsor with a federal 501(c)(3) designation to conduct work on a not-for-profit project. The Fiscal Sponsor will provide the fiscal and administrative services needed to complete the grant. If a grant is awarded, the Fiscal Sponsor becomes the legal contractor. The Fiscal Sponsor must also demonstrate consistent arts services or programming in California for a minimum of two years prior to the
application deadline. See additional information on the use of CAC Fiscal Sponsors.

- Applicants using Fiscal Sponsors must submit all required materials for the Fiscal Sponsor at the time of application.

- All applicants must submit all required application materials and information at the time of submission. Incomplete applications are ineligible and will not be reviewed by the panel. Please see Application Instructions for all required materials and information.

  - The submission of an SMU DataArts Funder Report is not required for this program. However, applicants must provide a minimum of two, and up to three years of basic financial information via the Budget Snapshot within the application.

**California Secretary of State Certificate of Status**

Nonprofit organizations must have “active status” with the California Secretary of State (SOS) showing evidence of “good standing” at the time of application.

You can verify your organization’s status by conducting a search using the SOS online Business Search tool. An indication of “active” (versus “suspended,” “dissolved,” “cancelled,” etc.) confirms that your nonprofit corporation exists, is authorized to conduct business in the State of California, has met all licensing and corporation requirements, and has not received a suspension from the Franchise Tax Board.

**Funding Restrictions**

- The total of all requests for CAC support during the same year of funding cannot exceed 50% of an organization’s total operating revenue from the most recently completed fiscal year, as reported in the Budget Snapshot at the time of application.
- Applicants to this program are not restricted from applying for and receiving additional CAC project grants.

**Matching Funds**

This program does not require matching funds.

**What the CAC Does Not Fund**

- As applicants:
  - Individuals
  - State agencies
  - Federal agencies
  - Non-arts organizations not involved in arts activities
  - For-profit organizations
- Nonprofit organizations not in “good standing” with California Secretary of State
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**Application Process**
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**Review Criteria**
A panel will adjudicate applications based on the following criteria:

- **Management and Leadership**: Experience and qualifications of key administrative personnel. Level of involvement, engagement, and support provided by staff, volunteers, and if applicable, advisory groups and board of directors. Letter of support from a key external stakeholder, partner or collaborator, affirming the organization’s capacity to serve the community.

- **Equity and Impact**: Demonstration that organization is rooted in, reflective of, and responsive to the identified community benefiting from its work. Evidence of ability to nurture authentic and creative voices from within the identified community.

- **Communication and Documentation**: Ability to communicate programs and services to constituents. Ability to document the relevance, impact and benefits of the organization’s programs and services, including both qualitative (storytelling) and quantitative methods.
• **Access**: Evidence that CAC-funded programs, services, information, and facilities where funded activities are to take place will be accessible for individuals with disabilities, including, but not limited to, individuals who are deaf, hard of hearing, deaf-blind, have difficulty speaking, have a physical disability, visual disability, developmental disability, learning disability, mental illness or chronic illness.

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to a significant degree |
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Jason Jong, Interim Programs Officer
jason.jong@arts.ca.gov
(916) 322-6338
JUMP STARTS
2019-2020 Grant Guidelines & Application Instructions

Deadline: November 15, 2019 11:59 PM

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Return to Agenda
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JUMP STARTS
2019-20 GRANT GUIDELINES
DEADLINE: November 15, 2019 11:59 PM
Apply at calartscouncil.smartsimple.com
Up to $50,000
Grant Activity Period: July 1, 2020 – June 30, 2021

Background and Purpose
The JUMP StArts program is rooted in the California Arts Council’s (CAC) commitment to ensuring that art is accessible to all Californians, including the young and vulnerable, specifically those who have been impacted by or are at elevated risk of being engaged in the justice system. These may be students who have been arrested, are on probation, or are incarcerated at a juvenile justice facility or at a court-appointed educational institution; these may also be youth who are living homeless, whose parent or guardian has been incarcerated, who are refugees, or who are pregnant or are parents themselves.

JUMP StArts supports high-quality arts education and artists-in-residence programs for these priority youth. Activities may take place in state- or county-operated correctional facilities, or in classroom, after-school, and/or social service settings. Though the project setting and context may involve youth that are not directly engaged in the justice system themselves (e.g., a community school), the majority of participants in the program should be system-engaged youth or youth at elevated risk of being engaged in the justice system, and the project should be tailored specifically to respond to their needs.

The project must be designed and developed in partnership between an arts organization and a juvenile justice and/or social service entity to create arts learning opportunities that foster positive socio-emotional, behavioral, academic, and developmental outcomes for these priority youth. The project should demonstrate significant planning and should reflect a collaborative relationship between the partnering organizations.

In 2017, the California State Legislature began dedicating funds specifically to support the program, including service to California Department of Corrections and Rehabilitation Division of Juvenile Justice Youth Facilities.

The JUMP StArts program has two project grant strands in which organizations may apply for and receive funding simultaneously:

JUMP StArts – State Facilities
JUMP StArts – County and Community Facilities
Planning grants are also available to support arts organizations in the process of developing an arts project for system-engaged youth (see JUMP StArts Planning Grant).

Program Goals
Projects should address the following JUMP StArts program goals:

- Provide opportunities for arts participation and arts education to youth who have been impacted by or are at elevated risk of being engaged in the justice system.
- Increase opportunities for California teaching artists and artists-in-residence to engage with priority youth populations in a variety of settings, including state- or county-operated correctional facilities, or in classroom, after-school, and/or social service settings.
- Support the professional development of teaching artists and facility staff in order to grow the capacity of the field of arts for priority youth populations.
- Promote culturally and linguistically responsive learning through the arts, using cultural knowledge to support the cultural assets of the local community and students’ positive self-identification and respect for diverse cultures.
- Measure impact and communicate the value of arts education and arts participation for these youth to juvenile justice and social service entities.
- Positively influence relationships between arts organizations, staff at the partner juvenile justice or social service entity, and probation departments through increased collaboration and ongoing communication.

Project Requirements

- The applicant must develop and complete a project addressing the program’s purpose by June 30, 2021.
- All activities to be funded by the CAC must occur within the Grant Activity Period (see Timeline).
- The project plan must describe activities, partnership responsibilities, intended artistic and youth development outcomes, and robust evaluation and documentation strategies.
- The project design must provide for safe, healthy, and appropriate learning environments for priority youth.
- The project must include professional development trainings for teaching staff in both facility protocols and trauma-informed practice.
- The project must be designed and developed in partnership between the applicant and one or more partner organizations. The applicant must be an arts partner with a history of serving the intended population working with at least one juvenile justice/social service partner serving the same youth. Partner commitment letters are required at time of the application.
- Financial plan must show project viability, community support, and leveraging of assets.
- Rates of compensation for individual artists and/or arts workers to be supported by this grant must be appropriate to experience and comparable to fees for other local skilled workers.
● Artist(s) to be compensated and supported by this grant must show relevant experience of **at least two years**, be based in California, and may not be engaged in the project as full-time students in a degree program.
● If proposing therapeutic outcomes, applicants must establish the qualifications of service providers, how strategies are appropriate to the clinical and/or community arts setting, and how support is culturally responsive to participants.
● Project design and implementation must reflect a commitment to include and represent the communities to be served.
● All CAC-funded programs, services, information, and facilities where funded activities take place must be accessible for individuals with disabilities, including, but not limited to, individuals who are deaf, hard of hearing, deaf-blind, have difficulty speaking, have a physical disability, visual disability, developmental disability, learning disability, mental illness or chronic illness. Please see [Page 3: Requirements](#) for more information.

**State Facilities: Partnership with the Division of Juvenile Justice (DJJ)**

The applicant must provide service at one or more of the following locations:

- N.A. Chaderjian Youth Correctional Facility
- O.H. Close Youth Correctional Facility
- Ventura Youth Correctional Facility

Applicants proposing a project in partnership with the DJJ must be in touch with Teresa Perez at [Teresa.Perez@cdcr.ca.gov](mailto:Teresa.Perez@cdcr.ca.gov) or (916) 683-7450 to plan the project and to secure a letter of support.

Applicants should be prepared to discuss the following when contacting DJJ:

- Type of program and proposed service to be provided
- Specific plan for space, time (length, duration, time of day), equipment, and participation of artists and DJJ staff
- Intended youth population and desired outcomes of efforts toward youth participation
- Identify outcomes and evaluation metrics appropriate to the scope of the project
- Identify training needs (facility safety and behavioral protocols) for participating teaching artists proposing to interact with DJJ youth

More information about the DJJ’s mission and guiding principles are available on the [DJJ page](#) of the Department of California Corrections and Rehabilitation website.

**Partnership with County and Community Facilities**

The applicant must partner with at least one of these entities serving youth engaged by the juvenile justice system, including but not limited to:

- County juvenile halls and camps
- Court schools
- Community schools
- Social service agencies or nonprofit organizations supporting priority youth populations
Given the transient context for system-engaged youth, applicants are encouraged to consider partnering with multiple agencies that serve youth in various aspects of their incarceration, probation, and re-entry to ensure consistent engagement and to maximize program impact.

All applicants must be in touch with an appropriate contact at the facility(ies) to plan the project and to secure a letter(s) of support.

**Duties and Responsibilities of Coordinators**

The project should demonstrate significant planning and should reflect a collaborative relationship between the arts organization and the facility/agency. Both the applicant and the partner organization should have defined project and decision-making responsibilities. Project must include a Project Coordinator from the applicant organization and a Partner Facility Coordinator from each partnering organization (see duties below).

**Project Coordinator should:**
- Develop a specific plan for space, time, equipment, and participation with artists and partner agency staff
- Act as facilitator and liaison between the arts organization, facilities, artists, and the CAC
- Develop and provide, in collaboration with juvenile justice or social service partner, all necessary and appropriate professional development training for teaching artists
- Comply with CAC reporting requirements

**Partner Facility Coordinator should:**
- Assure that the facility provides supplies, materials, and equipment necessary for the project
- Reserve an appropriate space for the arts program activity
- Ensure that the students can participate
- Ensure that teaching artists and all personnel from the arts organization that will be interacting with students have training in facility safety and behavioral protocols

**Joint Responsibilities should include:**
- Provide ongoing assistance to the artists and teachers
- Communicate regularly over the duration of the project regarding any necessary changes
- Identify outcomes and evaluation metrics appropriate to the scope of the project
- Publicize the project to parents, facility staff, superintendents of education, and local governmental officials

**JUMP StArts Planning Grant**

Planning grants are available to support arts organizations that have identified a juvenile justice or social service partner and are in the process of developing an arts project to serve these priority youth. Planning grants give organizations the opportunity to take the time to design the project thoughtfully and include meaningful contributions from both partners. The planning grants also can be used to pilot aspects of the program and
conduct any necessary training and/or professional development for the project staff. Planning grants are ranked “Fund” or “Not Fund” based on the strength and merit of the plan.

- Applicants may not apply for a planning grant in a strand (i.e., State Facilities or County/Community Facilities) in which they have previously been funded.
  - For example: if an organization has received a JUMP StArts grant to do work in a county or community facility, they may not apply for a planning grant to work with another county or community facility. However, the organization may apply for a planning grant to work at a state facility.
- Applicants may not receive a JUMP StArts planning grant and JUMP StArts project grant in the same strand during the same funding cycle.
- Planning grant requests may be made for $2,500 for a one-year grant, and do not require a match.

**Eligible Request Amounts**

- Applicant organizations can request up to $50,000.
- The total request for funding in this grant program cannot exceed 50% of an organization’s total operating revenue from the most recently completed fiscal year, as it appears in the SMU DataArts Funder Report.

**Eligibility**

- Applicants must either be a California-based nonprofit arts organization, arts-based unit of municipal or county government, or tribal government.
- The applicant must be the arts partner; the juvenile justice facility or social service agency acts as the project partner in all JUMP StArts grant applications.
- All applicants must have a minimum two-year history of consistent engagement in arts programming and/or services prior to the application deadline.
- All applicant organizations must have a principal place of business in California.
- Applicant organizations must demonstrate proof of nonprofit status under section 501(c)(3) of the Internal Revenue Code, or section 23701d of the California Revenue and Taxation Code, or must be a unit of government, including California Native American or Indigenous tribal governments.
  - **Fiscal Sponsors**: An applicant without nonprofit status may use a California-based Fiscal Sponsor with a federal 501(c)(3) designation to conduct work on a not-for-profit project. The Fiscal Sponsor will provide the fiscal and administrative services needed to complete the grant. If a grant is awarded, the Fiscal Sponsor becomes the legal contractor. The Fiscal Sponsor must also demonstrate consistent arts services or programming in California for a minimum of two years prior to the application deadline. See additional information on the use of CAC Fiscal Sponsors.
  - Applicants using Fiscal Sponsors must submit all required materials for the Fiscal Sponsor at the time of application.
- All applicants must submit all required application materials and information at the time of submission. Incomplete applications are ineligible and will not be reviewed.
by the panel. Please see Application Instructions for all required materials and information.

- This program requires the submission of an SMU DataArts Funder Report generated specifically for this CAC grant program. Applicants must provide a minimum of two, and up to three years of data in the Funder Profile, and submit a Funder Report meeting these requirements at the time of application.

California Secretary of State Certificate of Status
Nonprofit organizations must have “active status” with the California Secretary of State (SOS) showing evidence of “good standing” at the time of application.

You can verify your organization’s status by conducting a search using the SOS online Business Search tool. An indication of “active” (versus “suspended,” “dissolved,” “cancelled,” etc.) confirms that your nonprofit corporation exists, is authorized to conduct business in the State of California, has met all licensing and corporation requirements, and has not received a suspension from the Franchise Tax Board.

Funding Restrictions

- The total of all requests for CAC support during the same year of funding cannot exceed 50% of an organization’s total operating revenue from the most recently completed fiscal year, as it appears in the SMU DataArts Funder Report.
- Applicants to this program are not restricted from applying for and receiving additional CAC program grants as long as those funds are used for different projects and purposes. To meet this criterion, applicants must demonstrate that projects:
  - Serve primarily different groups of people
  - Take place in different spaces, times, and/or contexts
  - Achieve fundamentally distinct programmatic outcomes

Matching Funds

This program does not require matching funds.

What the CAC Does Not Fund

- As applicants:
  - Individuals
  - State agencies
  - Federal agencies
  - Non-arts organizations not involved in arts activities
  - For-profit organizations
- Nonprofit organizations not in “good standing” with California Secretary of State
- Former grantee organizations not in compliance with CAC grant requirements (as stipulated in grant agreement)
- Expenses incurred before the start date or after the ending date of the Grant Activity Period
- Expenses that would supplant other state funding*
- Operational, administrative or indirect costs of schools, colleges, or universities, or any activities that are part of the curricular base of these institutions
- Fundraising activities or services such as annual campaigns, fundraising events, or grant writing
- Lobbying activities that are intended to influence the actions, policies, or decisions of government officials or specific legislation
- Programs or services intended for private use, or for use by restricted membership
- Projects with religious purposes
- Trusts, endowment funds or investments
- Capital outlay, including construction projects or purchase of land and buildings
- Equipment
- Debt repayment
- Hospitality, meals or food
- Out-of-state travel

*Neither the award nor the match may be used to supplant other state funding, including salaries of state employees or general operating expenses of school districts, County Offices of Education, or publicly funded schools, colleges or universities.

**Application Process**
Applications will be available online through the CAC’s online grants management system at [calartscouncil.smartsimple.com](http://calartscouncil.smartsimple.com). Only applications submitted through the system by the deadline will be accepted. More information can be found on the [Grant Programs](http://calartscouncil.smartsimple.com) page of the CAC website.

**Review Criteria**
A review panel will adjudicate applications based on the following criteria:

- **Project Design and Implementation:** Project design indicates realistic timeline, appropriate budget, clear artistic and community-based objectives, and achievable goals. Design includes methods to evaluate and measure success, collect and analyze data, and document activities. Design demonstrates depth of participant involvement and clear plans for community outreach and marketing.

- **Artistic Merit:** California artists are involved at every stage of the project design and execution and demonstrate skills, expertise, and experiences that are central to the goals of the project and program.

- **Community Impact:** Project demonstrates reach and/or depth of engagement for a priority youth community. Project is responsive to the community to be served. Project development, execution, and evaluation involve significant collaboration with the juvenile justice or social service partner, in accordance with the identified program goals and project requirements.

- **Management and Leadership:** Ability of applicant organization to implement proposed project is clearly demonstrated by qualifications of project's team, viability of project budget, and overall fiscal and managerial health of applicant.

- **Equity and Accessibility:** Proposal reflects fair practices to accessing resources and the inclusion of all communities in the representation, participation...
and creation of services and programs. Proposal reflects evidence that CAC-funded programs, services, information, and facilities where funded activities are to take place will be accessible for individuals with disabilities, including, but not limited to, individuals who are deaf, hard of hearing, deaf-blind, have difficulty speaking, have a physical disability, visual disability, developmental disability, learning disability, mental illness or chronic illness.

Panel Adjudication and Ranking Scale
Panelists review applications and work samples using the 6-point ranking scale below.

<table>
<thead>
<tr>
<th>Rank Title</th>
<th>Rank Description</th>
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<tbody>
<tr>
<td>6</td>
<td>Exemplary&lt;br&gt;Fully achieves the purpose of the program&lt;br&gt;Meets all of the review criteria and project requirements to the highest degree</td>
</tr>
<tr>
<td>5</td>
<td>Strong&lt;br&gt;Strongly achieves the purpose of the program&lt;br&gt;Meets all of the review criteria and project requirements to a significant degree</td>
</tr>
<tr>
<td>4</td>
<td>Good&lt;br&gt;Sufficiently achieves the purpose of the program&lt;br&gt;Meets all of the review criteria and project requirements to some degree</td>
</tr>
<tr>
<td>3</td>
<td>Fair&lt;br&gt;Moderately achieves the purpose of the program&lt;br&gt;Meets most of the review criteria and project requirements</td>
</tr>
<tr>
<td>2</td>
<td>Marginal&lt;br&gt;Minimally achieves the purpose of the program&lt;br&gt;Meets some of the review criteria and project requirements</td>
</tr>
<tr>
<td>1</td>
<td>Weak&lt;br&gt;Does not achieve the purpose of the program; proposals that are not appropriate for this grant category&lt;br&gt;Inadequately meets the review criteria or project requirements</td>
</tr>
</tbody>
</table>

California Arts Council Decision-making
The final authority for grant awards is the appointed Council. After receiving and reviewing the panel ranks, the Council will vote on final funding awards at a public meeting. Awards may differ from requested amounts based on rank.

Timeline

<table>
<thead>
<tr>
<th>November 15, 2019 11:59 PM</th>
<th>Application deadline (online)</th>
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<tbody>
<tr>
<td>March 2020</td>
<td>Funding decisions</td>
</tr>
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</table>
Grantee Requirements
Grantees must comply with all requirements as stipulated in the grant agreement, including, but not limited to the following:

- Grantees are required to carry out activities consistent with the original proposal summary statement and the intent of the application as approved for funding, including in instances where the grant award may be less than the original request amount.
- Changes to funded activities must be proposed in advance, and would require prior written approval from CAC staff. Requests for changes are considered on a case-by-case basis; approval is not guaranteed.
- To better inform our elected representatives as to the value of the arts and the use of state funds, grantees are required to include—with the approved grant agreement—copies of signed letters sent to the Governor and state Senate and Assembly representatives thanking them for the grant.
- Use the CAC logo on all printed, electronic materials and websites (programs, catalogs, postcards, posters, newsletters, leaflets, publications, etc.) that specifically reference this grant.
- Credit the CAC on all printed and electronic materials: “This activity is funded in part by the California Arts Council, a state agency.”
- Provide a report summarizing grant-funded activities and accomplishments at the end of the Grant Activity Period.

California Model Agreement (AB20) and Indirect Costs
In order to comply with AB20 requirements, University of California and California State University grantees are required to secure an indirect cost waiver from The Regents of the University of California or The Trustees of the California State University.

Appeal Process
Appeals to CAC funding decisions must be submitted on an official Appeal Form, available from the CAC, and postmarked within 45 days of the decision. Appeals are granted only on the following grounds:

1. Panel’s assessment was based on a misstatement of factual information as contained in the application such that it negatively influenced the panel’s recommendation; and/or
2. Incorrect processing of the required application material such that it negatively influenced the panel’s assessment of the applicant’s request for funding.

Note: Dissatisfaction with award denial or with award amount is not grounds for appeal.

Staff Assistance
CAC staff is available to offer guidance and clarification in preparing your proposal. We recommend that you contact staff well in advance of the deadline to ensure you can be accommodated. People who are deaf, hard of hearing, deaf-blind, or have difficulty
speaking may dial 711 to reach the California Relay Service (CRS). Large print is available upon request.

Josy Miller, Ph.D., Arts Education Programs Specialist
josy.miller@arts.ca.gov
(916) 322-6385
LOCAL IMPACT
2019-2020 Grant Guidelines & Application Instructions

*Deadline: November 8, 2019 11:59 PM*

The mission of the California Arts Council, a state agency, is to advance California through the arts and creativity.

Learn more at [www.arts.ca.gov](http://www.arts.ca.gov)

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California Arts Council

Governor of California
Gavin Newsom

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Larry Baza, Vice Chair

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Kathleen Gallegos
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Stanlee Gatti
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Toll Free: (800) 201-6201
FAX: (916) 322-6575
CA Relay Service: 711
Large print is available upon request.

www.arts.ca.gov

Office Hours
8:00 AM - 5:00 PM
Monday through Friday
**Purpose:** The California Arts Council (CAC), a state agency, was established in January 1976 to encourage artistic awareness, participation, and expression; to help independent local groups develop their own arts programs; to promote employment of artists and those skilled in crafts in the public and private sector; and to enlist the aid of all state agencies in the task of ensuring the fullest expression of our artistic potential.

**The Council:** The appointed Council of the CAC consists of 11 members who serve staggered terms. The Governor appoints nine members, the assembly Speaker appoints one member, and the Senate President pro Tempore appoints one member. Council members serve without salary, elect their own officers, and meet throughout the state to encourage public attendance. This body sets policy and has final approval of CAC grants.

**Mission:** Advancing California through the arts and creativity.

**Vision:** The CAC envisions a California where the lives of all Californians are enriched by access to and participation in a diverse spectrum of arts and cultural experiences and the arts ecosystem reflects contributions from all of California’s diverse populations.

**Funding:** The CAC is a state agency, funded from the state’s annual budget process and proceeds from the California Arts License Plate and the Keep Arts in Schools tax return voluntary contribution fund, supplemented by funds from the National Endowment for the Arts. Its grants are usually matched by foundations, individuals, earned income, government agencies, or other organizations.

**Information Access:** Due to the Public Records and Open Meeting Acts, applications and their attachments are not confidential and may be requested by the media and/or public. Meeting dates and locations are posted at [www.arts.ca.gov](http://www.arts.ca.gov). Observers may attend but may not participate in, or in any way interfere with, Council meetings. Each meeting provides a designated time for public comment, although comments may be time-limited.

**Grant Process:** Applications are evaluated by panels of experts, recognized in their respective fields, who rank applications according to program criteria. The CAC staff provides information but not recommendations to the panel. The Council reviews panel recommendations before making final funding decisions. CAC staff is responsible for grant contract administration after Council approval. In dire or unexpected circumstances, CAC reserves the right to make exceptions to any policy or procedure on a case-by-case basis.

**Requirements:** The CAC is mandated both by federal and state regulations to fund only organizations that have proof of nonprofit status under sec. 501(c)(3) of the Internal Revenue Code (Fiscal Receivers are eligible in some programs), or under sec. 23701d of the California Revenue and Taxations Code, or entities that are a unit of government; and that comply with the Civil Rights Acts of 1964, as amended; sec. 504 of the Rehabilitation Act of 1973, as amended; the Age Discrimination Act of 1975; the Drug-Free Workplace Act of 1988; California Government Code secs. 11135-11139.5 (barring discrimination); the Fair Labor Standards Act, as defined by the Secretary of Labor in part 505 of title 29 of the Code of Federal Regulation; the Americans With Disabilities Act of 1990 (“ADA”); the Fair Employment and Housing Act; and the Personal Responsibility and Work Opportunity Reconciliation Act of 1996.

**Ownership, Copyrights, Royalties, Credit:** The CAC does not claim ownership, copyrights, royalties, or other claim to artwork produced as a result of a CAC grant. However, the CAC reserves the right to reproduce and use such material for official, noncommercial purpose, including but not limited to use on the CAC website, social media and print materials. In addition, the CAC requires documentation of grants activity, and appropriate credit for CAC partial support.
LOCAL IMPACT
2019-20 GRANT GUIDELINES
DEADLINE: November 8, 2019 11:59 PM
Apply at calartscouncil.smartsimple.com
Up to $20,000
Grant Activity Period: July 1, 2020 – June 30, 2021

Background and Purpose
The Local Impact (LI) program is rooted in the California Arts Council’s (CAC) vision to create a state where the arts are a central component of daily life and accessible to all, reflecting contributions from all of California’s diverse populations. The CAC values diversity as a source of vibrancy and supports increasing access to the arts for Californians in communities where the arts are scarce, nonexistent, or vulnerable.

This grant program supports community-driven arts projects for small and mid-sized arts organizations to foster equity, access, and opportunity in historically marginalized communities by centering the arts as a vehicle for building strong, healthy, vibrant, and resilient communities.

Historically marginalized communities may include but are not limited to: African and African American, Arab, Asian and Asian American, Latinx, Middle Eastern, Native American and Indigenous Californian, Pacific Islander; lesbian, gay, bisexual, queer, transgender and gender-variant people; people with disabilities; women; low-income, rural, or immigrant and refugee communities.

Program Goals
Projects should address the following Local Impact program goals:

- Center the arts as a vehicle for building strong, healthy, vibrant, and resilient communities.
- Support the creation of projects that are reflective of and responsive to a defined, historically marginalized community through activities such as: exhibitions, fairs, festivals, screenings, performances, and workshops.
- Celebrate local artists and forms of arts and cultural expression that are unique to, and/or historically rooted in, the specific community to be served.
- Activate community participants to develop and express their own creative and artistic abilities.
- Foster intergenerational and lifelong arts learning, participation, and exchange.

Project Requirements
- The applicant must develop and complete a project addressing the program’s purpose by June 30, 2021.
- All activities to be funded by the CAC must occur within the Grant Activity Period (see Timeline).
• Project planning must reflect a commitment to include and represent the communities to be served.
• The project plan must contain a detailed timeline indicating a thoughtful approach to engaging the community, and clear identification of space, materials, and equipment requirements.
• Project must include a minimum of one public component that is free of charge for community participants and/or audience. This free event should be clearly stated with details in the timeline.
• Rates of compensation for individual artists and/or arts workers to be supported by this grant must be appropriate to experience and comparable to fees for other local skilled workers.
• If proposing therapeutic outcomes, applicants must establish the qualifications of service providers, how strategies are appropriate to the clinical and/or community arts setting, and how support is culturally responsive to participants.
• Artists(s) to be compensated and supported by this grant must show relevant experience of at least two years, be based in California, and may not be engaged in the project as full-time students in a degree program.
• A Letter of Support and/or testimonial from community member or stakeholder is required, indicating support for the organization and the project within the community.
• All CAC-funded programs, services, information, and facilities where funded activities take place must be accessible, including, but not limited to ensuring accessibility for individuals who may be deaf, hard of hearing, deaf-blind, have difficulty speaking, have a physical disability, visual disability, developmental disability, learning disability, mental illness or chronic illness. Please see Page 3: Requirements for more information.

Eligible Request Amounts

• Applicant organizations can request up to $20,000.
• The total request for funding in this grant program cannot exceed 50% of an organization’s total operating revenue from the most recently completed fiscal year, as provided on the Budget Snapshot at the time of application.

Eligibility

• All applicant organizations must have total operating revenues of no greater than $1,000,000 from the last completed fiscal year, as reported in the Budget Snapshot at the time of application.
• Applicants must either be a California-based nonprofit arts organization, arts-based unit of municipal or county government, or tribal government.
• All applicants must have a minimum two-year history of consistent engagement in arts programming and/or services prior to the application deadline.
• All applicant organizations must have a principal place of business in California.
• Applicant organizations must demonstrate proof of nonprofit status under section 501(c)(3) of the Internal Revenue Code, or section 23701d of the California Revenue and Taxation Code, or must be a unit of government, including California Native American or Indigenous tribal governments.
Fiscal Sponsors: An applicant without nonprofit status may use a California-based Fiscal Sponsor with a federal 501(c)(3) designation to conduct work on a not-for-profit project. The Fiscal Sponsor will provide the fiscal and administrative services needed to complete the grant. **If a grant is awarded, the Fiscal Sponsor becomes the legal contractor.** The Fiscal Sponsor must also demonstrate consistent arts services or programming in California for a minimum of two years prior to the application deadline. See additional information on the use of CAC Fiscal Sponsors.

- Applicants using Fiscal Sponsors must submit all required materials for the Fiscal Sponsor at the time of application.

- All applicants must submit all required application materials and information at the time of submission. Incomplete applications are ineligible and will not be reviewed by the panel. Please see Application Instructions for all required materials and information.
  - The submission of an SMU DataArts Funder Report is not required for this program. However, applicants must provide a minimum of two, and up to three years of basic financial information via the Budget Snapshot within the application.

California Secretary of State Certificate of Status
Nonprofit organizations must have “active status” with the California Secretary of State (SOS) showing evidence of “good standing” at the time of application.

You can verify your organization’s status by conducting a search using the SOS online Business Search tool. An indication of “active” (versus “suspended,” “dissolved,” “cancelled,” etc.) confirms that your nonprofit corporation exists, is authorized to conduct business in the State of California, has met all licensing and corporation requirements, and has not received a suspension from the Franchise Tax Board.

**Funding Restrictions**

- The **total of all requests for CAC support during the same year of funding** cannot exceed 50% of an organization’s total operating revenue from the most recently completed fiscal year, as reported in the Budget Snapshot at the time of application.
- Applicants to this program are not restricted from applying for and receiving additional CAC program grants as long as those funds are used for different projects and purposes. To meet this criterion, applicants must demonstrate that projects:
  - Serve primarily different groups of people
  - Take place in different spaces, times, and/or contexts
  - Achieve fundamentally distinct programmatic outcomes
Matching Funds
All grant recipients must provide a 1:1 match for this grant. If applying for multiple CAC grants in a single fiscal year, distinct matching funds must be identified within each application. State funds cannot be used to meet the match. The status of each source must be specified as either projected, pending or committed.

The matching funds requirement may be met entirely through cash match sources, or by applying the value of in-kind sources for up to 50% of the match.

Cash match sources include corporate or private contributions; municipal, county, or federal government funding; or earned income, while in-kind match refers to goods or services, rather than currency, and for which monetary value can be determined. The use of in-kind contributions to meet the CAC matching funds requirement acknowledges that some organizations may not have the financial capability to provide a 1:1 cash match, but can demonstrate the capacity to carry out the activities in the grant application through other sources.

If utilizing the value of in-kind support to meet the match, keep in the mind the following:

- **In-kind contributions may only be provided by third parties.**
- A third party is defined as a service provider, partner, or supplier that is independent of the applicant organization and the CAC, and is not compensated through grant funds.
- In-kind contributions may be in the form of space; pro bono consultancy, training or services; supplies; and other expendable property that are given free of charge to the organizations.
- In-kind contributions by state entities are ineligible.
- In-kind contributions must be reflected as an expense in the grant application budget.

See additional information on CAC In-kind Contributions. If you have questions about in-kind contribution documentation or eligibility, please contact the designated staff (see Staff Assistance).

What the CAC Does Not Fund
- As applicants:
  - Individuals
  - State agencies
  - Federal agencies
  - Non-arts organizations not involved in arts activities
  - For-profit organizations
- Nonprofit organizations not in “good standing” with California Secretary of State
- Former grantee organizations not in compliance with CAC grant requirements (as stipulated in grant agreement)
- Expenses incurred before the start date or after the ending date of the Grant Activity Period
- Expenses that would supplant other state funding*
• Operational, administrative or indirect costs of schools, colleges, or universities, or any activities that are part of the curricular base of these institutions
• Fundraising activities or services such as annual campaigns, fundraising events, or grant writing
• Lobbying activities that are intended to influence the actions, policies, or decisions of government officials or specific legislation
• Programs or services intended for private use, or for use by restricted membership
• Projects with religious purposes
• Trusts, endowment funds or investments
• Capital outlay, including construction projects or purchase of land and buildings
• Equipment
• Debt repayment
• Hospitality, meals or food
• Out-of-state travel

*Neither the award nor the match may be used to supplant other state funding, including salaries of state employees or general operating expenses of school districts, County Offices of Education, or publicly funded schools, colleges or universities.

Application Process
Applications will be available online through the CAC’s online grants management system at calartscouncil.smartsimple.com. Only applications submitted through the system by the deadline will be accepted. More information can be found on the Grant Programs page of the CAC website.

Review Criteria
A review panel will adjudicate applications based on the following criteria:

• Project Design and Implementation: Project design indicates realistic timeline, appropriate budget, clear artistic and community-based objectives and achievable outcomes. Design articulates methods to evaluate and measure success, collect and analyze data, and document activities. Design demonstrates depth of participant involvement and clear plans for community outreach and marketing.

• Artistic Merit: Artists involved in the project demonstrate skills, expertise, and experiences that are central to the outcomes of the project design. California artists are engaged at every stage of project design and execution. Proposed forms of arts and cultural expression are unique to, and/or historically rooted in, the specific community to be served.

• Community Impact: Project demonstrates reach and/or depth of engagement in an identified community. Project execution and evaluation involve significant community participation in accordance with the identified project outcomes.

• Management and Leadership: Ability of applicant organization to implement proposed project is clearly demonstrated by qualifications of project’s team, viability of project budget, and overall fiscal and managerial health of applicant and partnering organizations.
• Equity and Accessibility: Proposal reflects fair practices to accessing resources and the inclusion of all communities in the representation, participation and creation of services and programs. Proposal reflects evidence that CAC-funded programs, services, information, and facilities where funded activities are to take place will be accessible for individuals with disabilities, including, but not limited to, individuals who are deaf, hard of hearing, deaf-blind, have difficulty speaking, have a physical disability, visual disability, developmental disability, learning disability, mental illness or chronic illness.

Panel Adjudication and Ranking Scale
Panelists review applications and work samples in a multi-step process using the 6-point ranking scale below.

<table>
<thead>
<tr>
<th>Rank Title</th>
<th>Rank Description</th>
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<tbody>
<tr>
<td>6</td>
<td>Exemplary</td>
</tr>
<tr>
<td></td>
<td>Fully achieves the purpose of the program</td>
</tr>
<tr>
<td></td>
<td><em>Meets all of the review criteria and project requirements to the highest degree</em></td>
</tr>
<tr>
<td>5</td>
<td>Strong</td>
</tr>
<tr>
<td></td>
<td>Strongly achieves the purpose of the program</td>
</tr>
<tr>
<td></td>
<td><em>Meets all of the review criteria and project requirements to a significant degree</em></td>
</tr>
<tr>
<td>4</td>
<td>Good</td>
</tr>
<tr>
<td></td>
<td>Sufficiently achieves the purpose of the program</td>
</tr>
<tr>
<td></td>
<td><em>Meets all of the review criteria and project requirements to some degree</em></td>
</tr>
<tr>
<td>3</td>
<td>Fair</td>
</tr>
<tr>
<td></td>
<td>Moderately achieves the purpose of the program</td>
</tr>
<tr>
<td></td>
<td><em>Meets most of the review criteria and project requirements</em></td>
</tr>
<tr>
<td>2</td>
<td>Marginal</td>
</tr>
<tr>
<td></td>
<td>Minimally achieves the purpose of the program</td>
</tr>
<tr>
<td></td>
<td><em>Meets some of the review criteria and project requirements</em></td>
</tr>
<tr>
<td>1</td>
<td>Weak</td>
</tr>
<tr>
<td></td>
<td>Does not achieve the purpose of the program; proposals that are not appropriate for this grant category</td>
</tr>
<tr>
<td></td>
<td><em>Inadequately meets the review criteria or project requirements</em></td>
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California Arts Council Decision-making
The final authority for grant awards is the appointed Council. After receiving and reviewing the panel ranks, the Council will vote on final funding awards at a public meeting. Awards may differ from requested amounts based on rank.
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Grantees must comply with all requirements as stipulated in the grant agreement, including, but not limited to the following:

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- To better inform our elected representatives as to the value of the arts and the use of state funds, grantees are required to include—with the approved grant agreement—copies of signed letters sent to the Governor and state Senate and Assembly representatives thanking them for the grant.
- Use the CAC logo on all printed, electronic materials and websites (programs, catalogs, postcards, posters, newsletters, leaflets, publications, etc.) that specifically reference this grant.
- Credit the CAC on all printed and electronic materials: “This activity is funded in part by the California Arts Council, a state agency.”
- Provide a report summarizing grant-funded activities and accomplishments at the end of the Grant Activity Period.

**California Model Agreement (AB20) and Indirect Costs**

In order to comply with AB20 requirements, University of California and California State University grantees are required to secure an indirect cost waiver from The Regents of the University of California or The Trustees of the California State University.

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Jason Jong, Interim Programs Officer
jason.jong@arts.ca.gov
(916) 322-6338
ORGANIZATIONAL DEVELOPMENT
2019-2020 Grant Guidelines & Application Instructions

Deadline: November 1, 2019 11:59 PM

The mission of the California Arts Council, a state agency, is to advance California through the arts and creativity.

Learn more at www.arts.ca.gov

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California Arts Council

Governor of California
Gavin Newsom

Arts Council Members
Nashormeh Lindo, Chair
Larry Baza, Vice Chair

Juan Devis
Jodie Evans
Kathleen Gallegos
Jaime Galli
Stanlee Gatti
Donn K. Harris
Louise McGuinness

Executive Director
Anne Bown-Crawford

Deputy Director
Ayanna Lalia Kiburi, MPH

Interim Programs Officer
Jason Jong

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(916) 322-6555
Toll Free: (800) 201-6201
FAX: (916) 322-6575
CA Relay Service: 711
Large print is available upon request.

www.arts.ca.gov

Office Hours
8:00 AM - 5:00 PM
Monday through Friday
**Purpose:** The California Arts Council (CAC), a state agency, was established in January 1976 to encourage artistic awareness, participation, and expression; to help independent local groups develop their own arts programs; to promote employment of artists and those skilled in crafts in the public and private sector; and to enlist the aid of all state agencies in the task of ensuring the fullest expression of our artistic potential.

**The Council:** The appointed Council of the CAC consists of 11 members who serve staggered terms. The Governor appoints nine members, the assembly Speaker appoints one member, and the Senate President pro Tempore appoints one member. Council members serve without salary, elect their own officers, and meet throughout the state to encourage public attendance. This body sets policy and has final approval of CAC grants.

**Mission:** Advancing California through the arts and creativity.

**Vision:** The CAC envisions a California where the lives of all Californians are enriched by access to and participation in a diverse spectrum of arts and cultural experiences and the arts ecosystem reflects contributions from all of California’s diverse populations.

**Funding:** The CAC is a state agency, funded from the state’s annual budget process and proceeds from the California Arts License Plate and the Keep Arts in Schools tax return voluntary contribution fund, supplemented by funds from the National Endowment for the Arts. Its grants are usually matched by foundations, individuals, earned income, government agencies, or other organizations.

**Information Access:** Due to the Public Records and Open Meeting Acts, applications and their attachments are not confidential and may be requested by the media and/or public. Meeting dates and locations are posted at www.arts.ca.gov. Observers may attend but may not participate in, or in any way interfere with, Council meetings. Each meeting provides a designated time for public comment, although comments may be time-limited.

**Grant Process:** Applications are evaluated by panels of experts, recognized in their respective fields, who rank applications according to program criteria. The CAC staff provides information but not recommendations to the panel. The Council reviews panel recommendations before making final funding decisions. CAC staff is responsible for grant contract administration after Council approval. In dire or unexpected circumstances, CAC reserves the right to make exceptions to any policy or procedure on a case-by-case basis.

**Requirements:** The CAC is mandated both by federal and state regulations to fund only organizations that have proof of nonprofit status under sec. 501(c)(3) of the Internal Revenue Code (Fiscal Receivers are eligible in some programs), or under sec. 23701d of the California Revenue and Taxations Code, or entities that are a unit of government; and that comply with the Civil Rights Acts of 1964, as amended; sec. 504 of the Rehabilitation Act of 1973, as amended; the Age Discrimination Act of 1975; the Drug-Free Workplace Act of 1988; California Government Code secs. 11135-11139.5 (barring discrimination); the Fair Labor Standards Act, as defined by the Secretary of Labor in part 505 of title 29 of the Code of Federal Regulation; the Americans With Disabilities Act of 1990 (“ADA”); the Fair Employment and Housing Act; and the Personal Responsibility and Work Opportunity Reconciliation Act of 1996.

**Ownership, Copyrights, Royalties, Credit:** The CAC does not claim ownership, copyrights, royalties, or other claim to artwork produced as a result of a CAC grant. However, the CAC reserves the right to reproduce and use such material for official, noncommercial purpose, including but not limited to use on the CAC website, social media and print materials. In addition, the CAC requires documentation of grants activity, and appropriate credit for CAC partial support.
ORGANIZATIONAL DEVELOPMENT
2019-20 GRANT GUIDELINES
DEADLINE: November 1, 2019 11:59 PM
Apply at calartscouncil.smartsimple.com
Up to $5,000
Grant Activity Period: July 1, 2020 – June 30, 2021

Background and Purpose
The Organizational Development (OD) grant program advances California through the arts and creativity by providing practical services and resources to arts organizations. This program is rooted in the California Arts Council’s (CAC) role in building a strong foundation for the arts in our state and the belief that best practices, quality research, and emerging models inform our work to provide the highest quality of service to Californians and their communities.

The purpose of the OD grant is to fund one-time consulting activities to build arts and cultural organizations’ capacity for sustainability and success.

Examples of consultant activities may include:
- Audits
- Earned income strategies
- Financial management and budgeting
- Strategic planning
- Board development
- Public relations
- Program evaluation
- Software implementation
- Web design

Program Goals
Projects should address the following Organizational Development program goals:
- Increase access to best practices in nonprofit and public sector management.
- Strengthen the operations of the applicant organization.
- Demonstrate ability to advance the applicant’s organizational mission.
- Develop and strengthen California’s arts and culture workforce.

Project Requirements
- The applicant must develop and complete a project addressing the program’s purpose by June 30, 2021.
- All activities to be funded by the CAC must occur within the Grant Activity Period (see Timeline).
- The hired consultant must be from outside the applicant organization and cannot be a staff member, board member, or contracted individual serving in an ongoing staff function. If proposing to hire an out-of-state consultant, a strong justification must be made.
- Consultant activities must demonstrate long-term impact on the applicant organization.
- A signed Letter of Agreement from the consultant and the applicant organization’s leadership confirming intention to work together is required.
- The consultant’s résumé or detailed consulting history and a list of consultant’s past and/or current clients is required.
- If proposing therapeutic outcomes, applicants must establish the qualifications of service providers, how strategies are appropriate to the clinical and/or community arts setting, and how support is culturally responsive to participants.
- Project design and implementation must reflect a commitment to include and represent the communities to be served.
- All CAC-funded programs, services, information, and facilities where funded activities take place must be accessible for individuals with disabilities, including, but not limited to, individuals who are deaf, hard of hearing, deaf-blind, have difficulty speaking, have a physical disability, visual disability, developmental disability, learning disability, mental illness or chronic illness. Please see Page 3: Requirements for more information.

Eligible Request Amounts

- Applicant organizations can request up to $5,000.
- The total request for funding in this grant program cannot exceed 50% of an organization’s total operating revenue from the most recently completed fiscal year, as provided on the Budget Snapshot at the time of application.

Eligibility

- Applicants must either be a California-based nonprofit arts organization, arts-based unit of municipal or county government, or tribal government.
- All applicants must have a minimum two-year history of consistent engagement in arts programming and/or services prior to the application deadline.
- All applicant organizations must have a principal place of business in California.
- Applicant organizations must demonstrate proof of nonprofit status under section 501(c)(3) of the Internal Revenue Code, or section 23701d of the California Revenue and Taxation Code, or must be a unit of government, including California Native American or Indigenous tribal governments.
  - Fiscal Sponsors: An applicant without nonprofit status may use a California-based Fiscal Sponsor with a federal 501(c)(3) designation to conduct work on a not-for-profit project. The Fiscal Sponsor will provide the fiscal and administrative services needed to complete the grant. If a grant is awarded, the Fiscal Sponsor becomes the legal contractor. The Fiscal Sponsor must also demonstrate consistent arts services or programming in California for a minimum of two years prior to the application deadline. See additional information on the use of CAC Fiscal Sponsors.
Applicants using Fiscal Sponsors must submit all required materials for the Fiscal Sponsor at the time of application.

- All applicants must submit all required application materials and information at the time of submission. Incomplete applications are ineligible and will not be reviewed by the panel. Please see Application Instructions for all required materials and information.
  - The submission of an SMU DataArts Funder Report is not required for this program. However, applicants must provide a minimum of two, and up to three years of basic financial information via the Budget Snapshot within the application.

California Secretary of State Certificate of Status
Nonprofit organizations must have “active status” with the California Secretary of State (SOS) showing evidence of “good standing” at the time of application.

You can verify your organization’s status by conducting a search using the SOS online Business Search tool. An indication of “active” (versus “suspended,” “dissolved,” “cancelled,” etc.) confirms that your nonprofit corporation exists, is authorized to conduct business in the State of California, has met all licensing and corporation requirements, and has not received a suspension from the Franchise Tax Board.

Funding Restrictions
- Funding may not be used for staff salaries.
- Funding may not be used for fundraising activities or services such as annual campaigns, fundraising events, or grant writing.
- Funding may not be used to supplant routine operating or program expenses, including printing costs and staff time.
- The total of all requests for CAC support during the same year of funding cannot exceed 50% of an organization’s total operating revenue from the most recently completed fiscal year, as reported in the Budget Snapshot at the time of application.
- Applicants to this program are not restricted from applying for and receiving additional CAC program grants as long as those funds are used for different projects and purposes. To meet this criterion, applicants must demonstrate that projects:
  - Serve primarily different groups of people
  - Take place in different spaces, times, and/or contexts
  - Achieve fundamentally distinct programmatic outcomes

Matching Funds
This program does not require matching funds.

What the CAC Does Not Fund
- As applicants:
  - Individuals
State agencies
Federal agencies
Non-arts organizations not involved in arts activities
For-profit organizations
- Nonprofit organizations not in “good standing” with California Secretary of State
- Former grantee organizations not in compliance with CAC grant requirements (as stipulated in grant agreement)
- Expenses incurred before the start date or after the ending date of the Grant Activity Period
- Expenses that would supplant other state funding*
- Operational, administrative or indirect costs of schools, colleges, or universities, or any activities that are part of the curricular base of these institutions
- Fundraising activities or services such as annual campaigns, fundraising events, or grant writing
- Lobbying activities that are intended to influence the actions, policies, or decisions of government officials or specific legislation
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*Neither the award nor the match may be used to supplant other state funding, including salaries of state employees or general operating expenses of school districts, County Offices of Education, or publicly funded schools, colleges or universities.

Application Process
Applications will be available online through the CAC’s online grants management system at calartscouncil.smartsimple.com. Only applications submitted through the system by the deadline will be accepted. More information can be found on the Grant Programs page of the CAC website.

Review Criteria
A peer review panel will evaluate applications based on the following criteria:

- **Project Design and Implementation:** Strength of proposed activity indicating a realistic timeline, appropriate budget, clear objectives, and achievable outcomes. Relevance of the proposed activity to the organization’s development and sustainability. Strength of methods to measure success and document activities.
- **Professional Merit:** Strength of the consultant’s skills, expertise, and experiences that relate to the proposed outcomes of the project. Degree to which the consultant demonstrates ability to successfully complete the proposed consulting service. Justification for using selected consultant.
- **Organizational Impact:** Degree to which activity processes and goals are appropriate to the organization. Degree to which the activity demonstrates the long-term impact and benefits of the activity on the organization.

- **Equity and Accessibility:** Proposal reflects fair practices to accessing resources and the inclusion of all communities in the representation, participation and creation of services and programs. Proposal reflects evidence that CAC-funded programs, services, information, and facilities where funded activities are to take place will be accessible for individuals with disabilities, including, but not limited to, individuals who are deaf, hard of hearing, deaf-blind, have difficulty speaking, have a physical disability, visual disability, developmental disability, learning disability, mental illness or chronic illness.

### Staff Panel Adjudication Process

A CAC staff review panel will assess all eligible applications and will recommend grants for applicants that strongly meet the review criteria. The staff panel’s review of applications and required documents is a multi-step process and involves assigning applications as “Fund” or “Not Fund.” Given the increased competitiveness of the grant program, priority may be given to applicants that:

- Operate with budgets of $500,000 or under
- Did not receive an Organizational Development grant in FY18-19

<table>
<thead>
<tr>
<th>Fund</th>
<th>Strongly meets all of the review criteria</th>
</tr>
</thead>
<tbody>
<tr>
<td>Not Fund</td>
<td>Does not meet the majority of the review criteria in a strong significant manner or proposal is ineligible</td>
</tr>
</tbody>
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Maya Austin, M.A, Arts Program Specialist
maya.austin@arts.ca.gov
(916) 322-6584
PROFESSIONAL DEVELOPMENT
2019-2020 Grant Guidelines & Application Instructions

Deadline: October 25, 2019 11:59 PM

The mission of the California Arts Council, a state agency, is to advance California through the arts and creativity.

Learn more at www.arts.ca.gov

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PROFESSIONAL DEVELOPMENT
2019-20 GRANT GUIDELINES
DEADLINE: October 25, 2019 11:59 PM
Apply at calartscouncil.smartsimple.com
Up to $3,000
Grant Activity Period: July 1, 2020 – June 30, 2021

Background and Purpose
The Professional Development (PD) program reflects the California Arts Council’s (CAC) role in providing opportunities for the arts field to grow and thrive by supporting professional development activities for individuals in arts organizations or arts-based units of government. The purpose of the PD program is to build arts and cultural organizations’ capacity for sustainability and success through professional development activities for individuals. Funding supports staff members, artists, arts administrators, arts educators, or board members of the applicant organization.

Examples of professional development activity expenses may include:
- Tuition for classes, seminars, or workshops
- Registration fees for in-state conferences, festivals, trainings, or conventions
- In-state travel expenses to attend classes, conferences, festivals, trainings, seminars, workshops, or conventions

Program Goals
Projects should address the following PD program goals:
- Support professional growth and leadership training for individuals working in the arts.
- Strengthen the applicant organization's operational capacity.
- Develop and strengthen California’s arts and culture workforce.

Project Requirements
- The applicant must develop and complete a professional development activity addressing the program’s purpose by June 30, 2021.
- All activities to be funded by the CAC must occur within the Grant Activity Period (see Timeline).
- If proposing therapeutic outcomes, applicants must establish the qualifications of service providers, how strategies are appropriate to the clinical and/or community arts setting, and how support is culturally responsive to participants.
- The activity should demonstrate value and impact on the work of the individual.
- The activity should demonstrate the value and impact on the organization.
● Documentation for the proposed activity is required (e.g., flyer, registration information, conference schedule). The documentation must include the activity date, time, and location.
● A Letter of Support from the applicant organization’s leadership supporting the individual’s participation in the professional development activity is required.
● Résumés for individuals participating in the activity are required.
● Project design and implementation must reflect a commitment to include and represent the communities to be served.
● All CAC-funded programs, services, information, and facilities where funded activities take place must be accessible for individuals with disabilities, including, but not limited to, individuals who are deaf, hard of hearing, deaf-blind, have difficulty speaking, have a physical disability, visual disability, developmental disability, learning disability, mental illness or chronic illness. Please see Page 3: Requirements for more information.

Eligible Request Amounts
● Applicant organizations can request up to $3,000.
● The total request for funding in this grant program cannot exceed 50% of an organization’s total operating revenue from the most recently completed fiscal year, as provided on the Budget Snapshot at the time of application.

Eligibility
● Applicants must either be a California-based nonprofit arts organization, arts-based unit of municipal or county government, or tribal government.
● All applicants must have a minimum two-year history of consistent engagement in arts programming and/or services prior to the application deadline.
● All applicant organizations must have a principal place of business in California.
● Applicant organizations must demonstrate proof of nonprofit status under section 501(c)(3) of the Internal Revenue Code, or section 23701d of the California Revenue and Taxation Code, or must be a unit of government, including California Native American or Indigenous tribal governments.
  o Fiscal Sponsors: An applicant without nonprofit status may use a California-based Fiscal Sponsor with a federal 501(c)(3) designation to conduct work on a not-for-profit project. The Fiscal Sponsor will provide the fiscal and administrative services needed to complete the grant. If a grant is awarded, the Fiscal Sponsor becomes the legal contractor. The Fiscal Sponsor must also demonstrate consistent arts services or programming in California for a minimum of two years prior to the application deadline. See additional information on the use of CAC Fiscal Sponsors.
  o Applicants using Fiscal Sponsors must submit all required materials for the Fiscal Sponsor at the time of application.
● All applicants must submit all required application materials and information at the time of submission. Incomplete applications are ineligible and will not be reviewed by the panel. Please see Application Instructions for all required materials and information.
- The submission of an SMU DataArts Funder Report is not required for this program. However, applicants must provide a minimum of two, and up to three years of basic financial information via the Budget Snapshot within the application.

**California Secretary of State Certificate of Status**
Nonprofit organizations must have “active status” with the California Secretary of State (SOS) showing evidence of “good standing” at the time of application.

You can verify your organization’s status by conducting a search using the SOS online [Business Search](#) tool. An indication of “active” (versus “suspended,” “dissolved,” “cancelled,” etc.) confirms that your nonprofit corporation exists, is authorized to conduct business in the State of California, has met all licensing and corporation requirements, and has not received a suspension from the Franchise Tax Board.

**Funding Restrictions**
- Funding may not be used for staff salaries.
- The total of all requests for CAC support during the same year of funding cannot exceed 50% of an organization’s total operating revenue from the most recently completed fiscal year, as reported in the Budget Snapshot at the time of application.
- Applicants to this program are not restricted from applying for and receiving additional CAC program grants as long as those funds are used for different projects and purposes. To meet this criterion, applicants must demonstrate that projects:
  - Serve primarily different groups of people
  - Take place in different spaces, times, and/or contexts
  - Achieve fundamentally distinct programmatic outcomes

**Matching Funds**
This program does not require matching funds.

**What the CAC Does Not Fund**
- As applicants:
  - Individuals
  - State agencies
  - Federal agencies
  - Non-arts organizations not involved in arts activities
  - For-profit organizations
- Nonprofit organizations not in “good standing” with California Secretary of State
- Former grantee organizations not in compliance with CAC grant requirements (as stipulated in grant agreement)
- Expenses incurred before the start date or after the ending date of the Grant Activity Period
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● Operational, administrative or indirect costs of schools, colleges, or universities, or any activities that are part of the curricular base of these institutions
● Fundraising activities or services such as annual campaigns, fundraising events, or grant writing
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● Programs or services intended for private use, or for use by restricted membership
● Projects with religious purposes
● Trusts, endowment funds or investments
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**Application Process**
Applications will be available online through the CAC’s online grants management system at calartscouncil.smartsimple.com. Only applications submitted through the system by the deadline will be accepted. More information can be found on the Grant Programs page of the CAC website.

**Review Criteria**
A panel review panel will adjudicate applications based on the following criteria:

● **Quality of Learning Activity**: Strength of the activity as a learning opportunity. Strength of the activity to achieve the intended goals and outcomes.

● **Professional Impact**: Degree to which the activity is appropriate for the individual participant and their work. Degree to which the activity will impact the individual participant’s professional growth.

● **Organizational Impact**: Degree to which the activity is appropriate for the organization’s work. Degree to which the activity demonstrates the long-term impact and benefits related to the organization’s mission.

● **Equity**: Proposal reflects the applicant’s commitment to include and represent the communities to be served. Evidence that CAC-funded programs, services, information, and facilities where funded activities are to take place will be accessible for individuals with disabilities, including, but not limited to, individuals who are deaf, hard of hearing, deaf-blind, have difficulty speaking, have a physical disability, visual disability, developmental disability, learning disability, mental illness or chronic illness.

**Staff Panel Adjudication Process**
A CAC staff review panel will assess all eligible applications and will recommend grants for applicants that strongly meet the review criteria. The staff panel’s review of
applications and required documents is a multi-step process and involves assigning applications as “Fund” or “Not Fund.” Given the increased competitiveness of the grant program, priority may be given to applicants that:
- Operate with budgets of $500,000 or under
- Did not receive a Professional Development grant in FY18-19

<table>
<thead>
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<th>Fund</th>
<th>Strongly meets all of the review criteria</th>
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<tbody>
<tr>
<td>Not Fund</td>
<td>Does not meet the majority of the review criteria in a strong significant manner or proposal is ineligible</td>
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**California Arts Council Decision-making**
The final authority for grant awards is the appointed Council. After receiving and reviewing the panel ranks, the Council will vote on final funding awards at a public meeting. Awards may differ from requested amounts based on rank.

**Timeline**

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- To better inform our elected representatives as to the value of the arts and the use of state funds, grantees are required to include—with the approved grant agreement—copies of signed letters sent to the Governor and state Senate and Assembly representatives thanking them for the grant.
- Use the CAC logo on all printed, electronic materials and websites (programs, catalogs, postcards, posters, newsletters, leaflets, publications, etc.) that specifically reference this grant.
- Credit the CAC on all printed and electronic materials: “This activity is funded in part by the California Arts Council, a state agency.”
- Provide a report summarizing grant-funded activities and accomplishments at the end of the Grant Activity Period.

**California Model Agreement (AB20) and Indirect Costs**
In order to comply with AB20 requirements, University of California and California State University grantees are required to secure an indirect cost waiver from The Regents of the University of California or The Trustees of the California State University.

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Appeals to CAC funding decisions must be submitted on an official Appeal Form, available from the CAC, and postmarked within 45 days of the decision. Appeals are granted only on the following grounds:

1. Panel’s assessment was based on a misstatement of factual information as contained in the application such that it negatively influenced the panel’s recommendation; and/or
2. Incorrect processing of the required application material such that it negatively influenced the panel’s assessment of the applicant’s request for funding.

**Note:** Dissatisfaction with award denial or with award amount is not grounds for appeal.

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CAC staff is available to offer guidance and clarification in preparing your proposal. We recommend that you contact staff well in advance of the deadline to ensure you can be accommodated. People who are deaf, hard of hearing, deaf-blind, or have difficulty speaking may dial 711 to reach the California Relay Service (CRS). Large print is available upon request.

**Maya Austin, M.A, Arts Program Specialist**
maya.austin@arts.ca.gov
(916) 322-6584
REENTRY THROUGH THE ARTS
2019-2020 Grant Guidelines & Application Instructions

Deadline: November 8, 2019 11:59 PM

The mission of the California Arts Council, a state agency, is to advance California through the arts and creativity.

Learn more at www.arts.ca.gov

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California Arts Council

Governor of California
Gavin Newsom

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Larry Baza, Vice Chair

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Jason Jong

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FAX: (916) 322-6575
CA Relay Service: 711
Large print is available upon request.

www.arts.ca.gov

Office Hours
8:00 AM - 5:00 PM
Monday through Friday
Purpose: The California Arts Council (CAC), a state agency, was established in January 1976 to encourage artistic awareness, participation, and expression; to help independent local groups develop their own arts programs; to promote employment of artists and those skilled in crafts in the public and private sector; and to enlist the aid of all state agencies in the task of ensuring the fullest expression of our artistic potential.

The Council: The appointed Council of the CAC consists of 11 members who serve staggered terms. The Governor appoints nine members, the assembly Speaker appoints one member, and the Senate President pro Tempore appoints one member. Council members serve without salary, elect their own officers, and meet throughout the state to encourage public attendance. This body sets policy and has final approval of CAC grants.

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Funding: The CAC is a state agency, funded from the state’s annual budget process and proceeds from the California Arts License Plate and the Keep Arts in Schools tax return voluntary contribution fund, supplemented by funds from the National Endowment for the Arts. Its grants are usually matched by foundations, individuals, earned income, government agencies, or other organizations.

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Ownership, Copyrights, Royalties, Credit: The CAC does not claim ownership, copyrights, royalties, or other claim to artwork produced as a result of a CAC grant. However, the CAC reserves the right to reproduce and use such material for official, noncommercial purpose, including but not limited to use on the CAC website, social media and print materials. In addition, the CAC requires documentation of grants activity, and appropriate credit for CAC partial support.
REENTRY THROUGH THE ARTS
2019-20 GRANT GUIDELINES
DEADLINE: November 8, 2019 11:59 PM
Apply at calartscouncil.smartsimple.com
Up to $50,000
Grant Activity Period: July 1, 2020 – June 30, 2021

Background and Purpose
The Reentry Through the Arts (RTA) grant program is rooted in the California Arts Council’s (CAC) belief that the arts are a societal cornerstone with the ability to bring people together and build community. RTA supports arts and culture programs for adults who have been incarcerated within correctional institutions, and reinforces the direct impact that arts and culture have on the health, welfare, and economic well being of all Californians and their communities.

Through this program, CAC has been able to expand its funding opportunities to include projects specifically designed for formerly incarcerated people, utilizing arts participation as an effective instrument for rehabilitation, healing, and skill building. Successful projects can uplift the voices of formerly incarcerated individuals, ensuring a sense of belonging through meaningful participation, leadership and apprenticeship opportunities, and other forms of engagement. Projects also include the perspective of one or more people affected by incarceration as active participants in the design, planning, and implementation of the project.

By focusing on the principles of restorative justice, transformative justice, and reconciliation, RTA elevates projects that utilize arts and culture as part of a holistic approach to supporting the successful transition of the reentry community back into their communities.

Program Goals
Projects should address the following Reentry in the Arts program goals:

- Address the overall trauma to people and communities caused by poverty, crime and incarceration.
- Positively impact the lives of adults that have been formerly incarcerated, as well as their families and communities.
- Demonstrate the value of arts and culture engagement as a vehicle for rehabilitation, healing, and skill building for people who have been incarcerated.
- Demonstrate the impact of restorative, transformative justice strategies and trauma-informed care in collaboration with arts and culture for adults who have been incarcerated.
Develop an understanding of best practices for community-engaged creative strategies to support positive reentry for adults who have been incarcerated.

Foster growth, development and leadership for participants to inform best practices for future programming.

**Project Requirements**

- The applicant must develop and complete a project addressing the program’s purpose by June 30, 2021.
- All activities to be funded by the CAC must occur within the Grant Activity Period (see Timeline).
- The project must provide opportunities for arts and culture engagement to formerly incarcerated adults utilizing California-based artists. Arts and culture engagement includes active participation or learning activities over a period of time. Projects can include arts and culture exposure or appreciation components, but those must be in addition to hands-on activities.
- The proposed project must include arts and culture as a central component of a holistic and integrated approach to reentry that also includes other community-based support services, including but not limited to mental health and/or drug treatment, job skills training, job placement, and/or case management.
- The project must include the perspective of one or more people affected by incarceration as active participants in the design, planning, and implementation of the project.
- The project should demonstrate significant planning and reflect a collaborative relationship between the partnering entities, as evidenced by the Letter of Agreement between partners.
- Programming must be free of charge for project participants.
- Project must identify reentry goals and set measurable outcomes for activities taking place as part of the grant project.
- Project should include culturally responsive approaches that are relevant to the participants and the community and that foster the principles of restorative justice.
- Successful applicants may be expected to:
  - Participate in technical assistance and training activities.
  - Participate in and cooperate with program evaluation implemented by the CAC.
- Artist(s) to be compensated and supported by this grant must show relevant experience of at least two years, be based in California, and may not be engaged in the project as full-time students in a degree program.
- Rates of compensation for individual artists and/or arts workers to be supported by this grant must be appropriate to experience and comparable to fees for other local skilled workers.
- If proposing therapeutic outcomes, applicants must establish the qualifications of service providers, how strategies are appropriate to the clinical and/or community arts setting, and how support is culturally responsive to participants.
- Project design and implementation must reflect a commitment to include and represent the communities to be served.
- All CAC-funded programs, services, information, and facilities where funded activities take place must be accessible for individuals with disabilities, including,
but not limited to, individuals who are deaf, hard of hearing, deaf-blind, have difficulty speaking, have a physical disability, visual disability, developmental disability, learning disability, mental illness or chronic illness. Please see Page 3: Requirements for more information.

Eligible Request Amounts

- Applicant organizations can request up to $50,000.
- The total request for funding in this grant program cannot exceed 50% of an organization’s total operating revenue from the most recently completed fiscal year, as it appears in the SMU DataArts Funder Report.

Eligibility

- Applicant organizations must be one of the following:
  - California-based nonprofit arts organization, arts-based unit of municipal or county government, or tribal government.
  - California-based nonprofit social service organizations with regular, ongoing arts programs and/or services.
- The proposed project must be designed and developed in partnership between an arts provider and a social service organization, facility, or agency that serves adults who have been incarcerated. The applicant may be either the arts organization or social service or community-based facility/agency, except for the following scenarios:
  - The applicant must be the arts partner if the partnership is composed of one arts organization and multiple facilities/agencies.
  - The applicant must be the social service partner if the partnership is composed of one facility/agency and an individual artist or artists.

This program is not designed to accept applications with partnerships between multiple social service facilities/agencies and multiple arts organizations/multiple groups of teaching artists.

If you have questions regarding the eligibility of your organization or the nature of your proposed partnerships, contact the designated staff (see Staff Assistance).

- All applicants must have a minimum two-year history of consistent engagement in arts programming and/or services prior to the application deadline.
- All applicant organizations must have a principal place of business in California.
- Applicant organizations must demonstrate proof of nonprofit status under section 501(c)(3) of the Internal Revenue Code, or section 23701d of the California Revenue and Taxation Code, or must be a unit of government, including California Native American or Indigenous tribal governments.
  - Fiscal Sponsors: An applicant without nonprofit status may use a California-based Fiscal Sponsor with a federal 501(c)(3) designation to conduct work on a not-for-profit project. The Fiscal Sponsor will provide the fiscal and administrative services needed to complete the grant. If a grant is awarded, the Fiscal Sponsor becomes the legal contractor. The Fiscal Sponsor must also demonstrate consistent arts services or programming in California for a minimum of two years prior to the
application deadline. See additional information on the use of CAC Fiscal Sponsors.

- Applicants using Fiscal Sponsors must submit all required materials for the Fiscal Sponsor at the time of application.

- All applicants must submit all required application materials and information at the time of submission. Incomplete applications are ineligible and will not be reviewed by the panel. Please see Application Instructions for all required materials and information.
  - This program requires the submission of an SMU DataArts Funder Report generated specifically for this CAC grant program. Applicants must provide a minimum of two, and up to three years of data in the Funder Profile, and submit a Funder Report meeting these requirements at the time of application.

California Secretary of State Certificate of Status
Nonprofit organizations must have “active status” with the California Secretary of State (SOS) showing evidence of “good standing” at the time of application.

You can verify your organization’s status by conducting a search using the SOS online Business Search tool. An indication of “active” (versus “suspended,” “dissolved,” “cancelled,” etc.) confirms that your nonprofit corporation exists, is authorized to conduct business in the State of California, has met all licensing and corporation requirements, and has not received a suspension from the Franchise Tax Board.

Funding Restrictions
- The total of all requests for CAC support during the same year of funding cannot exceed 50% of an organization’s total operating revenue from the most recently completed fiscal year, as it appears in the SMU DataArts Funder Report.
- Applicants to this program are not restricted from applying for and receiving additional CAC program grants as long as those funds are used for different projects and purposes. To meet this criterion, applicants must demonstrate that projects:
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  - Take place in different spaces, times, and/or contexts
  - Achieve fundamentally distinct programmatic outcomes

Matching Funds
Matching funds are not required for this grant.

What the CAC Does Not Fund
- As applicants:
  - Individuals
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  - Federal agencies
  - Non-arts organizations not involved in arts activities
  - For-profit organizations
- Nonprofit organizations not in “good standing” with California Secretary of State
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Review Criteria
A panel will adjudicate applications based on the following criteria:

● **Project Design and Implementation**: Project design indicates realistic timeline, appropriate budget, clear artistic and community-based objectives, and achievable goals. Design includes methods to evaluate and measure success, collect and analyze data, and document activities. Design demonstrates depth of participant involvement and clear plans for community outreach and marketing.

● **Artistic Merit**: California artists are involved at every stage of the project design and execution and demonstrate skills, expertise, and experiences that are central to the goals of the project and program.

● **Community Impact**: Project demonstrates reach and/or depth of engagement in a defined community. Project is responsive to the community to be served. Project execution and evaluation involve significant community participation in accordance with the identified program goals and project requirements.
- **Management and Leadership**: Ability of applicant organization to implement proposed project is clearly demonstrated by qualifications of project's team, viability of project budget, and overall fiscal and managerial health of applicant and partnering organizations.

**Equity and Accessibility**: Proposal reflects fair practices to accessing resources and the inclusion of all communities in the representation, participation and creation of services and programs. Proposal reflects evidence that CAC-funded programs, services, information, and facilities where funded activities are to take place will be accessible for individuals with disabilities, including, but not limited to, individuals who are deaf, hard of hearing, deaf-blind, have difficulty speaking, have a physical disability, visual disability, developmental disability, learning disability, mental illness or chronic illness.

**Panel Adjudication and Ranking Scale**
Panelists review applications and work samples using the 6-point ranking scale below.

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<thead>
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<th>Rank Description</th>
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J. Andrea Porras Arts Program Specialist
andrea.porras@arts.ca.gov
(916) 322-6395
STATE-LOCAL PARTNERS
2019-2020 Grant Guidelines & Application Instructions

*Deadline: October 25, 2019 11:59 PM*

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STATE LOCAL PARTNERS
2019-21 GRANT GUIDELINES
DEADLINE: October 25, 2019 11:59 PM
Apply at calartscouncil.smartsimple.com
Up to $90,000
Grant Activity Period: July 1, 2020 – June 30, 2022

Background and Purpose
The State-Local Partnership (SLP) program is rooted in the California Arts Council’s (CAC) vision of strong, sustained public support for the arts. It embodies the CAC’s beliefs that the arts are a societal cornerstone that bring people together and build community, and that the CAC has a role to play in increasing access to the arts for Californians who live or work in areas where the arts are scarce, nonexistent, or vulnerable.

The State-Local Partnership program provides general operating support and technical assistance for county-designated local arts agencies. The purpose of the SLP program is to foster cultural development on the local level through a partnership between the State and the counties of California. The nature of this partnership includes funding, information exchange, cooperative activities, and leadership. The partnership enables individuals, organizations, and communities to create, present, and preserve the arts of all cultures to enrich the quality of life for all Californians.

A local arts agency is defined as the official county-designated organization that supports arts and cultural activity in service to individuals and communities throughout an entire county. Local arts agencies provide financial support, services, or other programming to a variety of arts organizations, individual artists, and the community as a whole. A local arts agency can be an agency of local government, a nonprofit organization, or a hybrid of the two.

SLPs serve as Poetry Out Loud (POL) partners and will receive additional financial support through this grant program to facilitate a Poetry Out Loud program throughout their county either through direct programming or in partnership with another local organization/agency. POL funds will not be based on panel ranking and will be in addition to the $90,000 maximum request amount.

Program Goals
Projects should address the following State-Local Partnership program goals:

- Support the work of local arts agencies in fostering the countywide arts and cultural ecosystem.
- Provide funds to address priorities identified at the local level in alignment with SLP program requirements.
• Promote equity in programming and services for communities, cultures, and creative practices throughout each county with a designated SLP.
• Serve every county in the state through a designated State-Local Partner in each county.

Program Requirements
• The applicant must complete general operating support activities aligned with the program’s purpose by June 30, 2022.
• All activities to be funded by the CAC must occur within the two-year Grant Activity Period (see Timeline).
• Proposals must address how the applicant organization:
  o Provides access to diverse art forms that facilitate public participation
  o Offers programs and services that include and support the cultural diversity and traditional arts of the entire county
  o Facilitates a countywide Poetry Out Loud program in partnership with the CAC through either direct programming or in partnership with another local organization/agency
• Proposals should explain how the applicant organization achieves at least two of following local arts agency activities or services:
  o Engage in community development through the arts, or contribute to creative placemaking activities
  o Conduct cultural assessment and planning that encourages input from community members
  o Foster local and regional partnerships and collaboration through convenings or other means
  o Provide stewardship of a community’s art collection(s)
  o Engage in programs that promote arts advocacy efforts at the local, state, or national level
  o Provide and/or support arts learning (preK-12, adult education, creative aging, etc.)
  o Manage a public art program
  o Produce or present programs such as festivals, community theatre, concerts, literary events, workshops, etc.
  o Grant or provide financial support to cultural organizations or artists
  o Facilitate economic development efforts that support the creative economy through arts industries
  o Manage one or more cultural facilities in the county
  o Provide public relations or marketing services for SLP arts programs and/or services, and for other arts and cultural activities in the county
• Rates of compensation for individual artists and/or arts workers to be supported by this grant must be appropriate to experience and comparable to fees for other local skilled workers.
• Organization must reflect a commitment to include and represent the communities to be served.
• All CAC-funded programs, services, information, and facilities where funded activities take place must be accessible for individuals with disabilities, including, but not limited to, individuals who are deaf, hard of hearing, deaf-blind, have difficulty speaking, have a physical disability, visual disability, developmental
disability, learning disability, mental illness or chronic illness. Please see Page 3: Requirements for more information.

Eligibility

● Applicant organization must be designated by their County Board of Supervisors to serve as the State-Local Partner in the county.
  ○ Multi-county Partnerships: Partnerships that serve multiple counties may be eligible to apply. A Multi-county Partnership must be designated as the SLP by the county Board of Supervisors in all counties represented. Funding is prorated based on the number of counties in the partnership. Multi-county Partnership applicants must give evidence of service and program equity to all participating counties.
● Applicants must either be a California-based nonprofit arts organization, arts-based unit of municipal or county government, or tribal government.
● All applicants must have a minimum two-year history of consistent engagement in arts programming and/or services prior to the application deadline.
● All applicant organizations must have a principal place of business in California.
● Applicant organizations must demonstrate proof of nonprofit status under section 501(c)(3) of the Internal Revenue Code, or section 23701d of the California Revenue and Taxation Code, or must be a unit of government, including California Native American or Indigenous tribal governments.
  ○ Fiscal Sponsors: An applicant without nonprofit status may use a California-based Fiscal Sponsor with a federal 501(c)(3) designation to conduct work on a not-for-profit project. The Fiscal Sponsor will provide the fiscal and administrative services needed to complete the grant. If a grant is awarded, the Fiscal Sponsor becomes the legal contractor. The Fiscal Sponsor must also demonstrate consistent arts services or programming in California for a minimum of two years prior to the application deadline. See additional information on the use of CAC Fiscal Sponsors.
  ○ Applicants using Fiscal Sponsors must submit all required materials for the Fiscal Sponsor at the time of application.
● All applicants must submit all required application materials and information at the time of submission. Incomplete applications are ineligible and will not be reviewed by the panel. Please see Application Instructions for all required materials and information.
  ○ This program requires the submission of an SMU DataArts Funder Report generated specifically for this CAC grant program. Applicants must provide a minimum of two, and up to three years of data in the Funder Profile, and submit a Funder Report meeting these requirements at the time of application.

California Secretary of State Certificate of Status
Nonprofit organizations must have “active status” with the California Secretary of State (SOS) showing evidence of “good standing” at the time of application.
You can verify your organization’s status by conducting a search using the SOS online Business Search tool. An indication of “active” (versus “suspended,” “dissolved,” “cancelled,” etc.) confirms that your nonprofit corporation exists, is authorized to conduct business in the State of California, has met all licensing and corporation requirements, and has not received a suspension from the Franchise Tax Board.

**SLP Grantee Responsibilities**

Funding for general operating support is awarded as a contract between the SLP grantee and the California Arts Council. SLP grantees will be expected to:

- Maintain an accessible public office operated by, at minimum, a part-time staff member. *In unique situations, exceptions can be made.*
- Attend CAC convening(s) (if applicable).
- Host meetings for the CAC as needed.
- Oversee the Poetry Out Loud program implementation on the county level.
- Promote CAC grant opportunities, workshops, and other resources to county constituents.
- Participate in cooperative information gathering and programming when deemed to be mutually beneficial by both the CAC and the local arts agency.
- Participate in program evaluation (if applicable).

**Eligible Request Amounts**

- Applicant organizations can request up to $90,000.
- The total request for funding in this two-year grant program cannot exceed 100% of an organization’s total operating revenue from the most recently completed fiscal year, as it appears in the SMU DataArts Funder Report.

**Funding Restrictions**

- Statewide and Regional Networks (SRN) grantees are not eligible for SLP support in the same fiscal year.
- The total of all requests for CAC support during the same year of funding cannot exceed 50% of an organization’s total operating revenue from the most recently completed fiscal year, as it appears in the SMU DataArts Funder Report.
- Applicants to this program are not restricted from applying for and receiving additional CAC project grants.

**Matching Funds**

All grant recipients must provide a 1:1 match for this grant. If applying for multiple CAC grants in a single fiscal year, distinct matching funds must be identified within each application. State funds cannot be used to meet the match. The status of each source must be specified as either projected, pending or committed.

The matching funds requirement may be met entirely through cash match sources, or by applying the value of in-kind sources for up to 50% of the match.

**Cash match** sources include corporate or private contributions; municipal, county, or federal government funding; or earned income, while **in-kind match** refers to goods or services, rather than currency, and for which monetary value can be determined. The
use of in-kind contributions to meet the CAC matching funds requirement acknowledges that some organizations may not have the financial capability to provide a 1:1 cash match, but can demonstrate the capacity to carry out the activities in the grant application through other sources.

If utilizing the value of in-kind support to meet the match, keep in the mind the following:

- **In-kind contributions may only be provided by third parties.**
- A third party is defined as a service provider, partner, or supplier that is independent of the applicant organization and the CAC, and is not compensated through grant funds.
- In-kind contributions may be in the form of space; pro bono consultancy, training or services; supplies; and other expendable property that are given free of charge to the organizations.
- In-kind contributions by state entities are ineligible.
- In-kind contributions must be reflected as an expense in the grant application budget.

Applicants to this program must also accurately document and report in-kind contributions in the submitted DataArts Funder Report. See additional information on **CAC In-kind Contributions**. If you have questions about in-kind contribution documentation or eligibility, please contact the designated staff (see **Staff Assistance**).

**What the CAC Does Not Fund**

- As applicants:
  - Individuals
  - State agencies
  - Federal agencies
  - Non-arts organizations not involved in arts activities
  - For-profit organizations
- Nonprofit organizations not in “good standing” with California Secretary of State
- Former grantee organizations not in compliance with CAC grant requirements (as stipulated in grant agreement)
- Expenses incurred before the start date or after the ending date of the Grant Activity Period
- Expenses that would supplant other state funding*
- Operational, administrative or indirect costs of schools, colleges, or universities, or any activities that are part of the curricular base of these institutions
- Fundraising activities or services such as annual campaigns, fundraising events, or grant writing
- Lobbying activities that are intended to influence the actions, policies, or decisions of government officials or specific legislation
- Programs or services intended for private use, or for use by restricted membership
- Projects with religious purposes
- Trusts, endowment funds or investments
- Capital outlay, including construction projects or purchase of land and buildings
- Equipment

*Excluding costs incurred to the extent funded by private sources.
- Debt repayment
- Hospitality, meals or food
- Out-of-state travel

*Neither the award nor the match may be used to supplant other state funding, including salaries of state employees or general operating expenses of school districts, County Offices of Education, or publicly funded schools, colleges or universities.

**Application Process**
Applications will be available online through the CAC’s online grants management system at [calartscouncil.smartsimple.com](http://calartscouncil.smartsimple.com). Only applications submitted through the system by the deadline will be accepted. More information can be found on the Grant Programs page of the CAC website.

**Review Criteria**
A review panel will evaluate applications based on the following criteria:

- **Local Arts Programming, Services, and Networking:** Organization serves as a cultural resource for individual artists, arts organizations, general public, and the broad arts ecosystem of the county. Organization contributes to community arts development and maintains an active relationship with the county government.

- **Community Impact:** Reach and depth of engagement in the county is demonstrated. Creation, presentation, and/or preservation of arts and cultural practices represented in the county is evident. Programs and services respond to community needs, values, and priorities. Execution and evaluation of programs and services involve significant community participation. Creative process for programs and services reflects community standards.

- **Management and Leadership:** Ability of applicant organization to fulfill programs and services identified in proposal in a sustainable manner. Strategies to strengthen organizational capacity, diversity of revenue sources, appropriate staff leadership and compensation for staff, effective governance policies and organizational structure are evident. Overall fiscal and managerial health of applicant is evident.

- **Equity:** Degree to which the organization maintains equitable practices for providing access to programs, services and resources, despite geographic, economic, disability, and barriers to participation. Degree to which organizational operations include equitable practices and policies. Advances the cultural and ethnic diversity of arts and artists throughout the county.

- **Access:** Evidence that CAC-funded programs, services, information, and facilities where funded activities are to take place will be accessible for individuals with disabilities, including, but not limited to, individuals who are deaf, hard of hearing, deaf-blind, have difficulty speaking, have a physical disability, visual disability, developmental disability, learning disability, mental illness or chronic illness.
Panel Adjudication and Ranking Scale
Panelists review applications and work samples in a multi-step process using the 6-point ranking scale below.

<table>
<thead>
<tr>
<th>Rank Title</th>
<th>Rank Description</th>
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<tbody>
<tr>
<td>6</td>
<td>Exemplary</td>
</tr>
<tr>
<td></td>
<td>Fully achieves the purpose of the program</td>
</tr>
<tr>
<td></td>
<td>Meets all of the review criteria and project requirements to the highest degree</td>
</tr>
<tr>
<td>5</td>
<td>Strong</td>
</tr>
<tr>
<td></td>
<td>Strongly achieves the purpose of the program</td>
</tr>
<tr>
<td></td>
<td>Meets all of the review criteria and project requirements to a significant degree</td>
</tr>
<tr>
<td>4</td>
<td>Good</td>
</tr>
<tr>
<td></td>
<td>Sufficiently achieves the purpose of the program</td>
</tr>
<tr>
<td></td>
<td>Meets all of the review criteria and project requirements to some degree</td>
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<tr>
<td>3</td>
<td>Fair</td>
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<tr>
<td></td>
<td>Moderately achieves the purpose of the program</td>
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<tr>
<td></td>
<td>Meets most of the review criteria and project requirements</td>
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<tr>
<td>2</td>
<td>Marginal</td>
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<tr>
<td></td>
<td>Minimally achieves the purpose of the program</td>
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<tr>
<td></td>
<td>Meets some of the review criteria and project requirements</td>
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<tr>
<td>1</td>
<td>Weak</td>
</tr>
<tr>
<td></td>
<td>Does not achieve the purpose of the program; proposals that are not appropriate for this grant category</td>
</tr>
<tr>
<td></td>
<td>Inadequately meets the review criteria or project requirements</td>
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California Arts Council Decision-making
The final authority for grant decisions is the appointed Council. After receiving and reviewing the panel’s ranking recommendations, the Council will consider the panel’s recommendations and make final funding decisions at a public meeting.

If approved by the Council for support, grant amounts may differ from the request amount due to the level of funding available to the program, demand for that funding, and/or the rank a proposal receives from the review panel.

Depending on the amount of funds available and the number of applicants, the Council will decide which ranks receive funding, and for what percentage of the applicant’s request amount.

CAC staff is responsible for grant contract administration after Council approval.
Timeline

<table>
<thead>
<tr>
<th>Date Range</th>
<th>Event</th>
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<tbody>
<tr>
<td>October 25, 2019 11:59 PM</td>
<td>Application deadline (online)</td>
</tr>
<tr>
<td>January 2020</td>
<td>Funding decisions</td>
</tr>
<tr>
<td>January 2020</td>
<td>Funding notifications</td>
</tr>
<tr>
<td>July 1, 2020 – June 30, 2022</td>
<td>Grant Activity Period</td>
</tr>
<tr>
<td>July 31, 2022</td>
<td>Final Report deadline</td>
</tr>
</tbody>
</table>

Grantee Requirements
Grantees must comply with all requirements as stipulated in the grant agreement, including, but not limited to the following:

- Grantees are required to carry out activities consistent with the original proposal summary statement and the intent of the application as approved for funding, including in instances where the grant award may be less that the original request amount.
- Changes to funded activities must be proposed in advance, and would require prior written approval from CAC staff. Requests for changes are considered on a case-by-case basis; approval is not guaranteed.
- To better inform our elected representatives as to the value of the arts and the use of state funds, grantees are required to include—with the approved grant agreement—copies of signed letters sent to the Governor and state Senate and Assembly representatives thanking them for the grant.
- Use the CAC logo on all printed, electronic materials and websites (programs, catalogs, postcards, posters, newsletters, leaflets, publications, etc.) that specifically reference this grant.
- Credit the CAC on all printed and electronic materials: “This activity is funded in part by the California Arts Council, a state agency.”
- Provide a report summarizing grant-funded activities and accomplishments at the end of the Grant Activity Period.

California Model Agreement (AB20) and Indirect Costs
In order to comply with AB20 requirements, University of California and California State University grantees are required to secure an indirect cost waiver from The Regents of the University of California or The Trustees of the California State University.

Appeal Process
Appeals to CAC funding decisions must be submitted on an official Appeal Form, available from the CAC, and postmarked within 45 days of the decision. Appeals are granted only on the following grounds:

1. Panel’s assessment was based on a misstatement of factual information as contained in the application such that it negatively influenced the panel’s recommendation; and/or
2. Incorrect processing of the required application material such that it negatively influenced the panel’s assessment of the applicant’s request for funding.

Note: Dissatisfaction with award denial or with award amount is not grounds for appeal.
**Staff Assistance**

CAC staff is available to offer guidance and clarification in preparing your proposal. We recommend that you contact staff well in advance of the deadline to ensure you can be accommodated. People who are deaf, hard of hearing, deaf-blind, or have difficulty speaking may dial 711 to reach the California Relay Service (CRS). Large print is available upon request.

**Hilary Amnah, Arts Program Specialist**

hilary.amnah@arts.ca.gov  
(916) 322-6502
STATEWIDE AND REGIONAL NETWORKS
2019-2020 Grant Guidelines & Application Instructions
Deadline: November 15, 2019 11:59 PM

The mission of the California Arts Council, a state agency, is to advance California through the arts and creativity.

Learn more at www.arts.ca.gov

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California Arts Council

Governor of California
Gavin Newsom

Arts Council Members
Nashormeh Lindo, Chair
Larry Baza, Vice Chair

Juan Devis
Jodie Evans
Kathleen Gallegos
Jaime Galli
Stanlee Gatti
Donn K. Harris
Louise McGuinness

Executive Director
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(916) 322-6555
Toll Free: (800) 201-6201
FAX: (916) 322-6575
CA Relay Service: 711
Large print is available upon request.

www.arts.ca.gov

Office Hours
8:00 AM - 5:00 PM
Monday through Friday
Purpose: The California Arts Council (CAC), a state agency, was established in January 1976 to encourage artistic awareness, participation, and expression; to help independent local groups develop their own arts programs; to promote employment of artists and those skilled in crafts in the public and private sector; and to enlist the aid of all state agencies in the task of ensuring the fullest expression of our artistic potential.

The Council: The appointed Council of the CAC consists of 11 members who serve staggered terms. The Governor appoints nine members, the assembly Speaker appoints one member, and the Senate President pro Tempore appoints one member. Council members serve without salary, elect their own officers, and meet throughout the state to encourage public attendance. This body sets policy and has final approval of CAC grants.

Mission: Advancing California through the arts and creativity.

Vision: The CAC envisions a California where the lives of all Californians are enriched by access to and participation in a diverse spectrum of arts and cultural experiences and the arts ecosystem reflects contributions from all of California’s diverse populations.

Funding: The CAC is a state agency, funded from the state’s annual budget process and proceeds from the California Arts License Plate and the Keep Arts in Schools tax return voluntary contribution fund, supplemented by funds from the National Endowment for the Arts. Its grants are usually matched by foundations, individuals, earned income, government agencies, or other organizations.

Information Access: Due to the Public Records and Open Meeting Acts, applications and their attachments are not confidential and may be requested by the media and/or public. Meeting dates and locations are posted at www.arts.ca.gov. Observers may attend but may not participate in, or in any way interfere with, Council meetings. Each meeting provides a designated time for public comment, although comments may be time-limited.

Grant Process: Applications are evaluated by panels of experts, recognized in their respective fields, who rank applications according to program criteria. The CAC staff provides information but not recommendations to the panel. The Council reviews panel recommendations before making final funding decisions. CAC staff is responsible for grant contract administration after Council approval. In dire or unexpected circumstances, CAC reserves the right to make exceptions to any policy or procedure on a case-by-case basis.

Requirements: The CAC is mandated both by federal and state regulations to fund only organizations that have proof of nonprofit status under sec. 501(c)(3) of the Internal Revenue Code (Fiscal Receivers are eligible in some programs), or under sec. 23701d of the California Revenue and Taxations Code, or entities that are a unit of government; and that comply with the Civil Rights Acts of 1964, as amended; sec. 504 of the Rehabilitation Act of 1973, as amended; the Age Discrimination Act of 1975; the Drug-Free Workplace Act of 1988; California Government Code secs. 11135-11139.5 (barring discrimination); the Fair Labor Standards Act, as defined by the Secretary of Labor in part 505 of title 29 of the Code of Federal Regulation; the Americans With Disabilities Act of 1990 (“ADA”); the Fair Employment and Housing Act; and the Personal Responsibility and Work Opportunity Reconciliation Act of 1996.

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STATEWIDE AND REGIONAL NETWORKS
2019-20 GRANT GUIDELINES
DEADLINE: November 15, 2019 11:59 PM
Apply at calartscouncil.smartsimple.com
Up to $35,000
Grant Activity Period: July 1, 2020 – June 30, 2021

Background and Purpose
The Statewide and Regional Networks (SRN) program is rooted in the California Arts Council’s (CAC) role in building a strong foundation for the arts in our state. The CAC is committed to providing practical services to working artists and constituent organizations by supporting arts service organizations and networks reflective of California’s diverse populations.

The purpose of the Statewide and Regional Networks program is to provide general operating grants for arts service organizations and networks with regional or statewide reach. An arts service organization is defined as an organization that furthers the interests of artists, creators, tradition bearers, arts and cultural organizations, and/or elements of the arts community. These organizations preserve, present and promote specific cultural practices, artistic disciplines, or creative initiatives.

Together, they represent the diversity and complexity of California by providing specialized, practical services for artists, arts organizations, and cultural communities, and by advancing the arts and cultural ecosystem statewide.

Program Goals
Projects should address the following Statewide and Regional Network program goals:

● Strengthen and advance the arts and culture ecosystem in California through general operating support for arts service organizations and networks that represent the diversity and vibrancy of California.
● Strengthen the organizational capacity of arts service organizations and networks in delivering services such as communications, professional development opportunities, re-granting, mentorships, career services, networking and local arts advocacy.

Program Requirements
● The applicant must complete general operating support activities aligned with the program’s purpose by June 30, 2021.
● All activities to be funded by the CAC must occur within the Grant Activity Period (see Timeline).
Organizational activities and services must demonstrate how they strengthen and advance the network. Services may include but are not limited to communications, professional development opportunities, re-granting, mentorships, career services, networking events and arts advocacy.

Organization must reflect a commitment to include and represent the communities to be served.

Network constituent representation must have evidence of active, effective, and relevant statewide or regional reach.

Organizational activities and services must provide evidence of service and activity access to all counties and/or municipalities identified in the network.

Rates of compensation for individual artists and/or arts workers to be supported by this grant must be appropriate to experience and comparable to fees for other local skilled workers.

All CAC-funded programs, services, information, and facilities where funded activities take place must be accessible for individuals with disabilities, including, but not limited to, individuals who are deaf, hard of hearing, deaf-blind, have difficulty speaking, have a physical disability, visual disability, developmental disability, learning disability, mental illness or chronic illness. Please see Page 3: Requirements for more information.

**SRN Grantee Responsibilities**

Funding for general operating support is awarded as a contract between the SRN grantee and the California Arts Council. SRN grantees will be expected to:

- Attend CAC convening(s) (if applicable).
- Host meetings for the CAC as needed, when sufficient notice has been given.
- Participate, where feasible, in cooperative information gathering and programming when deemed to be mutually beneficial by both the CAC and the grantee.
- Promote CAC grant opportunities, workshops, and other resources and information to county constituents.
- Participate in program evaluation (if applicable).

**Program Definitions**

- **Access** is the right or opportunity to experience services and programs regardless of geographic, economic, disability, sexual orientation, gender identity, and racial barriers.
- **Advocacy** is the act or process of supporting a cause or proposal in order to initiate change in a society. This can include activities to support public policy and resource allocation decisions within political, economic, and social systems and institutions that directly affect people’s lives.
- **Arts Service Organizations** are nonprofit or fiscally sponsored organizations that further the interests of artists, creators, tradition bearers, arts and cultural organizations, and elements of the arts community. These organizations preserve, present and promote specific cultural practices, artistic disciplines, or creative initiatives.
● **Culture** is the beliefs and practices of a particular group of people that are united by ethnicity, artistry or social context.

● **Culturally specific** refers to organizational networks that are rooted in and reflective of cultural, artistic and ethnic communities.

● **Equity** is fair practices to accessing resources and the inclusion of all communities in the representation, participation and creation of services and programs.

● **Lobbying** includes activities that are intended to influence specific legislation. Lobbying activities cannot be funded by the CAC.

● **Networks** are associations or groups of individuals or organizations with common interests, visions or organizational missions that work together to strengthen the collective group. Organizational membership, services and activities include professional development opportunities through communications, technical assistance, networking and arts advocacy.

● **Regional** reach must include services, activities and events with significant constituent representation in at least three counties, OR a county with a total population of over 3 million in multiple municipalities including San Diego, Los Angeles or San Jose.

● **Statewide reach** must include services, activities and events with significant constituent representation from the Northern, Central, and Southern regions.

### Eligible Request Amounts

● Applicant organizations can request up to $35,000.

● The **total request for funding in this grant program** cannot exceed 50% of an organization’s total operating revenue from the most recently completed fiscal year, as it appears in the SMU DataArts Funder Report.

### Eligibility

● Applicant organization must be an arts service organization, network, or association with regional or statewide reach as stated in program definitions below.

● Applicants must either be a California-based nonprofit arts organization, arts-based unit of municipal or county government, or tribal government.

● All activities to be funded by the California Arts Council must take place in California.

● All applicants must have a minimum two-year history of consistent engagement in arts programming and/or services prior to the application deadline.

● All applicant organizations must have a principal place of business in California.

● Applicant organizations must demonstrate proof of nonprofit status under section 501(c)(3) of the Internal Revenue Code, or section 23701d of the California Revenue and Taxation Code, or must be a unit of government, including California Native American or Indigenous tribal governments.

   o **Fiscal Sponsors**: An applicant without nonprofit status may use a California-based Fiscal Sponsor with a federal 501(c)(3) designation to conduct work on a not-for-profit project. The Fiscal Sponsor will provide the fiscal and administrative services needed to complete the grant. **If a**
When a grant is awarded, the Fiscal Sponsor becomes the legal contractor. The Fiscal Sponsor must also demonstrate consistent arts services or programming in California for a minimum of two years prior to the application deadline. See additional information on the use of CAC Fiscal Sponsors.

- Applicants using Fiscal Sponsors must submit all required materials for the Fiscal Sponsor at the time of application.

- All applicants must submit all required application materials and information at the time of submission. Incomplete applications are ineligible and will not be reviewed by the panel. Please see Application Instructions for all required materials and information.

- This program requires the submission of an SMU DataArts Funder Report generated specifically for this CAC grant program. Applicants must provide a minimum of two, and up to three years of data in the Funder Profile, and submit a Funder Report meeting these requirements at the time of application.

**California Secretary of State Certificate of Status**
Nonprofit organizations must have “active status” with the California Secretary of State (SOS) showing evidence of “good standing” at the time of application.

You can verify your organization’s status by conducting a search using the SOS online Business Search tool. An indication of “active” (versus “suspended,” “dissolved,” “cancelled,” etc.) confirms that your nonprofit corporation exists, is authorized to conduct business in the State of California, has met all licensing and corporation requirements, and has not received a suspension from the Franchise Tax Board.

**Funding Restrictions**
- State-Local Partner (SLP) grantees are not eligible for SRN support in the same fiscal year, with the exception of an SLP serving as a Fiscal Sponsor for a distinct network that is not supported by the CAC through the SLP program.
- The total of all requests for CAC support during the same year of funding cannot exceed 50% of an organization’s total operating revenue from the most recently completed fiscal year, as it appears in the SMU DataArts Funder Report.
- Applicants to this program are not restricted from applying for and receiving additional CAC project grants.

**Matching Funds**
All grant recipients must provide a 1:1 match for this grant. If applying for multiple CAC grants in a single fiscal year, distinct matching funds must be identified within each application. State funds cannot be used to meet the match. The status of each source must be specified as either projected, pending or committed.

The matching funds requirement may be met entirely through cash match sources, or by applying the value of in-kind sources for up to 50% of the match.
Cash match sources include corporate or private contributions; municipal, county, or federal government funding; or earned income, while in-kind match refers to goods or services, rather than currency, and for which monetary value can be determined. The use of in-kind contributions to meet the CAC matching funds requirement acknowledges that some organizations may not have the financial capability to provide a 1:1 cash match, but can demonstrate the capacity to carry out the activities in the grant application through other sources.

If utilizing the value of in-kind support to meet the match, keep in mind the following:

- **In-kind contributions may only be provided by third parties.**
  - A third party is defined as a service provider, partner, or supplier that is independent of the applicant organization and the CAC, and is not compensated through grant funds.
  - In-kind contributions may be in the form of space; pro bono consultancy, training or services; supplies; and other expendable property that are given free of charge to the organizations.
  - In-kind contributions by state entities are ineligible.
  - In-kind contributions must be reflected as an expense in the grant application budget.

Applicants to this program must also accurately document and report in-kind contributions in the submitted DataArts Funder Report. See additional information on CAC In-kind Contributions. If you have questions about in-kind contribution documentation or eligibility, please contact the designated staff (see Staff Assistance).

**What the CAC Does Not Fund**

- As applicants:
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  - State agencies
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Applications will be available online through the CAC’s online grants management system at [calartscouncil.smartsimple.com](http://calartscouncil.smartsimple.com). Only applications submitted through the system by the deadline will be accepted. More information can be found on the [Grant Programs](http://calartscouncil.smartsimple.com/) page of the CAC website.

**Review Criteria**
A review panel will adjudicate applications based on the following criteria:

- **Statewide or Regional Programming, Services, and Networking**: Degree to which the organization serves as a service organization for artists, creators, tradition bearers, arts and cultural organizations, and/or elements of the arts community through activities such as: communications, professional development opportunities, networking and arts advocacy. Strong evidence of statewide or regional activities, services and constituent base.

- **Community Impact**: Demonstration of reach and depth of programs, activities and services that respond to the needs, values, and priorities of constituency.

- **Management and Leadership**: Ability of applicant organization to fulfill programs and services identified in proposal in a sustainable manner. Evidence of strategies to strengthen organizational capacity, diversity of revenue sources, appropriate leadership and compensation for staff, effective governance policies and structure that is representative of the region/state and diverse in backgrounds. Evidence that a strong strategic plan is in place, or that the board is actively working towards developing a plan. Overall fiscal and managerial health of applicant is evident.

- **Equity**: Degree to which the organization maintains equitable practices for providing access to programs, services and resources, despite geographic, economic, disability, and barriers to participation. Degree to which organizational operations include equitable practices and policies. Advances the cultural and ethnic diversity of arts and artists throughout the region or state.

- **Access**: Evidence that CAC-funded programs, services, information, and facilities where funded activities are to take place will be accessible for individuals with disabilities, including, but not limited to, individuals who are deaf, hard of hearing, deaf-blind, have difficulty speaking, have a physical disability, visual disability, developmental disability, learning disability, mental illness or chronic illness.
Panel Adjudication and Ranking Scale
Panelists review applications and work samples using the 6-point ranking scale below.

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California Arts Council Decision-making
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California Model Agreement (AB20) and Indirect Costs
In order to comply with AB20 requirements, University of California and California State University grantees are required to secure an indirect cost waiver from The Regents of the University of California or The Trustees of the California State University.

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Appeals to CAC funding decisions must be submitted on an official Appeal Form, available from the CAC, and postmarked within 45 days of the decision. Appeals are granted only on the following grounds:

1. Panel’s assessment was based on a misstatement of factual information as contained in the application such that it negatively influenced the panel’s recommendation; and/or
2. Incorrect processing of the required application material such that it negatively influenced the panel’s assessment of the applicant’s request for funding.

Note: Dissatisfaction with award denial or with award amount is not grounds for appeal.

Staff Assistance
CAC staff is available to offer guidance and clarification in preparing your proposal. We recommend that you contact staff well in advance of the deadline to ensure you can be accommodated. People who are deaf, hard of hearing, deaf-blind, or have difficulty speaking may dial 711 to reach the California Relay Service (CRS). Large print is available upon request.
New applicants to this program are highly encouraged to contact the Program Specialist to confirm eligibility before starting an application to this program.

Maya Austin, M.A, Arts Program Specialist
maya.austin@arts.ca.gov
(916) 322-6584
VETERANS IN THE ARTS
2019-2020 Grant Guidelines & Application Instructions

Deadline: November 1, 2019 11:59 PM

The mission of the California Arts Council, a state agency, is to advance California through the arts and creativity.

Learn more at [www.arts.ca.gov](http://www.arts.ca.gov)

© 2019 State of California
**Purpose:** The California Arts Council (CAC), a state agency, was established in January 1976 to encourage artistic awareness, participation, and expression; to help independent local groups develop their own arts programs; to promote employment of artists and those skilled in crafts in the public and private sector; and to enlist the aid of all state agencies in the task of ensuring the fullest expression of our artistic potential.

**The Council:** The appointed Council of the CAC consists of 11 members who serve staggered terms. The Governor appoints nine members, the assembly Speaker appoints one member, and the Senate President pro Tempore appoints one member. Council members serve without salary, elect their own officers, and meet throughout the state to encourage public attendance. This body sets policy and has final approval of CAC grants.

**Mission:** Advancing California through the arts and creativity.

**Vision:** The CAC envisions a California where the lives of all Californians are enriched by access to and participation in a diverse spectrum of arts and cultural experiences and the arts ecosystem reflects contributions from all of California’s diverse populations.

**Funding:** The CAC is a state agency, funded from the state’s annual budget process and proceeds from the California Arts License Plate and the Keep Arts in Schools tax return voluntary contribution fund, supplemented by funds from the National Endowment for the Arts. Its grants are usually matched by foundations, individuals, earned income, government agencies, or other organizations.

**Information Access:** Due to the Public Records and Open Meeting Acts, applications and their attachments are not confidential and may be requested by the media and/or public. Meeting dates and locations are posted at [www.arts.ca.gov](http://www.arts.ca.gov). Observers may attend but may not participate in, or in any way interfere with, Council meetings. Each meeting provides a designated time for public comment, although comments may be time-limited.

**Grant Process:** Applications are evaluated by panels of experts, recognized in their respective fields, who rank applications according to program criteria. The CAC staff provides information but not recommendations to the panel. The Council reviews panel recommendations before making final funding decisions. CAC staff is responsible for grant contract administration after Council approval. In dire or unexpected circumstances, CAC reserves the right to make exceptions to any policy or procedure on a case-by-case basis.

**Requirements:** The CAC is mandated both by federal and state regulations to fund only organizations that have proof of nonprofit status under sec. 501(c)(3) of the Internal Revenue Code (Fiscal Receivers are eligible in some programs), or under sec. 23701d of the California Revenue and Taxations Code, or entities that are a unit of government; and that comply with the Civil Rights Acts of 1964, as amended; sec. 504 of the Rehabilitation Act of 1973, as amended; the Age Discrimination Act of 1975; the Drug-Free Workplace Act of 1988; California Government Code secs. 11135-11139.5 (barring discrimination); the Fair Labor Standards Act, as defined by the Secretary of Labor in part 505 of title 29 of the Code of Federal Regulation; the Americans With Disabilities Act of 1990 (“ADA”); the Fair Employment and Housing Act; and the Personal Responsibility and Work Opportunity Reconciliation Act of 1996.

**Ownership, Copyrights, Royalties, Credit:** The CAC does not claim ownership, copyrights, royalties, or other claim to artwork produced as a result of a CAC grant. However, the CAC reserves the right to reproduce and use such material for official, noncommercial purpose, including but not limited to use on the CAC website, social media and print materials. In addition, the CAC requires documentation of grants activity, and appropriate credit for CAC partial support.
VETERANS IN THE ARTS
2019-20 GRANT GUIDELINES
DEADLINE: November 1, 2019 11:59 PM
Apply at calartscouncil.smartsimple.com
Up to $20,000
Grant Activity Period: July 1, 2020 – June 30, 2021

Background and Purpose
The Veterans in the Arts (VIA) program is rooted in the California Arts Council’s (CAC) vision to create a state where the arts are a central component of daily life and accessible to all. Through this program, the CAC desires to specifically address the needs and improve the lives of California’s veterans through arts, culture, and creative expression.

The purpose of the VIA program is to increase equity, access, and opportunities for veterans to participate in quality arts programming that is sensitive and responsive to their unique experiences. VIA provides project support for nonprofit arts organizations, local arts agencies, veteran’s assistance agencies, and social service agencies to reach veterans and their families. Projects include the perspective of one or more local veterans as active participants in the design, planning, and implementation of a project.

Program Goals
Projects should address the following Veterans in the Arts program goals:
- Develop the creative expression and artistic abilities of veterans and/or their families.
- Provide greater access to in-depth and interactive arts-learning activities for veteran communities that is developed with the participation and representation of those communities.
- Engage veterans and/or their families in quality arts programming that is sensitive and responsive to their unique experiences.
- Support arts programs that seek to elevate veterans’ voices, narratives, perspectives, and/or contributions.
- Provide greater awareness and appreciation for the range of veterans’ experiences, regardless of timeframe for service, branch of military, reason for separation, or citizenship status at the time of conflict.

Project Requirements
- The applicant must develop and complete a project addressing the program’s purpose by June 30, 2021.
- All activities to be funded by the CAC must occur within the Grant Activity Period (see Timeline).
- The project must serve veterans and/or their immediate family members.
● The project must support high-quality, in-depth, and participatory arts-learning activities and opportunities for creative expression.
● The project plan must be tailored to and appropriate for a specific veteran community.
● The project must include the perspective of one or more local veterans as active participants in the design, planning, and implementation of the project.
● The project plan must contain a detailed timeline indicating a thoughtful approach to engaging the community, and clear identification of space, materials, and equipment requirements.
● Programming must be free of charge for project participants.
● Rates of compensation for individual artists and/or arts workers to be supported by this grant must be appropriate to experience and comparable to fees for other local skilled workers.
● If proposing therapeutic outcomes, applicants must establish the qualifications of service providers, how strategies are appropriate to the clinical and/or community arts setting, and how support is culturally responsive to participants.
● Letter of Support and/or testimonial from community member or stakeholder is required, indicating support for the organization and the project within the community.
● Project design and implementation must reflect a commitment to include and represent the communities to be served.
● All CAC-funded programs, services, information, and facilities where funded activities take place must be accessible for individuals with disabilities, including, but not limited to, individuals who are deaf, hard of hearing, deaf-blind, have difficulty speaking, have a physical disability, visual disability, developmental disability, learning disability, mental illness or chronic illness. Please see Page 3: Requirements for more information.

Eligible Request Amounts
● Applicant organizations can request up to $20,000.
● The total request for funding in this grant program cannot exceed 50% of an organization’s total operating revenue from the most recently completed fiscal year, as provided on the Budget Snapshot at the time of application.

Eligibility
For the purposes of this program, a “veteran” is defined as any person who has served in the United States military, or an individual who has previously served in a similar military capacity alongside and/or at the request, direction, and command of U.S. military forces.

● Applicant organizations must be one of the following:
  o California-based nonprofit arts organization, arts-based unit of municipal or county government, or tribal government.
  o Veteran’s assistance agency (either a local unit of government or a California-based nonprofit organization) with arts programming as a part of the mission, vision, and budget of the organization.
    ▪ Veteran’s assistance agencies must have a primary mission of providing assistance to veterans and/or their families, and may
include but are not limited to: Veterans Service Organizations, Vet Centers, local veterans commissions, veterans housing and medical facilities, and homeless and disabled veterans support agencies.

- California-based nonprofit social service organizations with regular, ongoing arts programs and/or services, as well as significant history of supporting immigrant and refugee communities whose veterans had previously served directly alongside and at the command of U.S. military forces.

If you have questions regarding the eligibility of your organization, contact the designated staff (see Staff Assistance).

- All applicants must have a minimum two-year history of consistent engagement in arts programming and/or services prior to the application deadline.
- All applicant organizations must have a principal place of business in California.
- Applicant organizations must demonstrate proof of nonprofit status under section 501(c)(3) of the Internal Revenue Code, or section 23701d of the California Revenue and Taxation Code, or must be a unit of government, including California Native American or Indigenous tribal governments.

- **Fiscal Sponsors**: An applicant without nonprofit status may use a California-based Fiscal Sponsor with a federal 501(c)(3) designation to conduct work on a not-for-profit project. The Fiscal Sponsor will provide the fiscal and administrative services needed to complete the grant. **If a grant is awarded, the Fiscal Sponsor becomes the legal contractor.** The Fiscal Sponsor must also demonstrate consistent arts services or programming in California for a minimum of two years prior to the application deadline. See additional information on the use of CAC Fiscal Sponsors.

- Applicants using Fiscal Sponsors must submit all required materials for the Fiscal Sponsor at the time of application.

- All applicants must submit all required application materials and information at the time of submission. Incomplete applications are ineligible and will not be reviewed by the panel. Please see Application Instructions for all required materials and information.

- The submission of an SMU DataArts Funder Report is not required for this program. However, applicants must provide a minimum of two, and up to three years of basic financial information via the Budget Snapshot within the application.

**California Secretary of State Certificate of Status**

Nonprofit organizations must have “active status” with the California Secretary of State (SOS) showing evidence of “good standing” at the time of application.

You can verify your organization’s status by conducting a search using the SOS online Business Search tool. An indication of “active” (versus “suspended,” “dissolved,” “cancelled,” etc.) confirms that your nonprofit corporation exists, is authorized to
conduct business in the State of California, has met all licensing and corporation requirements, and has not received a suspension from the Franchise Tax Board.

**Funding Restrictions**

- The total of all requests for CAC support during the same year of funding cannot exceed 50% of an organization’s total operating revenue from the most recently completed fiscal year, as reported in the Budget Snapshot at the time of application.
- Applicants to this program are not restricted from applying for and receiving additional CAC program grants as long as those funds are used for different projects and purposes. To meet this criterion, applicants must demonstrate that projects:
  - Serve primarily different groups of people
  - Take place in different spaces, times, and/or contexts
  - Achieve fundamentally distinct programmatic outcomes

**Matching Funds**

All grant recipients must provide a 1:1 match for this grant. If applying for multiple CAC grants in a single fiscal year, distinct matching funds must be identified within each application. State funds cannot be used to meet the match. The status of each source must be specified as either projected, pending or committed.

The matching funds requirement may be met entirely through cash match sources, or by applying the value of in-kind sources for up to 50% of the match.

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A review panel will adjudicate applications based on the following criteria:
- **Project Design and Implementation:** Project design indicates realistic timeline, appropriate budget, clear artistic and community-based objectives, and achievable goals. Design includes methods to evaluate and measure success,
collect and analyze data, and document activities. Design demonstrates depth of participant involvement and clear plans for community outreach and marketing.

● **Artistic Merit:** California artists are involved at every stage of the project design and execution and demonstrate skills, expertise, and experiences that are central to the goals of the project and program.

● **Community Impact:** Project demonstrates reach and/or depth of engagement in a defined community. Project is responsive to the community to be served. Project execution and evaluation involve significant community participation in accordance with the identified program goals and project requirements.

● **Management and Leadership:** Ability of applicant organization to implement proposed project is clearly demonstrated by qualifications of project’s team, viability of project budget, and overall fiscal and managerial health of applicant and partnering organizations.

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Jason Jong, Interim Programs Officer
jason.jong@arts.ca.gov
(916) 322-6338
YOUTH ARTS ACTION
2019-2020 Grant Guidelines & Application Instructions
Deadline: November 8, 2019 11:59 PM

The mission of the California Arts Council, a state agency, is to advance California through the arts and creativity.

Learn more at www.arts.ca.gov

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California Arts Council

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   Gavin Newsom

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   Larry Baza, Vice Chair
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   FAX: (916) 322-6575
   CA Relay Service: 711

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www.arts.ca.gov

Office Hours
   8:00 AM - 5:00 PM
   Monday through Friday
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**Information Access:** Due to the Public Records and Open Meeting Acts, applications and their attachments are not confidential and may be requested by the media and/or public. Meeting dates and locations are posted at [www.arts.ca.gov](http://www.arts.ca.gov). Observers may attend but may not participate in, or in any way interfere with, Council meetings. Each meeting provides a designated time for public comment, although comments may be time-limited.

**Grant Process:** Applications are evaluated by panels of experts, recognized in their respective fields, who rank applications according to program criteria. The CAC staff provides information but not recommendations to the panel. The Council reviews panel recommendations before making final funding decisions. CAC staff is responsible for grant contract administration after Council approval. In dire or unexpected circumstances, CAC reserves the right to make exceptions to any policy or procedure on a case-by-case basis.

**Requirements:** The CAC is mandated both by federal and state regulations to fund only organizations that have proof of nonprofit status under sec. 501(c)(3) of the Internal Revenue Code (Fiscal Receivers are eligible in some programs), or under sec. 23701d of the California Revenue and Taxations Code, or entities that are a unit of government; and that comply with the Civil Rights Acts of 1964, as amended; sec. 504 of the Rehabilitation Act of 1973, as amended; the Age Discrimination Act of 1975; the Drug-Free Workplace Act of 1988; California Government Code secs. 11135-11139.5 (barring discrimination); the Fair Labor Standards Act, as defined by the Secretary of Labor in part 505 of title 29 of the Code of Federal Regulation; the Americans With Disabilities Act of 1990 (“ADA”); the Fair Employment and Housing Act; and the Personal Responsibility and Work Opportunity Reconciliation Act of 1996.

**Ownership, Copyrights, Royalties, Credit:** The CAC does not claim ownership, copyrights, royalties, or other claim to artwork produced as a result of a CAC grant. However, the CAC reserves the right to reproduce and use such material for official, noncommercial purpose, including but not limited to use on the CAC website, social media and print materials. In addition, the CAC requires documentation of grants activity, and appropriate credit for CAC partial support.
YOUTH ART ACTION
2019-20 GRANT GUIDELINES
DEADLINE: November 8, 2019 11:59 PM
Apply at calartscouncil.smartsimple.com
Up to $20,000
Grant Activity Period: July 1, 2020 – June 30, 2021

Background and Purpose
The Youth Arts Action (YAA) program is rooted in the California Arts Council’s (CAC) belief that arts learning is an essential tool for healthy human development, and that it should be available to all young people throughout California. The CAC envisions that all of California’s young people are provided with meaningful, culturally responsive arts-learning experiences, so that they can reach their full potential. Continuing in its second year, beneficiaries of YAA projects include youth from infancy to 17 years of age, and transitional young people ages 18 through 24. All projects occur outside of traditional school hours, taking place in arts venues, community centers, school sites, and other youth-oriented settings.

- Children ages 0-5 and school-age youth are a priority for this program, with successful projects demonstrating the ability to cultivate positive social and emotional development, as well as promote artistic expression and creativity.
- Support for transitional-age individuals, ages 17 through 24, are an additional priority, with successful projects demonstrating the capacity to engage with transitional youth in relevant, dynamic, and innovative ways.

Examples of applicable projects include but are not limited to: arts projects in early learning, daycare or foster care environments; projects that support the transmission of traditional arts and cultural practices; youth leadership and mentorship opportunities in arts settings, and arts programming that supports workforce development and entrepreneurship.

Program Goals
Projects should address one or more of the following Youth Arts Action program goals:
- Provide social-emotional creative experiences in safe and nurturing environments.
- Foster creative abilities of youth through culturally and linguistically responsive arts learning.
- Support arts programs that seek to activate youth voices, narratives, and perspectives.
- Utilize cultural assets of the local community to support positive self-identification and respect for diverse cultures.
● Empower youth through the preservation, revitalization, and/or reclamation of cultural practices.
● Cultivate the development of transferable life skills such as critical thinking, problem solving, leadership, and collaboration.

**Project Requirements**
● The applicant must develop and complete a project addressing the program’s purpose by June 30, 2021.
● All activities to be funded by the CAC must occur within the Grant Activity Period (see **Timeline**).
● The project design must provide for safe, healthy, and appropriate learning environments for youth.
● The project design must include hands-on learning in specific art forms to develop creativity, skills, and knowledge in at least one artistic and/or cultural discipline, including but not limited to:
  o Dance - contemporary, hip-hop, ballet, jazz, tap, house, movement traditions and forms of all cultures
  o Literary Arts - poetry, zine-making, spoken word
  o Media Arts - animation, video, digital photography, film, podcasts, other forms of new media
  o Music - traditional/culturally specific, folk, jazz, hip-hop, punk, classical, other forms
  o Theatre - devised, original works, playwriting, productions
  o Traditional and Folk Arts - basketry, embroidery, weaving, woodcarving
  o Visual Arts - murals, exhibitions, 3D, photography, sculpture, clay works, curatorial training
● If projects are tuition-based, the applicant must include a robust equity and accessibility plan that includes full scholarships and reduced fees.
● The project should offer enough contact time to foster learning.
● The project must include sustained activities over a period determined by the needs of the artists, youth and the parameters of the project. This could mean intensive daily interaction over the course of 1-2 weeks, weekly interaction over months, or a year.
● Artists(s) to be compensated and supported by this grant must show relevant experience of at least two years, be based in California, and may not be engaged in the project as full-time students in a degree program.
● Rates of compensation for individual artists and/or arts workers to be supported by this grant must be appropriate to experience and comparable to fees for other local skilled workers.
● If proposing therapeutic outcomes, applicants must establish the qualifications of service providers, how strategies are appropriate to the clinical and/or community arts setting, and how support is culturally responsive to participants.
● Project design and implementation must reflect a commitment to include and represent the communities to be served.
● All CAC-funded programs, services, information, and facilities where funded activities take place must be accessible for individuals with disabilities, including,
but not limited to, individuals who are deaf, hard of hearing, deaf-blind, have difficulty speaking, have a physical disability, visual disability, developmental disability, learning disability, mental illness or chronic illness. Please see Page 3: Requirements for more information.

Eligible Request Amounts

- Applicant organizations can request up to $20,000.
- The total request for funding in this grant program cannot exceed 50% of an organization’s total operating revenue from the most recently completed fiscal year, as provided on the Budget Snapshot at the time of application.

Eligibility

- Applicant organizations must be one of the following:
  - California-based nonprofit arts organization, arts-based unit of municipal or county government, or tribal government.
  - California-based nonprofit social service organizations with regular, ongoing arts programs and/or services may be eligible to apply if they meet all eligibility requirements. These may include libraries, housing agencies, senior/teen/cultural community centers, foster care facilities, or hospitals.

If you have questions regarding the eligibility of your organization or the nature of your proposed partnerships, contact the designated staff (see Staff Assistance).

- All applicants must have a minimum two-year history of consistent engagement in arts programming and/or services prior to the application deadline.
- All applicant organizations must have a principal place of business in California.
- Applicant organizations must demonstrate proof of nonprofit status under section 501(c)(3) of the Internal Revenue Code, or section 23701d of the California Revenue and Taxation Code, or must be a unit of government, including California Native American or Indigenous tribal governments.
  - Fiscal Sponsors: An applicant without nonprofit status may use a California-based Fiscal Sponsor with a federal 501(c)(3) designation to conduct work on a not-for-profit project. The Fiscal Sponsor will provide the fiscal and administrative services needed to complete the grant. **If a grant is awarded, the Fiscal Sponsor becomes the legal contractor.** The Fiscal Sponsor must also demonstrate consistent arts services or programming in California for a minimum of two years prior to the application deadline. See additional information on the use of CAC Fiscal Sponsors.
  - Applicants using Fiscal Sponsors must submit all required materials for the Fiscal Sponsor at the time of application.

- All applicants must submit all required application materials and information at the time of submission. Incomplete applications are ineligible and will not be reviewed by the panel. Please see Application Instructions for all required materials and information.
The submission of an SMU DataArts Funder Report is not required for this program. However, applicants must provide a minimum of two, and up to three years of basic financial information via the Budget Snapshot within the application.

California Secretary of State Certificate of Status
Nonprofit organizations must have “active status” with the California Secretary of State (SOS) showing evidence of “good standing” at the time of application.

You can verify your organization’s status by conducting a search using the SOS online Business Search tool. An indication of “active” (versus “suspended,” “dissolved,” “cancelled,” etc.) confirms that your nonprofit corporation exists, is authorized to conduct business in the State of California, has met all licensing and corporation requirements, and has not received a suspension from the Franchise Tax Board.

Funding Restrictions
- The total of all requests for CAC support during the same year of funding cannot exceed 50% of an organization’s total operating revenue from the most recently completed fiscal year, as reported in the Budget Snapshot at the time of application.
- Applicants to this program are not restricted from applying for and receiving additional CAC program grants as long as those funds are used for different projects and purposes. To meet this criterion, applicants must demonstrate that projects:
  - Serve primarily different groups of people
  - Take place in different spaces, times, and/or contexts
  - Achieve fundamentally distinct programmatic outcomes

Matching Funds
All grant recipients must provide a 1:1 match for this grant. If applying for multiple CAC grants in a single fiscal year, distinct matching funds must be identified within each application. State funds cannot be used to meet the match. The status of each source must be specified as either projected, pending or committed.

The matching funds requirement may be met entirely through cash match sources, or by applying the value of in-kind sources for up to 50% of the match.

Cash match sources include corporate or private contributions; municipal, county, or federal government funding; or earned income, while in-kind match refers to goods or services, rather than currency, and for which monetary value can be determined. The use of in-kind contributions to meet the CAC matching funds requirement acknowledges that some organizations may not have the financial capability to provide a 1:1 cash match, but can demonstrate the capacity to carry out the activities in the grant application through other sources.

If utilizing the value of in-kind support to meet the match, keep in the mind the following:
- In-kind contributions may only be provided by third parties.
● A third party is defined as a service provider, partner, or supplier that is independent of the applicant organization and the CAC, and is not compensated through grant funds.
● In-kind contributions may be in the form of space; pro bono consultancy, training or services; supplies; and other expendable property that are given free of charge to the organizations.
● In-kind contributions by state entities are ineligible.
● In-kind contributions must be reflected as an expense in the grant application budget.

See additional information on CAC In-kind Contributions. If you have questions about in-kind contribution documentation or eligibility, please contact the designated staff (see Staff Assistance).

What the CAC Does Not Fund

● As applicants:
  ○ Individuals
  ○ State agencies
  ○ Federal agencies
  ○ Non-arts organizations not involved in arts activities
  ○ For-profit organizations
  ○ Nonprofit organizations not in “good standing” with California Secretary of State
  ○ Former grantee organizations not in compliance with CAC grant requirements (as stipulated in grant agreement)
  ○ Expenses incurred before the start date or after the ending date of the Grant Activity Period
  ○ Expenses that would supplant other state funding*
  ○ Operational, administrative or indirect costs of schools, colleges, or universities, or any activities that are part of the curricular base of these institutions
  ○ Fundraising activities or services such as annual campaigns, fundraising events, or grant writing
  ○ Lobbying activities that are intended to influence the actions, policies, or decisions of government officials or specific legislation
  ○ Programs or services intended for private use, or for use by restricted membership
  ○ Projects with religious purposes
  ○ Trusts, endowment funds or investments
  ○ Capital outlay, including construction projects or purchase of land and buildings
  ○ Equipment
  ○ Debt repayment
  ○ Hospitality, meals or food
  ○ Out-of-state travel

*Neither the award nor the match may be used to supplant other state funding, including salaries of state employees or general operating expenses of school districts, County Offices of Education, or publicly funded schools, colleges or universities.
**Application Process**
Applications will be available online through the CAC’s online grants management system at [calartscouncil.smartsimple.com](http://calartscouncil.smartsimple.com). Only applications submitted through the system by the deadline will be accepted. More information can be found on the [Grant Programs](http://calartscouncil.smartsimple.com) page of the CAC website.

**Review Criteria**
A review panel will adjudicate applications based on the following criteria:

- **Project Design and Implementation:** Project design indicates realistic timeline, appropriate budget, clear artistic and community-based objectives, and achievable goals. Design includes methods to evaluate and measure success, collect and analyze data, and document activities. Design demonstrates depth of participant involvement and clear plans for community outreach and marketing.

- **Artistic Merit:** California artists are involved at every stage of the project design and execution and demonstrate skills, expertise, and experiences that are central to the goals of the project and program.

- **Community Impact:** Project demonstrates reach and/or depth of engagement in an identified community. Project execution and evaluation involve significant community participation in accordance with the identified project outcomes.

- **Management and Leadership:** Ability of applicant organization to implement proposed project is clearly demonstrated by qualifications of project’s team, viability of project budget, and overall fiscal and managerial health of applicant and partnering organizations.

**Equity and Accessibility:** Proposal reflects fair practices to accessing resources and the inclusion of all communities in the representation, participation and creation of services and programs. Proposal reflects evidence that CAC-funded programs, services, information, and facilities where funded activities are to take place will be accessible for individuals with disabilities, including, but not limited to, individuals who are deaf, hard of hearing, deaf-blind, have difficulty speaking, have a physical disability, visual disability, developmental disability, learning disability, mental illness or chronic illness.

**Panel Adjudication and Ranking Scale**
Panelists review applications and work samples using the 6-point ranking scale below.

<table>
<thead>
<tr>
<th>Rank</th>
<th>Rank Title</th>
<th>Rank Description</th>
</tr>
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<tbody>
<tr>
<td>6</td>
<td>Exemplary</td>
<td>Fully achieves the purpose of the program  &lt;br&gt;Meets all of the review criteria and project requirements to the highest degree</td>
</tr>
<tr>
<td>5</td>
<td>Strong</td>
<td>Strongly achieves the purpose of the program  &lt;br&gt;Meets all of the review criteria and project requirements to a significant degree</td>
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</table>
| 4 | Good | Sufficiently achieves the purpose of the program  
*Meets all of the review criteria and project requirements to some degree* |
|---|---|---|
| 3 | Fair | Moderately achieves the purpose of the program  
*Meets most of the review criteria and project requirements* |
| 2 | Marginal | Minimally achieves the purpose of the program  
*Meets some of the review criteria and project requirements* |
| 1 | Weak | Does not achieve the purpose of the program; proposals that are not appropriate for this grant category  
*Inadequately meets the review criteria or project requirements* |

**California Arts Council Decision-making**

The final authority for grant awards is the appointed Council. After receiving and reviewing the panel ranks, the Council will vote on final funding awards at a public meeting. Awards may differ from requested amounts based on rank.

**Timeline**

<table>
<thead>
<tr>
<th>Date/Period</th>
<th>Event</th>
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<tbody>
<tr>
<td>November 8, 2019 11:59 PM</td>
<td>Application deadline (online)</td>
</tr>
<tr>
<td>March 2020</td>
<td>Funding decisions</td>
</tr>
<tr>
<td>March 2020</td>
<td>Funding notifications</td>
</tr>
<tr>
<td>July 1, 2020 – June 30, 2021</td>
<td>Grant Activity Period</td>
</tr>
<tr>
<td>July 31, 2021</td>
<td>Final Report deadline</td>
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**Grantee Requirements**

Grantees must comply with all requirements as stipulated in the grant agreement, including, but not limited to the following:

- Grantees are required to carry out activities consistent with the original proposal summary statement and the intent of the application as approved for funding, including in instances where the grant award may be less than the original request amount.
- Changes to funded activities must be proposed in advance, and would require prior written approval from CAC staff. Requests for changes are considered on a case-by-case basis; approval is not guaranteed.
- To better inform our elected representatives as to the value of the arts and the use of state funds, grantees are required to include—with the approved grant agreement—copies of signed letters sent to the Governor and state Senate and Assembly representatives thanking them for the grant.
Use the CAC logo on all printed, electronic materials and websites (programs, catalogs, postcards, posters, newsletters, leaflets, publications, etc.) that specifically reference this grant.

Credit the CAC on all printed and electronic materials: “This activity is funded in part by the California Arts Council, a state agency.”

Provide a report summarizing grant-funded activities and accomplishments at the end of the Grant Activity Period.

California Model Agreement (AB20) and Indirect Costs
In order to comply with AB20 requirements, University of California and California State University grantees are required to secure an indirect cost waiver from The Regents of the University of California or The Trustees of the California State University.

Appeal Process
Appeals to CAC funding decisions must be submitted on an official Appeal Form, available from the CAC, and postmarked within 45 days of the decision. Appeals are granted only on the following grounds:

1. Panel’s assessment was based on a misstatement of factual information as contained in the application such that it negatively influenced the panel’s recommendation; and/or
2. Incorrect processing of the required application material such that it negatively influenced the panel's assessment of the applicant’s request for funding.

Note: Dissatisfaction with award denial or with award amount is not grounds for appeal.

Staff Assistance
CAC staff is available to offer guidance and clarification in preparing your proposal. We recommend that you contact staff well in advance of the deadline to ensure you can be accommodated. People who are deaf, hard of hearing, deaf-blind, or have difficulty speaking may dial 711 to reach the California Relay Service (CRS). Large print is available upon request.

J. Andrea Porras Arts Program Specialist
andrea.porras@arts.ca.gov
(916) 322-6395
STATEWIDE AND REGIONAL NETWORKS
2019-2020 Grant Guidelines & Application Instructions

*Deadline: November 15, 2019 11:59 PM*

The mission of the California Arts Council, a state agency, is to advance California through the arts and creativity.

Learn more at [www.arts.ca.gov](http://www.arts.ca.gov)

© 2019 State of California
**Purpose:** The California Arts Council (CAC), a state agency, was established in January 1976 to encourage artistic awareness, participation, and expression; to help independent local groups develop their own arts programs; to promote employment of artists and those skilled in crafts in the public and private sector; and to enlist the aid of all state agencies in the task of ensuring the fullest expression of our artistic potential.

**The Council:** The appointed Council of the CAC consists of 11 members who serve staggered terms. The Governor appoints nine members, the assembly Speaker appoints one member, and the Senate President pro Tempore appoints one member. Council members serve without salary, elect their own officers, and meet throughout the state to encourage public attendance. This body sets policy and has final approval of CAC grants.

**Mission:** Advancing California through the arts and creativity.

**Vision:** The CAC envisions a California where the lives of all Californians are enriched by access to and participation in a diverse spectrum of arts and cultural experiences and the arts ecosystem reflects contributions from all of California’s diverse populations.

**Funding:** The CAC is a state agency, funded from the state’s annual budget process and proceeds from the California Arts License Plate and the Keep Arts in Schools tax return voluntary contribution fund, supplemented by funds from the National Endowment for the Arts. Its grants are usually matched by foundations, individuals, earned income, government agencies, or other organizations.

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STATEWIDE AND REGIONAL NETWORKS
2019-20 GRANT GUIDELINES
DEADLINE: November 15, 2019 11:59 PM
Apply at calartscouncil.smartsimple.com
Up to $35,000
Grant Activity Period: July 1, 2020 – June 30, 2021

Background and Purpose
The Statewide and Regional Networks (SRN) program is rooted in the California Arts Council’s (CAC) role in building a strong foundation for the arts in our state. The CAC is committed to providing practical services to working artists and constituent organizations by supporting arts service organizations and networks reflective of California’s diverse populations.

The purpose of the Statewide and Regional Networks program is to provide general operating grants for arts service organizations and networks with regional or statewide reach. An arts service organization is defined as an organization that furthers the interests of artists, creators, tradition bearers, arts and cultural organizations, and/or elements of the arts community. These organizations preserve, present and promote specific cultural practices, artistic disciplines, or creative initiatives.

Together, they represent the diversity and complexity of California by providing specialized, practical services for artists, arts organizations, and cultural communities, and by advancing the arts and cultural ecosystem statewide.

Program Goals
Projects should address the following Statewide and Regional Network program goals:

- Strengthen and advance the arts and culture ecosystem in California through general operating support for arts service organizations and networks that represent the diversity and vibrancy of California.

- Strengthen the organizational capacity of arts service organizations and networks in delivering services such as communications, professional development opportunities, re-granting, mentorships, career services, networking and local arts advocacy.

Program Requirements
- The applicant must complete general operating support activities aligned with the program’s purpose by June 30, 2021.

- All activities to be funded by the CAC must occur within the Grant Activity Period (see Timeline).
● Organizational activities and services must demonstrate how they strengthen and advance the network. Services may include but are not limited to communications, professional development opportunities, re-granting, mentorships, career services, networking events and arts advocacy.
● Organization must reflect a commitment to include and represent the communities to be served.
● Network constituent representation must have evidence of active, effective, and relevant statewide or regional reach.
● Organizational activities and services must provide evidence of service and activity access to all counties and/or municipalities identified in the network.
● Rates of compensation for individual artists and/or arts workers to be supported by this grant must be appropriate to experience and comparable to fees for other local skilled workers.
● All CAC-funded programs, services, information, and facilities where funded activities take place must be accessible for individuals with disabilities, including, but not limited to, individuals who are deaf, hard of hearing, deaf-blind, have difficulty speaking, have a physical disability, visual disability, developmental disability, learning disability, mental illness or chronic illness. Please see Page 3: Requirements for more information.

SRN Grantee Responsibilities
Funding for general operating support is awarded as a contract between the SRN grantee and the California Arts Council. SRN grantees will be expected to:
● Attend CAC convening(s) (if applicable).
● Host meetings for the CAC as needed, when sufficient notice has been given.
● Participate, where feasible, in cooperative information gathering and programming when deemed to be mutually beneficial by both the CAC and the grantee.
● Promote CAC grant opportunities, workshops, and other resources and information to county constituents.
● Participate in program evaluation (if applicable).

Program Definitions
● **Access** is the right or opportunity to experience services and programs regardless of geographic, economic, disability, sexual orientation, gender identity, and racial barriers.
● **Advocacy** is the act or process of supporting a cause or proposal in order to initiate change in a society. This can include activities to support public policy and resource allocation decisions within political, economic, and social systems and institutions that directly affect people’s lives.
● **Arts Service Organizations** are nonprofit or fiscally sponsored organizations that further the interests of artists, creators, tradition bearers, arts and cultural organizations, and elements of the arts community. These organizations preserve, present and promote specific cultural practices, artistic disciplines, or creative initiatives.
• **Culture** is the beliefs and practices of a particular group of people that are united by ethnicity, artistry or social context.

• **Culturally specific** refers to organizational networks that are rooted in and reflective of cultural, artistic and ethnic communities.

• **Equity** is fair practices to accessing resources and the inclusion of all communities in the representation, participation and creation of services and programs.

• **Lobbying** includes activities that are intended to influence specific legislation. Lobbying activities cannot be funded by the CAC.

• **Networks** are associations or groups of individuals or organizations with common interests, visions or organizational missions that work together to strengthen the collective group. Organizational membership, services and activities include professional development opportunities through communications, technical assistance, networking and arts advocacy.

• **Regional** reach must include services, activities and events with significant constituent representation in at least three counties, OR a county with a total population of over 3 million in multiple municipalities including San Diego, Los Angeles or San Jose.

• **Statewide reach** must include services, activities and events with significant constituent representation from the Northern, Central, and Southern regions.

### Eligible Request Amounts
- Applicant organizations can request up to $35,000.
- The **total request for funding in this grant program** cannot exceed 50% of an organization’s total operating revenue from the most recently completed fiscal year, as it appears in the SMU DataArts Funder Report.

### Eligibility
- Applicant organization must be an arts service organization, network, or association with regional or statewide reach as stated in program definitions below.

  - Applicants must either be a California-based nonprofit arts organization, arts-based unit of municipal or county government, or tribal government.
  - All activities to be funded by the California Arts Council must take place in California.
  - All applicants must have a minimum two-year history of consistent engagement in arts programming and/or services prior to the application deadline.
  - All applicant organizations must have a principal place of business in California.
  - Applicant organizations must demonstrate proof of nonprofit status under section 501(c)(3) of the Internal Revenue Code, or section 23701d of the California Revenue and Taxation Code, or must be a unit of government, including California Native American or Indigenous tribal governments.

  - **Fiscal Sponsors**: An applicant without nonprofit status may use a California-based Fiscal Sponsor with a federal 501(c)(3) designation to conduct work on a not-for-profit project. The Fiscal Sponsor will provide the fiscal and administrative services needed to complete the grant. **If a**
grant is awarded, the Fiscal Sponsor becomes the legal contractor. The Fiscal Sponsor must also demonstrate consistent arts services or programming in California for a minimum of two years prior to the application deadline. See additional information on the use of CAC Fiscal Sponsors.

- Applicants using Fiscal Sponsors must submit all required materials for the Fiscal Sponsor at the time of application.

- All applicants must submit all required application materials and information at the time of submission. Incomplete applications are ineligible and will not be reviewed by the panel. Please see Application Instructions for all required materials and information.

- This program requires the submission of an SMU DataArts Funder Report generated specifically for this CAC grant program. Applicants must provide a minimum of two, and up to three years of data in the Funder Profile, and submit a Funder Report meeting these requirements at the time of application.

California Secretary of State Certificate of Status
Nonprofit organizations must have “active status” with the California Secretary of State (SOS) showing evidence of “good standing” at the time of application.

You can verify your organization’s status by conducting a search using the SOS online Business Search tool. An indication of “active” (versus “suspended,” “dissolved,” “cancelled,” etc.) confirms that your nonprofit corporation exists, is authorized to conduct business in the State of California, has met all licensing and corporation requirements, and has not received a suspension from the Franchise Tax Board.

Funding Restrictions
- State-Local Partner (SLP) grantees are not eligible for SRN support in the same fiscal year, with the exception of an SLP serving as a Fiscal Sponsor for a distinct network that is not supported by the CAC through the SLP program.
- The total of all requests for CAC support during the same year of funding cannot exceed 50% of an organization’s total operating revenue from the most recently completed fiscal year, as it appears in the SMU DataArts Funder Report.
- Applicants to this program are not restricted from applying for and receiving additional CAC project grants.

Matching Funds
All grant recipients must provide a 1:1 match for this grant. If applying for multiple CAC grants in a single fiscal year, distinct matching funds must be identified within each application. State funds cannot be used to meet the match. The status of each source must be specified as either projected, pending or committed.

The matching funds requirement may be met entirely through cash match sources, or by applying the value of in-kind sources for up to 50% of the match.
Cash match sources include corporate or private contributions; municipal, county, or federal government funding; or earned income, while in-kind match refers to goods or services, rather than currency, and for which monetary value can be determined. The use of in-kind contributions to meet the CAC matching funds requirement acknowledges that some organizations may not have the financial capability to provide a 1:1 cash match, but can demonstrate the capacity to carry out the activities in the grant application through other sources.

If utilizing the value of in-kind support to meet the match, keep in mind the following:

- **In-kind contributions may only be provided by third parties.**
- A third party is defined as a service provider, partner, or supplier that is independent of the applicant organization and the CAC, and is not compensated through grant funds.
- In-kind contributions may be in the form of space; pro bono consultancy, training or services; supplies; and other expendable property that are given free of charge to the organizations.
- In-kind contributions by state entities are ineligible.
- In-kind contributions must be reflected as an expense in the grant application budget.

Applicants to this program must also accurately document and report in-kind contributions in the submitted DataArts Funder Report. See additional information on CAC In-kind Contributions. If you have questions about in-kind contribution documentation or eligibility, please contact the designated staff (see Staff Assistance).

**What the CAC Does Not Fund**

- As applicants:
  - Individuals
  - State agencies
  - Federal agencies
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- Operational, administrative or indirect costs of schools, colleges, or universities, or any activities that are part of the curricular base of these institutions
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- Lobbying activities that are intended to influence the actions, policies, or decisions of government officials or specific legislation
- Programs or services intended for private use, or for use by restricted membership
- Projects with religious purposes
● Trusts, endowment funds or investments
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**Application Process**
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**Review Criteria**
A review panel will adjudicate applications based on the following criteria:

- **Statewide or Regional Programming, Services, and Networking:** Degree to which the organization serves as a service organization for artists, creators, tradition bearers, arts and cultural organizations, and/or elements of the arts community through activities such as: communications, professional development opportunities, networking and arts advocacy. Strong evidence of statewide or regional activities, services and constituent base.

- **Community Impact:** Demonstration of reach and depth of programs, activities and services that respond to the needs, values, and priorities of constituency.

- **Management and Leadership:** Ability of applicant organization to fulfill programs and services identified in proposal in a sustainable manner. Evidence of strategies to strengthen organizational capacity, diversity of revenue sources, appropriate leadership and compensation for staff, effective governance policies and structure that is representative of the region/state and diverse in backgrounds. Evidence that a strong strategic plan is in place, or that the board is actively working towards developing a plan. Overall fiscal and managerial health of applicant is evident.

- **Equity:** Degree to which the organization maintains equitable practices for providing access to programs, services and resources, despite geographic, economic, disability, and barriers to participation. Degree to which organizational operations include equitable practices and policies. Advances the cultural and ethnic diversity of arts and artists throughout the region or state.

- **Access:** Evidence that CAC-funded programs, services, information, and facilities where funded activities are to take place will be accessible for individuals with disabilities, including, but not limited to, individuals who are deaf, hard of hearing, deaf-blind, have difficulty speaking, have a physical disability, visual disability, developmental disability, learning disability, mental illness or chronic illness.
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Panelists review applications and work samples using the 6-point ranking scale below.

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Meets all of the review criteria and project requirements to the highest degree |
| 5    | Strong    | Strongly achieves the purpose of the program  
Meets all of the review criteria and project requirements to a significant degree |
| 4    | Good      | Sufficiently achieves the purpose of the program  
Meets all of the review criteria and project requirements to some degree |
| 3    | Fair      | Moderately achieves the purpose of the program  
Meets most of the review criteria and project requirements |
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New applicants to this program are highly encouraged to contact the Program Specialist to confirm eligibility before starting an application to this program.

Maya Austin, M.A, Arts Program Specialist  
maya.austin@arts.ca.gov  
(916) 322-6584
ARTISTS IN SCHOOLS
2020-2021 Grant Guidelines & Application Instructions

*Deadline: November 15, 2019 11:59 PM*

The mission of the California Arts Council, a state agency, is to advance California through the arts and creativity.

Learn more at [www.arts.ca.gov](http://www.arts.ca.gov)

© 2019 State of California
**Purpose:** The California Arts Council (CAC), a state agency, was established in January 1976 to encourage artistic awareness, participation, and expression; to help independent local groups develop their own arts programs; to promote employment of artists and those skilled in crafts in the public and private sector; and to enlist the aid of all state agencies in the task of ensuring the fullest expression of our artistic potential.

**The Council:** The appointed Council of the CAC consists of 11 members who serve staggered terms. The Governor appoints nine members, the assembly Speaker appoints one member, and the Senate President pro Tempore appoints one member. Council members serve without salary, elect their own officers, and meet throughout the state to encourage public attendance. This body sets policy and has final approval of CAC grants.

**Mission:** Advancing California through the arts and creativity.

**Vision:** The CAC envisions a California where the lives of all Californians are enriched by access to and participation in a diverse spectrum of arts and cultural experiences and the arts ecosystem reflects contributions from all of California’s diverse populations.

**Funding:** The CAC is a state agency, funded from the state’s annual budget process and proceeds from the California Arts License Plate and the Keep Arts in Schools tax return voluntary contribution fund, supplemented by funds from the National Endowment for the Arts. Its grants are usually matched by foundations, individuals, earned income, government agencies, or other organizations.

**Information Access:** Due to the Public Records and Open Meeting Acts, applications and their attachments are not confidential and may be requested by the media and/or public. Meeting dates and locations are posted at [www.arts.ca.gov](http://www.arts.ca.gov). Observers may attend but may not participate in, or in any way interfere with, Council meetings. Each meeting provides a designated time for public comment, although comments may be time-limited.

**Grant Process:** Applications are evaluated by panels of experts, recognized in their respective fields, who rank applications according to program criteria. The CAC staff provides information but not recommendations to the panel. The Council reviews panel recommendations before making final funding decisions. CAC staff is responsible for grant contract administration after Council approval. In dire or unexpected circumstances, CAC reserves the right to make exceptions to any policy or procedure on a case-by-case basis.

**Requirements:** The CAC is mandated both by federal and state regulations to fund only organizations that have proof of nonprofit status under sec. 501(c)(3) of the Internal Revenue Code (Fiscal Receivers are eligible in some programs), or under sec. 23701d of the California Revenue and Taxations Code, or entities that are a unit of government; and that comply with the Civil Rights Acts of 1964, as amended; sec. 504 of the Rehabilitation Act of 1973, as amended; the Age Discrimination Act of 1975; the Drug-Free Workplace Act of 1988; California Government Code secs. 11135-11139.5 (barring discrimination); the Fair Labor Standards Act, as defined by the Secretary of Labor in part 505 of title 29 of the Code of Federal Regulation; the Americans With Disabilities Act of 1990 (“ADA”); the Fair Employment and Housing Act; and the Personal Responsibility and Work Opportunity Reconciliation Act of 1996.

**Ownership, Copyrights, Royalties, Credit:** The CAC does not claim ownership, copyrights, royalties, or other claim to artwork produced as a result of a CAC grant. However, the CAC reserves the right to reproduce and use such material for official, noncommercial purpose, including, but not limited to use on the CAC website, social media and print materials. In addition, the CAC requires documentation of grants activity, and appropriate credit for CAC partial support.
ARTISTS IN SCHOOLS
2020-21 GRANT GUIDELINES
DEADLINE: November 15, 2019 11:59 PM
Apply at calartscouncil.smartsimple.com
Up to $20,000
Grant Activity Period: July 1, 2020 – June 30, 2021

Background and Purpose
Since 1976, the CAC has supported arts education programs that bring together local arts organizations, teaching artists, and school communities through its grants. The CAC’s arts education grant programs are rooted in the agency’s belief that robust, sequential, culturally and linguistically responsive arts learning is an essential tool for healthy human development, and that it should be a core element of the education of every student. The overarching purpose of our arts education grant programs is to expand participation in meaningful arts learning opportunities by supporting these activities in a diversity of settings and contexts for infants, children, and youth.

The Artists in Schools (AIS) program supports projects that integrate community arts partners into culturally and linguistically responsive, sequential, standards-based arts learning for students in preschool through Grade 12 as part of the regular school day, and that address the unique circumstances of the school environment. (For outside-of-school program support, please see the Youth Arts Action Guidelines.)

The intent of this program is to augment and enhance the work of classroom teachers and school-based arts programs by bringing arts resources from within the local community into the school culture, not to supplant credentialed arts teachers. AIS projects focus on hands-on participant learning that takes place over a sustained period of time (typically a minimum of 10 class sessions) with an identified group(s) of students.

Program Goals
Projects should address the following Artists in Schools program goals:
- Increase student access to and participation in school-based arts education as part of coordinated efforts with school site leaders, district staff, and county Offices of Education.
- Develop the artistic abilities of students through sequential, hands-on arts learning.
- Promote life skills such as critical thinking, problem solving, collaboration and positive self-expression through the arts.
- Promote students' positive social and emotional development through reflection and creative practice.
- Promote culturally and linguistically responsive learning through the arts, using cultural knowledge to support the cultural assets of the local community and students’ positive self-identification and respect for diverse cultures.
- Develop long-term, mutually beneficial relationships between arts and educational organizations, teaching artists, and the youth and families in the communities they serve.

**Project Requirements**
- The applicant must develop and complete a project addressing the program’s purpose by June 30, 2021.
- All activities to be funded by the CAC must occur within the Grant Activity Period (see Timeline).
- The project must be designed and developed in partnership between an arts organization and the school sites to be served. The project should demonstrate significant planning that reflects a collaborative relationship between the arts organization and the school, and between the participating teaching artists and classroom teachers. Exemplary proposals will demonstrate the role the project plays in a larger district and/or countywide Strategic Arts Plan.
- Professional fees for artists must be included. Rates of compensation for individual artists and/or arts workers to be supported by this grant must be appropriate to experience and comparable to fees for other local skilled workers.
  - An external informational resource regarding teaching artist rates of pay can be found at: [https://teachingartistsguild.org/pay-rate-calculator/](https://teachingartistsguild.org/pay-rate-calculator/). Note: this link is provided for informational purposes only and does not necessarily represent the views and opinions of the CAC.
- The project must enable students to understand and participate in specific art forms and to develop their creativity, skills, and knowledge. The applicant should present well-developed project goals, learning objectives, activities, and assessment tools.
- The project should be of sufficient frequency and duration to foster sequential learning. The project must include sustained contact between the teaching artist(s) and the students over a period of time determined by the needs of the students and the parameters of the project. This could mean intensive daily interaction over the course of one to two weeks, weekly interaction over months, or other regular interaction over a period of time. **A minimum of 10 sessions** is highly recommended unless each session will last more than two hours. A larger group of students may also participate in arts exposure activities which are less structured, non-sequential or more limited, as long as arts engagement activities are maintained for the core group.
- Project activities should explicitly align with California Visual and Performing Arts or Media Arts standards, and may also align with Common Core standards.
- The CAC highly recommends that applicants also consult Creative Youth Development frameworks.
- All aspects of the program must be free of charge to students.
- If proposing therapeutic outcomes, applicants must establish the qualifications of service providers, how strategies are appropriate to the clinical and/or community arts setting, and how support is culturally responsive to participants.
● Project design and implementation must reflect a commitment to include and represent the communities to be served.
● All CAC-funded programs, services, information, and facilities where funded activities take place must for individuals with disabilities, including, but not limited to, individuals who are deaf, hard of hearing, deaf-blind, have difficulty speaking, have a physical disability, visual disability, developmental disability, learning disability, mental illness or chronic illness. Please see Page 3: Requirements for more information.

Eligible Request Amounts
● Applicant organizations can request up to $20,000.
● The total request for funding in this grant program cannot exceed 50% of an organization’s total operating revenue from the most recently completed fiscal year, as provided on the Budget Snapshot at the time of application.

Eligibility
● Applicants must be either a California-based nonprofit arts organization, arts-based unit of municipal or county government, or tribal government.
● All applicants must have a minimum two-year history of consistent engagement in arts programming and/or services prior to the application deadline.
● All applicant organizations must have a principal place of business in California.
● Applicant organizations must demonstrate proof of nonprofit status under section 501(c)(3) of the Internal Revenue Code, or section 23701d of the California Revenue and Taxation Code, or must be a unit of government, including California Native American or Indigenous tribal governments.
  o **Fiscal Sponsors:** An applicant without nonprofit status may use a California-based Fiscal Sponsor with a federal 501(c)(3) designation to conduct work on a not-for-profit project. The Fiscal Sponsor will provide the fiscal and administrative services needed to complete the grant. **If a grant is awarded, the Fiscal Sponsor becomes the legal contractor.** The Fiscal Sponsor must also demonstrate consistent arts services or programming in California for a minimum of two years prior to the application deadline. See additional information on the use of **CAC Fiscal Sponsors**.
  o Applicants using Fiscal Sponsors must submit all required materials for the Fiscal Sponsor at the time of application.
● All applicants must submit all required application materials and information at the time of submission. Incomplete applications are ineligible and will not be reviewed by the panel. Please see **Application Instructions** for all required materials and information.
  o The submission of an SMU DataArts Funder Report is not required for this program. However, applicants must provide a minimum of two, and up to three years of basic financial information via the Budget Snapshot within the application.
California Secretary of State Certificate of Status
Nonprofit organizations must have “active status” with the California Secretary of State (SOS) showing evidence of “good standing” at the time of application.

You can verify your organization’s status by conducting a search using the SOS online Business Search tool. An indication of “active” (versus “suspended,” “dissolved,” “cancelled,” etc.) confirms that your nonprofit corporation exists, is authorized to conduct business in the State of California, has met all licensing and corporation requirements, and has not received a suspension from the Franchise Tax Board.

Funding Restrictions

- The **total of all requests for CAC support during the same year** of funding cannot exceed 50% of an organization’s total operating revenue from the most recently completed fiscal year, as reported in the Budget Snapshot at the time of application.
- Applicants to this program are not restricted from applying for and receiving additional CAC program grants as long as those funds are used for different projects and purposes. To meet this criterion, applicants must demonstrate that projects:
  - Serve primarily different groups of people
  - Take place in different spaces, times, and/or contexts
  - Achieve fundamentally distinct programmatic outcomes

Matching Funds

All grant recipients must provide a 1:1 match for this grant. If applying for multiple CAC grants in a single fiscal year, distinct matching funds must be identified within each application. State funds cannot be used to meet the match. The status of each source must be specified as either projected, pending or committed.

The matching funds requirement may be met entirely through cash match sources, or by applying the value of in-kind sources for up to 50% of the match.

**Cash match** sources include corporate or private contributions; municipal, county, or federal government funding; or earned income, while **in-kind match** refers to goods or services, rather than currency, and for which monetary value can be determined. The use of in-kind contributions to meet the CAC matching funds requirement acknowledges that some organizations may not have the financial capability to provide a 1:1 cash match, but can demonstrate the capacity to carry out the activities in the grant application through other sources.

If utilizing the value of in-kind support to meet the match, keep in the mind the following:

- **In-kind contributions may only be provided by third parties.**
- A third party is defined as a service provider, partner, or supplier that is independent of the applicant organization and the CAC, and is not compensated through grant funds.
● In-kind contributions may be in the form of space; pro bono consultancy, training or services; supplies; and other expendable property that are given free of charge to the organizations.
● In-kind contributions by state entities are ineligible.
● In-kind contributions must be reflected as an expense in the grant application budget.

Applicants to this program must also accurately document and report in-kind contributions in the submitted DataArts Funder Report. See additional information on CAC In-kind Contributions. If you have questions about in-kind contribution documentation or eligibility, please contact the designated staff (see Staff Assistance).

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Review Criteria
A review panel will evaluate applications based on the following criteria:

- **Project Design and Implementation**: Project design indicates realistic timeline, appropriate budget, clear artistic and community-based objectives, and achievable goals. Design includes methods to evaluate and measure success, collect and analyze data, and document activities. Design demonstrates depth of participant involvement and clear plans for community outreach and marketing.

- **Artistic Merit**: California artists are involved at every stage of the project design and execution and demonstrate skills, expertise, and experiences that are central to the goals of the project and program.

- **Community Impact**: Project demonstrates reach and/or depth of engagement in a specific school community(ies). Project is responsive to the community to be served. Project development, execution, and evaluation involve significant collaboration with the school site(s) and local school district in accordance with the identified program goals and project requirements.

- **Management and Leadership**: Ability of applicant organization to implement proposed project is clearly demonstrated by qualifications of project’s team, viability of project budget, and overall fiscal and managerial health of applicant and partnering organizations.

- **Equity and Accessibility**: Proposal reflects fair practices to accessing resources and the inclusion of all communities in the representation, participation and creation of services and programs. Proposal reflects evidence that CAC-funded programs, services, information, and facilities where funded activities are to take place will be accessible for individuals with disabilities, including, but not limited to, individuals who are deaf, hard of hearing, deaf-blind, have difficulty speaking, have a physical disability, visual disability, developmental disability, learning disability, mental illness or chronic illness.

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Josy Miller, Ph.D., Arts Education Programs Specialist
josy.miller@arts.ca.gov
(916) 322-6385
This document will be provided at a later date prior to the Council Meeting
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Milton Quon, Disney Animator on Fantasia and Dumbo, Dies at 105

3:22 PM PDT 7/2/2019 by Mike Barnes

Milton Quon at his drawing board at the Walt Disney Studios in Burbank circa 1946.

He also dabbled in acting, appearing on the runaway bus in 'Speed' and in 'Sweet Jane' and 'NYPD Blue.'

Milton Quon, an animator who worked on such Disney classics as Fantasia and Dumbo, has died. He was 105. Quon died June 18 of natural causes at his home in Torrance, California, his son, artist Mike Quon, told The Hollywood Reporter. One of the last living artists who worked at Disney during its Golden Age of Animation, he was "drawing right up until his last days," his son noted. Quon also was an actor and an extra who appeared in films and TV shows including Speed (1994) — he described his character as "the token Asian on the bus" in a 2005

Quon was born on Aug. 22, 1913, in Los Angeles, the eldest of eight children and the only son of immigrants who had come to the U.S. from Canton, China.

Despite his mother’s reservations, Quon was encouraged by an uncle to pursue a career in art, and he received a scholarship to the Chouinard Art Institute (now the California Institute of the Arts). As a young freelancer, he created menus and produced design work for restaurants in L.A.’s current Chinatown including Man Jen Low, Grandview Gardens and Soochow Restaurant, and his outdoor lantern sign for Grandview Gardens is now a historic landmark.

Quon joined Walt Disney Studios in 1939 soon after graduation as the third Chinese-American to be hired there, and he worked on the "Waltz of the Flowers" and the "Arabian Dance" scenes in Fantasia (1940) and as first assistant animator on Dumbo (1941). During World War II, Quon headed a team of 17 artists at Douglas Aircraft who illustrated repair manuals for its bombers and transports. He then returned to Disney and ran its publicity/promotions department, doing promo artwork for films including the 1946 releases Make Mine Music and Song of the South.

In 1951, Quon jumped to the advertising agency BBD&O as the first Chinese-American art director at a national advertising agency, then served as senior design artist at Sealright Co., a large packaging firm, from 1964 until his retirement in 1980.

He taught drawing, painting and advertising courses at Los Angeles Trade-Technical College from 1974-89 and accumulated a collection of more than 100 sketchbooks since 1980.

The Chinese American Museum in Los Angeles presented a retrospective exhibit of his work in 2005; he was one of five artists featured in " 'Round the Clock: Chinese American Artists Working in Los Angeles" at the Vincent Price Art Museum in Monterey Park, California, in 2012; and he received the Golden Spike Award from the Chinese Historical Society of Southern California in 2013.

And in 2017, he was featured in a father and son art exhibition in Red Bank, New Jersey, with his son Mike and in a solo exhibition at Santa Monica College’s Emeritus Gallery.

His survivors also include his wife, Peggy; children Jeff, Tim and Sherrill; and four grandchildren. Asked often about the secret to his longevity, he replied, “A good wife and Chinese food.”

Donations in his memory may be made to the Chinese American Museum, the Chinese Historical Society of Southern California or the South Bay Presbyterian Church of Torrance.

Article from: https://www.hollywoodreporter.com/news/milton-quon-dead-disney-animator-fantasia-dumbo-was-105-1222361
Toni Morrison, Nobel laureate who transfigured American literature, dies at 88

Trailblazing author Toni Morrison was the first African American woman to win the Nobel prize for literature, died Aug. 5. Her legacy goes beyond awards. (Adriana Usero/The Washington Post)

By Emily Langer
August 6

Toni Morrison, the Nobel Prize-winning novelist who conjured a black girl longing for blue eyes, a slave mother who kills her child to save her from bondage and other indelible characters who helped transfigure a literary canon long closed to African Americans, died Aug. 5 at a hospital in the Bronx. She was 88.

Paul Bogaards, a spokesman for the publishing company Alfred A. Knopf, announced the death and said the cause was complications from pneumonia.

Ms. Morrison spent an impoverished childhood in Ohio steel country, began writing during what she described as stolen time as a single mother and became the first black woman to receive the Nobel Prize in literature. Critically acclaimed and widely loved, she received recognitions as diverse as the Pulitzer Prize and the selection of her novels — four of them — for the book club led by talk-show host Oprah Winfrey.

Ms. Morrison placed African Americans, particularly women, at the heart of her writing at a time when they were largely relegated to the margins both in literature and in life. With language celebrated for its lyricism, she was credited with conveying, as powerfully or more than perhaps any novelist before her, the nature of black life in America, from slavery to the inequality that went on more than a century after slavery ended.

Among her best-known works was “Beloved” (1987), the Pulitzer-winning novel later made into a film starring Winfrey. It introduced millions of readers to Sethe, a slave mother haunted by the memory of the child she had murdered, having judged life in slavery worse than no life at all. Like
many of Ms. Morrison’s characters, she was tortured, yet noble — “unavailable to pity,” as the author described them.

[Toni Morrison, the Nobel Prize, a terrifying staircase and the king who rescued her]

Author Toni Morrison, the first black American woman to win a Nobel Prize in Literature, spoke about her career and identity in a 2001 C-SPAN interview. (C-SPAN)

“The Bluest Eye” (1970), Ms. Morrison’s debut novel, was published as she approached her 40th birthday, and it became an enduring classic. It centered on Pecola Breedlove, a poor black girl of 11 who is disconsolate at what she perceives as her ugliness. Ms. Morrison said that she wrote the book because she had encountered no other one like it — a story that delved into the life of a child so infected by racism that she had come to loathe herself.

“She had seen this little girl all of her life,” reads a description of Pecola. “Hair uncombed, dresses falling apart, shoes untied and caked with dirt. They had stared at her with great uncomprehending eyes. Eyes that questioned nothing and asked everything. Unblinking and unabashed, they stared up at her. The end of the world lay in their eyes, and the beginning, and all the waste in between.”

Ms. Morrison’s Nobel Prize, bestowed in 1993, made her the first native-born American since John Steinbeck in 1962 to receive that honor. The citation recognized her for “novels characterized by visionary force and poetic import” and that breathed life into “an essential aspect of American reality.”

Ms. Morrison was “an African American woman giving voice to essentially silent stories,” Elizabeth Beaulieu, the editor of “The Toni Morrison Encyclopedia,” said in an interview. “She is writing the African American story for American history.”

Beyond her own literature, Ms. Morrison was credited with giving voice to black stories through her work as a Random House editor beginning in the late 1960s. There was a “terrible price to pay,” she once remarked, for leaving the comfortable familiarity of Lorain, the Ohio town where she had grown up, for a career in an unwelcoming white society.

Nobel Prize-winning novelist Toni Morrison dies at 88

A granddaughter of a slave

Ms. Morrison, one of four children, was born Chloe Ardelia Wofford in Lorain, Ohio, on Feb. 18, 1931. Her parents, George Wofford and the former Ramah Willis, were transplanted Southerners. A grandfather had been born into slavery.

Ms. Morrison’s father held various jobs, including working as a car washer, a steel welder and a construction worker, and the family moved frequently.

Her mother was hopeful about the future of race relations, but her father, she wrote in a 1976 essay in the New York Times, distrusted “every word and every gesture of every white man on earth.” Once, she recalled, he threw a white man down the steps and then tossed a tricycle toward him, believing that the man intended to molest his daughters.
“I think my father was wrong,” Ms. Morrison wrote in the Times, “but considering what I have seen since, it may have been very healthy for me to have witnessed that as my first black-white encounter.”

At 12, Ms. Morrison made the personal step of converting to Catholicism, the faith followed by a branch of her extended family, and took Anthony as her baptismal name. For short, she became Toni.

As a writer, Ms. Morrison would draw on her experiences as a child. Once, she and another black child discussed whether there was a god. “I said there was,” Ms. Morrison told the New Yorker, “and she said there wasn’t and she had proof: she had prayed for, and not been given, blue eyes.”

She enrolled in Howard University in Washington, receiving a bachelor’s degree in English in 1953 and, two years later, a master’s degree in English from Cornell University. She soon joined the Howard faculty, where her students included the civil rights activist Stokely Carmichael.

While at Howard, she married a Jamaican architect, Harold Morrison. They had two sons, but their marriage was an unhappy one, in part, she told the Times, because “women in Jamaica are very subservient in their marriages.”

“I was a constant nuisance to mine,” she said.

In her unhappiness, she sought escape through writing. One early story was about a black girl who longed to have blue eyes.

After divorcing, Ms. Morrison moved with her sons to Syracuse, N.Y., where she became a textbook editor before joining the Random House headquarters in New York. She said that, as an editor, she avoided the simultaneous release of books by multiple black authors so that reviewers, who seemed to regard works by African Americans as all of a piece, would not be enticed to dump them into a single review.

Later, as an author, she encountered some of the same prejudices.

“I was reading some essay about the ‘Black Family,’” she once recalled, “and the writer went into a comparison between one of my novels and ‘The Cosby Show.’ The analogy, she told Time magazine, was “like comparing apples and Buicks.”

‘When black wasn't beautiful'

Ms. Morrison rewrote her old short story as the novel “The Bluest Eye” in part, she said, to counter the prevailing credo of the time, “Black is beautiful.”

“When people said at that time black is beautiful — yeah? Of course,” she told the Guardian. “Who said it wasn’t? So I was trying to say . . . wait a minute. Guys. There was a time when black wasn’t beautiful. And you hurt.”

In that book, Pecola is raped by her father, Cholly Breedlove. But even that event is complex, the result of the father’s lifetime spent in oppression.

“Miss Morrison exposes the negative of the Dick-and-Jane-and-Mother-and-Father-and-Dog-and-Cat photograph that appears in our reading primers, and she does it with a prose so precise, so
faithful to speech and so charged with pain and wonder that the novel becomes poetry,” Times book reviewer John Leonard wrote in 1970.

Ms. Morrison’s next book was “Sula” (1973), about two women from a black community called the Bottom who diverge in their decades-long friendship. In that work and others, Ms. Morrison said she tried to capture black sisterhood.

It was “so critical among black women because there wasn’t anybody else,” she once told the publication Poets and Writers. “We saved one another’s lives for generations. When I was writing ‘Sula,’ I was talking about a relationship that fell apart, because I wanted the reader to miss it.”

Ms. Morrison ventured into the experience of black men in “Song of Solomon” (1977), a family epic centered on Macon Dead, known as Milkman, who searches for his identity through his family lineage. Widely acclaimed, the novel, with its far-reaching story line, was compared with Gabriel García Márquez’s “One Hundred Years of Solitude.”

After “Song of Solomon” came “Tar Baby” (1981), set on a Caribbean island, and then “Beloved.” The novel was inspired by the story of a real runaway slave, Margaret Garner, who was caught as she escaped from Kentucky to freedom in Ohio in the 1850s and slit the throat of her 3-year-old daughter before being returned to her master.

“I wanted to translate the historical into the personal,” Ms. Morrison told the Paris Review. “I spent a long time trying to figure out what it was about slavery that made it so repugnant, so personal, so indifferent, so intimate, and yet so public.”

The intensity of her books at times attracted criticism, and no work more than “Beloved.” Stanley Crouch, the cultural critic, called the work a “blackface holocaust novel.” He described Ms. Morrison as “immensely talented” but remarked, according to Time magazine, that she would benefit from “a new subject matter, the world she lives in, not this world of endless black victims.”

Outside such criticism, however, “Beloved” was praised as one of the most significant works of the century.

“If she wrote only ‘Beloved,’ that would have been enough,” said Mitchell, of Georgetown, “because in that she is able to take her readers to a moment in American history that is unthinkable.”

In 1988, 48 black writers — among them Maya Angelou, Alice Walker and Ernest J. Gaines — placed an open letter in the Times protesting the fact that Ms. Morrison had not yet received the National Book Award or the Pulitzer Prize. That year, the Pulitzer went to “Beloved.”

Henry Louis Gates Jr., the Harvard University historian, remarked that she won the Nobel primarily for “Beloved” and her novel “Jazz” (1992), set in Harlem in the 1920s, whose voice he described as “combining Ellington, Faulkner and Maria Callas.”

Ms. Morrison’s later novels included “Paradise” (1997), set in an all-black town in the Western United States; “Love” (2003), about the many lives affected by a deceased hotel owner; “A Mercy” (2008), an exploration of early American slavery; “Home” (2012), a portrait of a returning Korean War veteran; and “God Help the Child” (2015), the story of a black woman rejected because of the darkness of her skin, and the far-reaching effects of childhood pain.

Survivors include her son Harold Ford Morrison of Princeton, N.J.; and three grandchildren.

For all the exploration of race in Ms. Morrison’s works, one of her most enduring messages was delivered through its absence. In “Paradise,” Ms. Morrison forced readers to guess which character was the white woman whose murder is foretold in the book’s first words.

“I did that on purpose,” Ms. Morrison told *Time*. “I wanted the readers to wonder about the race of those girls until those readers understood that their race didn’t matter. I want to dissuade people from reading literature in that way.”

She continued: “Race is the least reliable information you can have about someone. It’s real information, but it tells you next to nothing.”

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